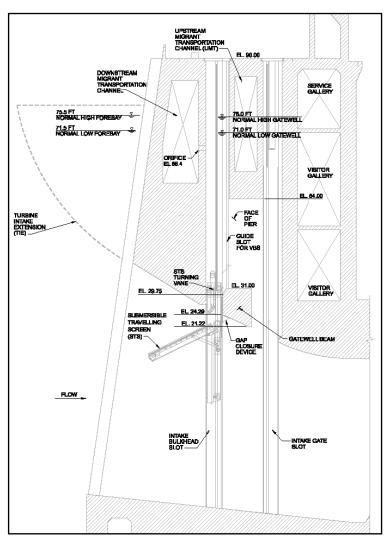


Engineering Documentation Report

Bonneville Second Powerhouse Fish Guidance Efficiency (FGE) Program Post Construction





October 2013 Final Report

EXECUTIVE SUMMARY

This report documents the investigation and development of alternatives to improve fish guidance efficiency (FGE) for subyearling and juvenile fish survival at the Bonneville second powerhouse. Alternatives to investigate were identified and chosen via collaborative discussions with regional state and federal agencies. The initial premise was that high subyearling mortality in the second powerhouse gatewells was directly attributed to high flow conditions feeding into the gatewells. It was reasoned that if flow conditions were reduced or adjusted, subyearling mortality would similarly drop.

Three types of operational and structural alternatives were recommended for investigation: flow control alternatives, operational alternatives, and a flow pattern change alternative.

Flow control alternatives:

- A1 Adjustable Louver Flow Control Device: Construct a device to control the flow up the gatewell. The device would be placed downstream of the vertical barrier screen (VBS).
- A2 Sliding Plate Flow Control Device: Construct a sliding plate flow control device attached to the top of the gatewell beam.
- A3 Modify VBS Perforated Plates.
- A4 Modify Turning Vane and/or Gap Closure Device.

Operational alternatives:

- B1 Operate Main Units Off 1% Peak Range: Operate the main turbine units at the lower to mid 1% peak operating range during juvenile fish release.
- B2 Open Second DSM Orifices: Open the second downstream migrant system (DSM) gatewell orifice to decrease fish retention time in the gatewell.
- B3 Horizontal Slot for DSM: Construct a horizontal slot in place of the existing orifices to decrease fish retention time in the gatewell.

Flow pattern change alternative:

• C – Gate Slot Fillers: Install gate slot fillers in the slots above the turning vane and submerged traveling screen supports to reduce turbulence in the gatewell and streamline sweeping velocities up the VBS.

Using computational fluid dynamics modeling of the gatewell environment, it became apparent that flow conditions in the gatewell were far from streamline and optimum. The modeling revealed notable levels of turbulence that increased relative to flow volume and pattern. The Product Development Team reasoned that there likely was a correlation between the levels of turbulence and subyearling mortality. It was further reasoned that the origin of the gatewell turbulence stemmed from hydraulic expansion into the VBS slots. Thus, the team introduced the flow pattern change alternative (Alternative C) that focused on methods for filling the VBS slots to reduce turbulence of flow up the gatewell.

Each alternative was evaluated using a point-based matrix approach for the following evaluation factors: biological benefits, construction costs, construction time, operation and maintenance costs, operational effectiveness, reliability, impacts to power revenues, and environmental factors. Alternative B3 (Horizontal Slot for DSM) and Alternative C (Gate Slot Fillers), received the highest scores. Alternative C is recommended for further investigation.

Hydraulic model results indicate that Alternative C can significantly reduce the level of turbulence inside the gatewell potentially improving the hydraulic conditions for fish passage. Of the alternatives presented, Alternative C should not impact FGE since the turbine unit can be operated in its current operating range and discharge into the gate slot would not change.

Prior to implementation on a full powerhouse scale, it is recommended that the gate slot fillers concept (Alternative C) be installed in a limited number of gate slots. Hydraulic and biological tests are also recommended to evaluate the effectiveness of the gate slot filler on fish survival.

The hydraulics and juvenile fish passage at Bonneville are interrelated and complex. Should the evaluation of Alternative C be unfavorable, it is recommended that the other alternatives identified in this report be readdressed.

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PERTINENT PROJECT DATA

PROJECT DESCRIPTION

Stream	Columbia River (River Mile 146.1)
Location	Bonneville, Oregon
Owner	U.S. Army Corps of Engineers
Project Authorization	Rivers and Harbors Act of 1935
Authorized Purposes	Power, Navigation
Other Uses	Fisheries, Recreation

<u>LAKE/RIVER ELEVATIONS</u> (elevation above sea level in feet)

Maximum Controlled Flood Pool	90.0
Maximum Spillway Design Operating Pool	82.5
Maximum Regulated Pool	77.0
Minimum Pool	69.5
Normal Operating Range	71.5 - 76.5
Maximum 24-Hour Fluctuation at Stevenson Gage	4.0
Maximum Flood Tailwater (spillway design flood)	51.5
Maximum Operating Tailwater	33.1
Standard Project Flood Tailwater	48.9
Minimum Tailwater	7.0
Base (100-year) Flood Elev. (at project site tailwater)	39.8

POWERHOUSES

First Powerhouse (Oregon)

Length	1,027 feet
Number of Main Units	10
Nameplate Capacity (2 @ 43 MW, 8 @ 54 MW)	518 MW
Overload Capacity (2 @ 47 MW, 8 @ 60 MW)	574 MW
Station Service Units (1 @ 4 MW)	4 MW
Hydraulic Capacity	$136,000 \text{ ft}^3/\text{s}$
Second Powerhouse (Weshington)	

Second Powerhouse (Washington)

Length (including service bay & erection bay) 985.5 feet Number of Main Units Nameplate Capacity (8 @ 66.5 MW) 532 MW Overload Capacity (8 @ 76.5 MW) 612 MW Fish Water Units (2 @ 13.1 MW) 26.2 MW Hydraulic Capacity $152,000 \text{ ft}^3/\text{s}$

SPILLWAY

 $1,600,000 \text{ ft}^3/\text{s}$ Capacity at Pool Elevation (Elev. 87.5)

FISH PASSAGE FACILITIES

Fish Ladders

Washington Shore

Cascades Island

Bradford Island

Juvenile Bypass System – First Powerhouse

Downstream Migrant System - Second Powerhouse

Upstream Migrant System

ACRONYMS AND ABBREVIATIONS

BiOp Biological Opinion
BIT Biological Index Testing

BPA Bonneville Power Administration CFD computational fluid dynamics

CRFM Columbia River Fish Mitigation Program

DDR Design Documentation Report
DSM downstream migrant system
ERC emergency relief conduit

FCRPS Federal Columbia River Power System
FFDRWG Fish Facility Design Review Work Group

FGE fish guidance efficiency FPP Fish Passage Plan ft/s feet (foot) per second ft³/s cubic feet per second

 ft^2/s^2 feet squared per second squared

GCD gap closure device

HDC Hydroelectric Design Center

HLH heavy-load hours

HVAC heating, ventilation and air conditioning
HYSSR Hydro System Seasonal Regulation (model)

LCC life cycle costs
LLH light-load hours
mm millimeter(s)
MW megawatt(s)
MWh megawatt hour(s)

NOAA National Oceanic and Atmospheric Administration

O&M operation and maintenance

PSMFC Pacific States Marine Fisheries Commission

PDT Product Development Team

PH1 first powerhouse PH2 second powerhouse

PIT passive integrated transponder PLC programmable logic controller

RM river mile(s)

RPA reasonable and prudent alternative S&A supervision and administration SCNFH Spring Creek National Fish Hatchery

SP super-peak (hours)

STS submerged traveling screen
TEAM Turbine Energy Analysis Model

TDG total dissolved gas
TIE turbine intake extension
TSP Turbine Survival Program
UHMW ultra-high molecular weight
USACE U.S. Army Corps of Engineers

VBS vertical barrier screen

WT wide-tee

1. INTRODUCTION

1.1. Purpose

The Engineering Documentation Report documents post construction studies, the evaluation of alternatives developed and recommends an alternative that will help eliminate or reduce subyearling fish mortality in the Bonneville second powerhouse (PH2) gatewell environment. Three types of operational and structural alternatives were considered: flow control alternatives, operational alternatives, and a flow pattern change alternative.

1.2. PROJECT OBJECTIVE

With the recent discovery of poor survival of Spring Creek National Fish Hatchery (SCNFH) subyearling Chinook salmon (*Oncorhynchus tshawytscha*), the biological objective and goal is to improve hydraulic conditions in the gatewell without compromising the existing fish guidance efficiency (FGE) capability.

1.3. BACKGROUND

In 1999, regional fisheries agencies agreed to pursue a phased approach to improve fish guidance and survival at Bonneville PH2 by maximizing flow up the turbine intake gatewells, a guideline that has been used on similar programs to improve FGE. A typical juvenile fish bypass system at the lower Columbia River dams consist of submersible traveling screen (STS), gatewell orifice passage and turbine intake vertical barrier screens (VBS; Figure 1-1). The modifications at PH2 were completed in 2008 and included an increase in vertical barrier screen (VBS) flow area, installation of turning vanes to increase flow up the gatewell, addition of a gap closure device (GCD) to eliminate fish loss at the STS, and installation of interchangeable VBS to allow for screen removal and cleaning without outages or intrusive gatewell dipping (Figure 1-2). Results of biological studies showed an increase in FGE by 21% for yearling Chinook and 31% for subyearling Chinook. Test fish conditions showed no problems with descaling and gatewell retention time (including fry) in a newly modified unit.

During the 2008 juvenile fish passage season, the SCNFH released hatchery subyearlings in early spring 2008 over a 3-month period (March, April, May). Biological testing conducted by National Oceanic and Atmospheric Administration (NOAA) suggests that SCNFH subyearlings are incurring high mortality and de-scaling when the newly modified units are being operated at the upper 1% range. Evidence suggests a relationship may exist between the operation of the powerhouse units (lower, mid, and upper 1%) and survival of the SCNFH subyearlings. A logical assumption would be that operating turbine units at the upper 1% puts more water up the gatewell, thus producing poor hydraulic conditions within the gatewell. A detailed description of the lower, middle, and upper 1% turbine operating efficiency range can be found in the U.S. Army Corps of Engineers (USACE) Turbine Survival Program (TSP) Phase I and II Biological Index Testing (BIT) reports, as well as the current Fish Passage Plan (FPP).

Biological test data was evaluated by the USACE and preliminary alternatives were suggested to the region that could potentially regulate and throttle hydraulic conditions in the gatewell. The region agreed with the initial assessment and approved the study to investigate and evaluate flow control and operational alternatives—flow control devices to regulate the volume and direction of flow and operational alternatives using turbine operation as a means to throttle and control flow volume going into the gatewell.

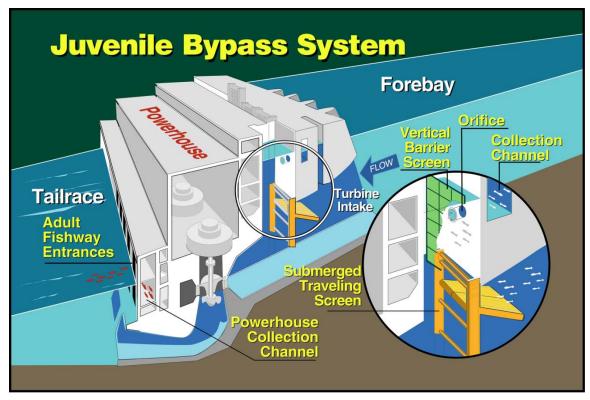
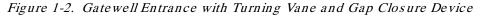
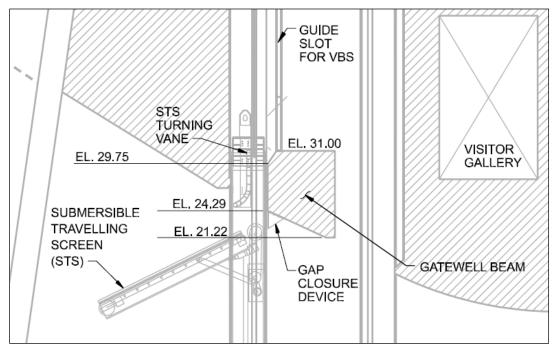


Figure 1-1. Typical Juvenile Bypass System with STS, VBS and Orifice





1.4. PROJECT SCOPE

The scope of this project is to provide a comprehensive investigation of the Bonneville PH2 gatewell environment to better understand the hydraulic dynamics as they impact juvenile salmonid fish mortality, and to assess and evaluate alternatives that improve passage and survival of juvenile fish through the gatewell environment. A computational fluid dynamics (CFD) model was developed and utilized in the investigation of the gatewell hydraulic environment and to evaluate alternatives. The alternatives evaluated included flow control device alternatives, operational alternatives, and a flow pattern change alternative. The alternatives were collaboratively developed and approved by regional federal and state agencies (see Appendix A, *Relevant Correspondence*, for the Gatewell Fish Condition Test Results meeting on October 3, 2008). Flow control alternatives included:

- Construct a device to control the flow up the gatewell. The device would be placed downstream of the VBS. Similar devices have been used at the John Day and McNary dams.
- Construct a sliding plate flow control device attached to the top of the gatewell beam.
- Modify the existing VBS perforated plates, which results in a reduction of gatewell flow.
- Modify the turning vane and GCD.

Operational alternatives included:

- Operate main turbine units at lower to mid 1% peak operating range during juvenile fish release.
- Open the second downstream migrant system gatewell orifice to decrease fish retention time in the gatewell.
- Construct a horizontal slot in place of the existing orifices or additional orifices to decrease fish retention time in the gatewell.

A flow pattern change alternative (gate slot fillers) was developed after modeling data suggested that relative to hydraulic volume and flow, eddy currents developed at the top of the gatewell that could have negative effects on juvenile fish. It is hypothesized that filling the VBS gate slots would change the flow patterns in the gatewell, reduce turbulent flow, and improve juvenile fish passage and survival.

1.5. Project Authorization

The Bonneville Project began with the National Recovery Act, 30 September 1933, and was formally authorized by Congress in the River and Harbor Act of 30 August 1935. Authority for completion, maintenance, and operations of Bonneville Dam was provided by Public Law 329, 75th Congress, 20 August 1937. This act provided authority for the construction of additional hydroelectric generation facilities (Bonneville PH2) when requested by the Administrator of Bonneville Power Administration (BPA). Letters dated 21 January 1965 and 2 February 1965 from the Administrator developed the need for construction of Bonneville PH2. Construction started in 1974 and was completed in 1982.

Actions to improve juvenile salmonid survival were identified by NOAA Fisheries at Bonneville PH2 in the Federal Columbia River Power System (FCRPS) 2008 Biological Opinion (BiOp) and 2010 Supplemental BiOp. This project is Columbia River Fish Mitigation Program (CRFM) funded and in response to Reasonable and Prudent Alternative (RPA) 18.

1.6. PROJECT COORDINATION

The study and report was coordinated with the regional fisheries agencies and tribes through the Fish Facility Design Review Work Group (FFDRWG).

2. EXISTING PROJECT FEATURES

2.1. Project Location and Features

The Bonneville Project is located on the Columbia River at river mile (RM) 146, approximately 42 miles east of Portland, Oregon (Figure 2-1). Bonneville PH2 is located between Cascades Island and the river's north shore in the State of Washington (Figure 2-2). It consists of eight 66 megawatt (MW) Kaplan turbine main units and two 13.1 MW turbine units that supply water to the adult fish passage facilities.



Figure 2-1. Bonneville Project Location

2.2. GATEWELL CONDITION ISSUES POST-FGE IMPROVEMENTS

In 2006 and 2007, Pacific States Marine Fisheries Commission (PSMFC) Bonneville Dam Smolt Monitoring Program biologists reported that SCNFH subyearling Chinook passing Bonneville PH2 downstream migrant system (DSM) showed elevated levels of mortality and descaling compared to levels recorded at PH2 from 2000-2005 (D. Ballinger, pers. comm., 2006-2007). Physical inspections of the bypass facilities rendered little evidence to indicate that a mechanical system was causing this increased damage to fish. Regional fish managers and the USACE believed that gatewell modifications focusing more water up the gatewell area (thus improving FGE) was the cause for the increased numbers of damaged fish. In 2008, elevated mortality of SCNFH fish was again measured and reported during the first releases in early March (D. Ballinger, pers. comm., 2008). Regional fish managers asked USACE to reduce MW loads (reduced flow up the gatewell slot) on the PH2 main units to the lower end of their 1% operating ranges during both of the spring releases to see if this would reduce mortality. The reduced load operations resulted in a reduction in the amount of descaling and mortality in the daily samples.

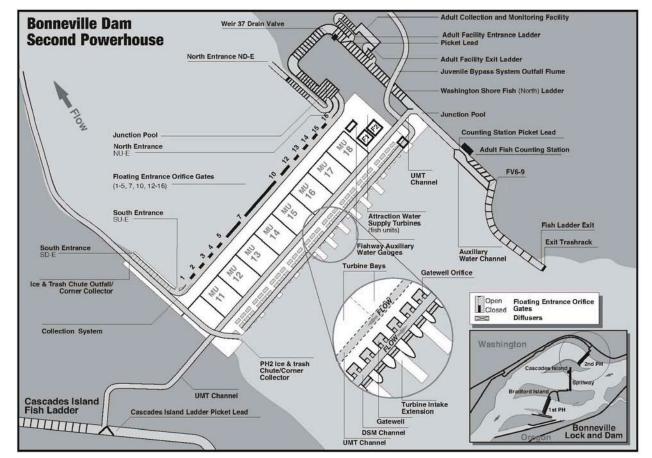


Figure 2-2. Bonneville Dam Second Powerhouse

2.2.1. Target Species

The focus of the proposed improvements has been mainly on hatchery reared subyearling Chinook salmon from the SCNFH and run-of-river spring migrants such as yearling Chinook and steelhead (*Oncorhynchus mykiss*). Previous research prompted the USACE to focus on subyearling migrants because of the higher mortality documented in PSMFC smolt monitoring weekly reports at the Bonneville PH2 juvenile monitoring facility. Researchers and the USACE Product Development Team (PDT) believe that there may be a link between acclimation time to the Columbia River and arrival at Bonneville Dam resulting in higher sensitivity of SCNFH subyearling Chinook to the impacts of the current Bonneville PH2 gatewell environment when operating turbines at the upper end of their 1% peak efficiency range [17,000 to 19,500 cubic feet per second (ft³/s)].

2.2.2. Fish Condition and Gatewell Retention Time Study, 2008-2009

In response to the suspected gatewell issues indentified in 2006-2007, the USACE developed research through the CRFM Program, with the assistance of NOAA, to evaluate fish mortality, decaling, and gatewell residence time effects of varying turbine loads. In addition, biological testing was conducted with the gatewell regulating orifice opened to compare condition and timing differences of fish passing through gatewells with two open orifices into the powerhouse bypass channel vs. fish that passed through a gatewell with one open orifice (Gilbreath et al. 2012). Test fish were collected, tagged with a passive

integrated transponder (PIT tag), and released with canisters directly to the gatewell of 12A and 14A and via a hose installed from the +90 deck to the top of turbine intake 14A at the forebay trashrack. The "A" gatewells were selected for evaluation due to the modeled higher flow conditions compared to the "B" and "C" gatewells. Baseline groups of test fish were released into the bypass system collection channel.. Gatewell evaluation treatment groups released directly to or entering the gatewell environment exited the gatewell via orifices. Fish were then detected and recaptured at the PIT tag sort by code readers downstream at the smolt monitoring facility. Timing and passage data were collected and compared for varying loads and numbers of orifices open. Research from the 2008-09 study indicated that SCNFH subyearling test fish were being impacted significantly at turbine operations in the middle 1% range and were highly impacted at the upper 1% operating ranges (Table 2-1). Descaling rates using SCNFH subyearling Chinook test fish were not evaluated due to their life history stage as parr and rarely show levels of descaling sufficient for meaningful analysis. Fate of non-recaptured fish were unknown so they were not included in the computation of mortality.

Table 2-1. 2008 Recapture Rates and Mortality of Juvenile SCNFH Fish Released in Bypass System Collection Channel or Gatewell 12A

Juvenile SCNFH Chinook salmon released in the bypass system collection channel or gatewell 12A on March 3 and 4, 2008, at Bonneville PH2. Average fork length of fin-clipped test fish was 63 millimeters (mm).

Parameter	Collection Channel	Gatewell 12A Lower 1% 11,600-11,800 ft ³ /s	Gatewell 12A Middle 1% 13,900-14,000 ft ³ /s	Gatewell 12A Upper 1% 16,800-16,900 ft ³ /s
Test blocks (no.)	2	2	2	2
Test duration (h)	4	4	4	4
Fish released (no.)	1,801	799	854	799
Recaptured (%)	98.3	82.7	81.3	66.6
Mortality (%)	0.3	1.9	14.2	32.3

T-test results for comparisons of recapture and mortality percentages: P<0.01 for all comparisons except for recapture of lower and middle 1% gatewell releases where P=0.44.

Run-of-river yearling Chinook were evaluated and the same pattern of increases in mortality and descaling was observed when comparing the mid and upper 1% peak efficiency range (Table 2-2).

Table 2-2. 2009 Data for Yearling Fish from Bonneville Smolt Monitoring Program Released into PH2 Turbine 14A Intake

Recapture rates, observed mortality, passage timing, and descaling data for yearling Chinook salmon from Bonneville Smolt Monitoring Program, PIT tagged and released into the Bonneville PH2 turbine 14A intake in 2009. Descaling is expressed as the percentage of recaptured fish that were descaled $\geq 20\%$ on at least one side.

Parameter	Collection Channel	Intake 14A Middle 1% 14,600 - 15,100 ft³/s	Intake 14A Upper 1% 17,300 - 17,900 ft ³ /s	\mathbf{P}^{a}
Test blocks (no.)	8	8	8	
Test duration (h)	24	24	24	
Fish released (no.)	389	3,228	3,153	
Recaptured (%)	97.7	98.4	97.4	0.05
Mortality (%)	0.3	0.5	4.4	< 0.01
Timing (median, h)	0.6	1.7	2.7	< 0.01
Descaling (%)	0.3	1.0	11.5	< 0.01
^a ANOVA. P values are	for load compari	sons.		

Run-of river subyearling Chinook in 2009 were released at the trashrack in the same fashion as in the 2008 study, and once again the trends were identified as the same (Table 2-3). At higher turbine operations (17,800 ft³/s), test fish showed greater mortality rates than fish that were released at a turbine mid-range operation at 14,700 ft³/s (Table 2-3).

Table 2-3. 2009 Data for Subyearling Fish from Bonneville Smolt Monitoring Program Released into PH2 Turbine 14A Intake, One Open Gatewell Orifice

Recapture rates, observed mortality, passage timing, and descaling data for subyearling Chinook obtained from Bonneville Smolt Monitoring Program, PIT tagged, and released into Bonneville PH2 turbine 14A intake in 2009. Descaling is expressed as the percentage of recaptured fish descaled \geq 20% on at least one side. Tests conducted with one open gatewell orifice.

Parameter	Collection Channel	Intake 14A Middle 1%, 14,700 ft ³ /s	Intake 14A Upper 1%, 17,800 ft ³ /s	\mathbf{P}^{a}
Test blocks (no.)	8	8	5	
Test duration (h)	24	24	24	
Fish released (no.)	400	3,167	2,058	
Recaptured (%)	96.7	97.2	96.8	0.13
Mortality (%)	0.3	2.6	4.3	0.01
Timing (median, h)	0.6	2.6	6.1	0.03
Descaling (%)	0.3	0.5	2.6	< 0.01
^a ANOVA. P values are for load comparisons, one open gatewell orifice.				

The USACE requested NOAA modify the experimental design in 2009 for run-of-river subyearling Chinook to include releases at the upper 1% operation with both gatewell orifices open, in order to test the hypothesis that reduced gatewell retention time would result in lower mortality and descaling rates (Gilbreath et al. 2012). Test results indicated that gatewell residence time decreased from a median time of 6.1 hours with one orifice open to 2.9 hours with two orifices open (Table 2-4). Descaling dropped from 2.6% to 1.2%. Indications are that providing an additional open orifice was significant in reducing the gatewell residence time and descaling associated with exposure to adverse gatewell conditions.

Table 2-4. 2009 Data for Subyearling Fish from Bonneville Smolt Monitoring Program Released in PH2 Turbine 14A Intake, One or Two Open Gatewell Orifices

Recapture rates, observed mortality, passage timing, and descaling data for subyearling Chinook obtained from Bonneville Smolt Monitoring Program, PIT tagged, and released into Bonneville PH2 turbine 14A intake in 2009. Descaling is expressed as the percentage of recaptured fish descaled \geq 20% on at least one side. Tests conducted with one or two open gatewell orifices.

Parameter	Collection Channel	Intake 14A Upper 1%, One Orifice	Intake 14A Upper 1%, Two Orifices	$\mathbf{P}^{\mathbf{a}}$
Test blocks (no.)	8	5	4	
Test duration (h)	24	24	24	
Fish released (no.)	400	2,058	1,641	
Recaptured (%)	96.7	96.8	95.9	0.08
Mortality (%)	0.3	4.3	2.4	0.04
Timing (median, h)	0.6	6.1	2.9	0.06
Descaling (%)	0.3	2.6	1.2	0.10
^a ANOVA. P values are for load comparisons of one or two open gatewell orifices.				

2.3. HYDRAULIC FEATURES

A CFD model of the existing features of Bonneville PH2 was developed to investigate the existing hydraulic conditions and support alternative development for FGE improvement as described in the report, *Bonneville Second Powerhouse Fish Guidance Efficiency Computational Fluid Dynamics Modeling*, dated September 2011 (Appendix C). The following sections summarize model selection, development, and application to existing conditions. Additional detailed information is provided in Appendix C.

2.3.1. Hydraulic Model Selection

An existing forebay CFD model was developed by the Pacific Northwest National Laboratory (2009) using the Star CD software. The forebay CFD model was applied to investigate the relative impacts of forebay configuration on hydraulic conditions approaching and in the intake gatewells. However, this model does not include the current details of improvements to the gatewell geometry, and an updated model was needed to support the alternatives analysis for this study.

During earlier phases of this study, the thought was to build a physical sectional model to investigate FGE improvement alternatives. After reviewing the physical and numerical models developed to date, it was determined that the gatewell hydraulics could be impacted by the physical configuration of the Bonneville PH2 forebay. Therefore, using a CFD model to analyze FGE alternatives would allow for investigation of alternatives in a sectional CFD model with secondary confirmation of selected alternatives over a range of forebay configurations and operations in the full forebay CFD model. A summary of the advantages and limitations of the selected CFD model are summarized below.

Advantages

- The CFD model can be linked to the forebay model to investigate the impacts of forebay configuration and powerhouse operations on gatewell hydraulics. This capability will be important in confirming the performance of FGE improvement alternatives over a range of forebay configurations and powerhouse operations.
- Relevant geometric features in the powerhouse unit that affect gatewell hydraulics can be readily included in the CFD model. These features are described in Section 2.3.2.
- Model results can be queried at any location in the model domain for velocity, pressure, turbulence. Particles seeded into the model results can provide quantifiable information on gatewell residence time and flow patterns.
- Alternatives (operational or functional changes) can be included in the CFD model relatively efficiently.
- CFD models can be maintained on a computer system in backup files. If the model is compatible with future software versions, it can be used for many years with little maintenance.

Limitations

• Significant changes to VBS velocities that require rebalancing of VBS screen porosities will result in the need for a physical model. The CFD model cannot be used to directly identify updated porosity plate configurations for screen balancing as configured. The CFD model represents the VBS as a porous baffle and uses two porosity parameters to represent the pressure change across the screen panels rather than direct porosity.

- The sectional CFD model calibration is adequate to investigate the relative change in gatewell flow between existing conditions and FGE alternatives. If the CFD model is to be used to develop detailed gatewell flow rating curves, additional prototype velocity data is recommended to minimize uncertainty in the rating curves.
- The CFD model is a steady-state representation of hydraulic conditions and the influence of transient conditions needs to be considered when interpreting the results as it pertains to the hydraulic conditions and potential biological impacts.
- Real time viewing of results in a CFD model is limited to available computing resources.

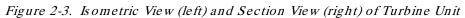
2.3.2. CFD Model Development

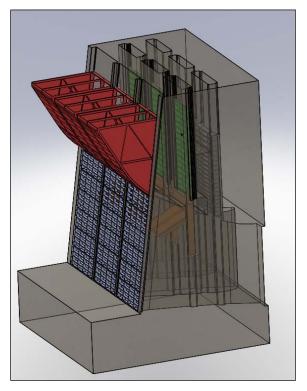
An updated sectional CFD model of a Bonneville PH2 turbine unit was developed to support alternative development and analysis for FGE improvements. The updated sectional CFD model was developed of a single PH2 turbine unit to include the following geometric features in sufficient detail to capture the hydraulic influence of the features:

- Turbine intake extensions (TIE);
- Trash rack including main horizontal and vertical support members;
- STS including structural members and a with a zero-thickness porous baffle representing the STS screen for each bay;
- Gap closure device (GCD);
- Turning vane;
- Gate slots including overall width and depth of gate slots;
- Modified gatewell beam;
- VBS including structural members and zero-thickness porous baffles representing the nine VBS screen panels in each bay;
- Fish orifice; and
- Emergency gate including horizontal structural members on upstream face of gate.

The updated sectional CFD model was developed by creating a solid geometry of the turbine unit (Figure 2-3) in SolidWorks, a three-dimensional rendering software. The sectional CFD model domain extends from the upstream boundary approximately 100 feet upstream of the trashrack to just upstream of the ends of the piers separating the A, B, and C bays prior to the scroll case.

The computational grid for the model domain was developed using the grid generation program in the Star CCM+ modeling software and consists of approximately 2.4 million polyhedral (or many-sided) cells, as shown in Figures 2-4 and 2-5. The sectional CFD model is of sufficient detail for analyzing relative impacts of FGE improvement alternatives on gatewell hydraulic conditions and flow. The development of the CFD model is described in Appendix C. The VBS was modeled with porous baffles and parameters describing the porosity were established by calibrating the CFD model results to the 1:12 scale physical model data. The CFD model results with different boundary conditions were than compared to additional physical model data and prototype data.





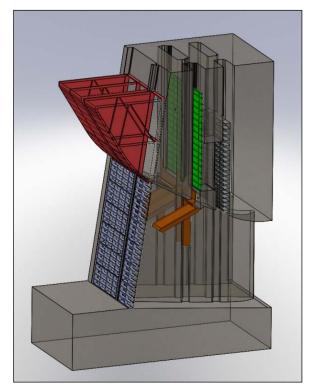
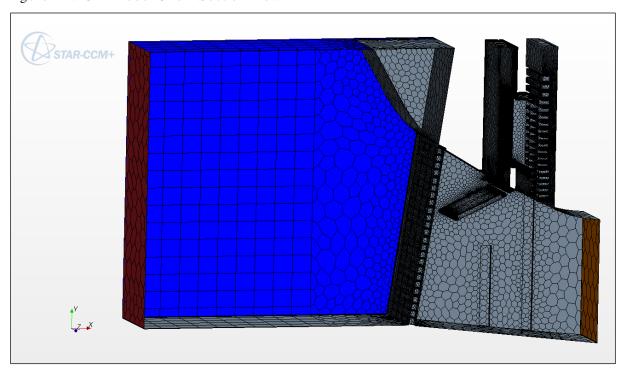


Figure 2-4. CFD Model Grid – Section View



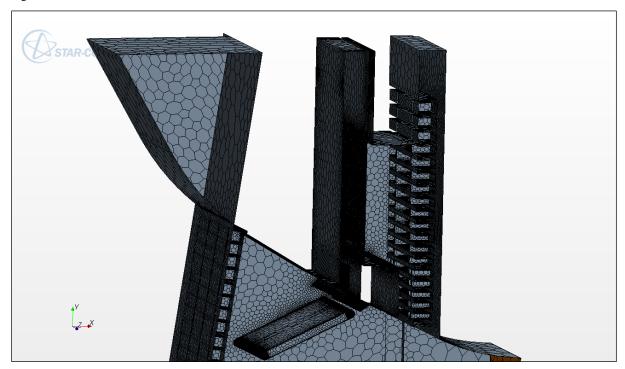


Figure 2-5. CFD Model Grid - Zoomed View

2.3.3. CFD Modeling for Baseline Conditions

Following calibration and validation, the CFD Model was run for unit flow conditions representing the low, medium, and high 1% efficiency unit operation as shown in Table 2-5. The runs were conducted with existing gatewell geometry to establish a hydraulic baseline for evaluation of alternatives.

Unit Flow (ft ³ /s)	Bay A Flow (ft ³ /s)	Bay B Flow (ft ³ /s)	Bay C Flow (ft ³ /s)
12,000	4,536	4,104	3,360
15,000	5,670	5,130	4,200
18,000	6,804	6,156	5,040

Table 2-5. Baseline Run Outflow Conditions

The 18,000 ft³/s unit flow provided a baseline for hydraulic conditions assumed to represent unfavorable flow conditions for fish passage at the high 1% efficiency range, while the 15,000 ft³/s unit flow provided a baseline for assumed minimally favorable hydraulic conditions for fish passage at the medium 1% efficiency range. The 12,000 ft³/s provided a low-flow baseline for assumed favorable hydraulic conditions for fish passage at the low 1% efficiency range. Additional details of the sectional CFD model boundary conditions are provided in Appendix C.

2.3.3.1. Low Unit Flow Conditions – 12,000 ft³/s

With the existing gatewell geometry in place and a unit flow (Unit Q) of 12,000 ft³/s, the CFD model-predicted VBS flows in bay A are summarized in Table 2-6. Bay A has the highest flow of the three bays in each unit and thus, the highest VBS and gatewell flow. The VBS flow for each bay was calculated

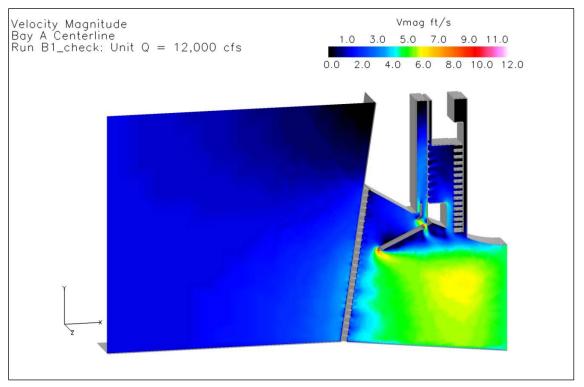
from the CFD model results by converting the mass flux (kilograms/second) across the VBS baffle to flow (ft³/s). The VBS flows for the baseline CFD model runs in Table 2-6 shows increasing VBS flow with increasing unit flow, as expected.

Table 2-6. Baseline Run VBS Flow Summary

Unit Flow (ft ³ /s)	Bay A VBS Flow (ft ³ /s)	
12,000	219	
15,000	272	
18,000	328	

The CFD model results for the low unit flow condition are summarized in Figures 2-6 to 2-11 show flow passing through the trashrack, with a portion of the flow passing up the STS to the gatewell, and the remainder passing into the intake. Flow up the STS accelerates to up to 5-6 feet per second (ft/s), with a portion of the flow returning to the intake between the GCD and the STS (Figures 2-6 to 2-8). The gatewell flow passes along the turning vane, with some separation downstream of the upstream intake roof and the turning vane, as shown by the low velocity areas in Figure 2-7. As the flow passes above the turning vane, the gate slot width increases abruptly above the turning vane and STS side supports, and the flow cannot immediately expand to fill the volume. An opposing recirculation of flow upward and then downward on either side of each bay results as the flow expands downstream of the abrupt gate slot transition (Figure 2-9). The CFD model results show that the recirculation is more intense on one side (generally the left side, looking upstream), likely as a result of slightly asymmetrical approach conditions generated by the different bay flows for bays A, B, and C.

Figure 2-6. Baseline, Unit $Q = 12,000 \text{ ft}^3/\text{s}$, Bay A Centerline Velocities



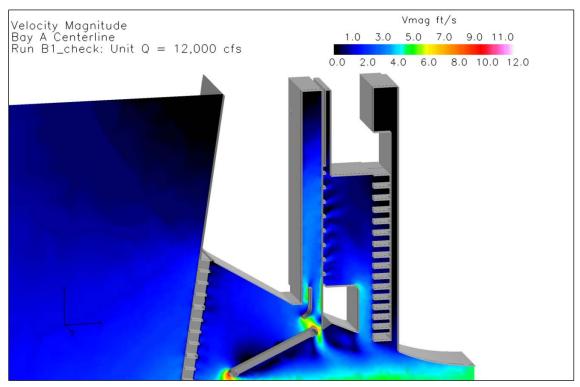
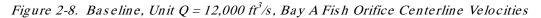
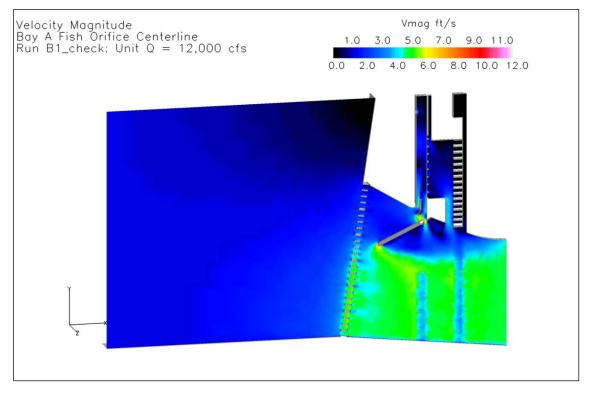


Figure 2-7. Baseline, Unit $Q = 12,000 \text{ ft}^3/\text{s}$, Bay A Centerline Velocities (zoomed)





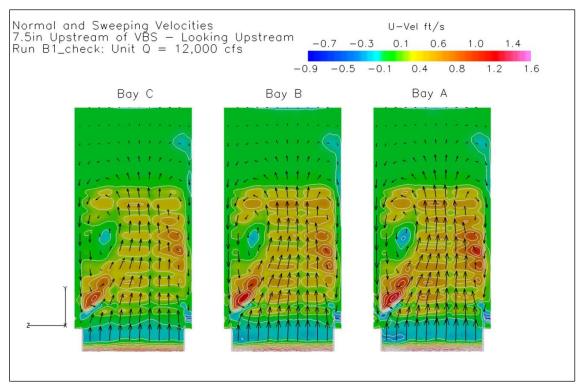


Figure 2-9. Baseline, Unit $Q = 12,000 \text{ ft}^3/\text{s}$, VBS Normal Velocities and Flow Patterns

Normal velocities just upstream of the VBS are generally less than the 1 ft/s criteria, with some velocities approaching 1 ft/s in the recirculation areas on either side of the VBS (Figure 2-9). Sweeping velocities up the VBS are generally positive (positive upward), but negative in the recirculation on either side of the VBS. The general level of turbulence in the gatewell is characterized by the turbulent kinetic energy isosurface plots in Figures 2-10 and 2-11. In the isosurface plots, regions with a specified level of turbulent kinetic energy [0.25 feet squared per second squared (ft²/s²) and 0.5 ft²/s² in Figures 2-10 and 2-11, respectively] are plotted as a three-dimensional surface to indicate location. For low flow conditions, regions of turbulence are present downstream of the intake roof, on the downstream face of the turning vane, and extending along either side of the VBS downstream of the gate slot expansion above the STS side supports.

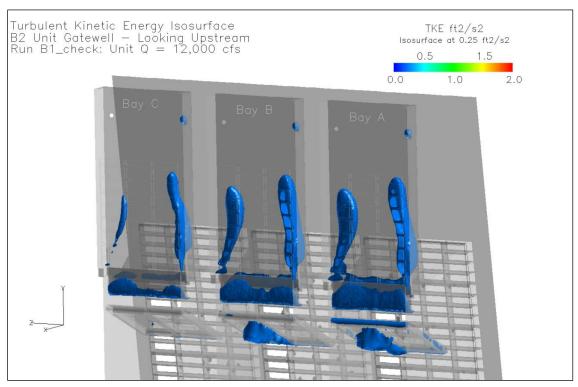
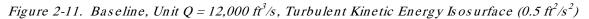
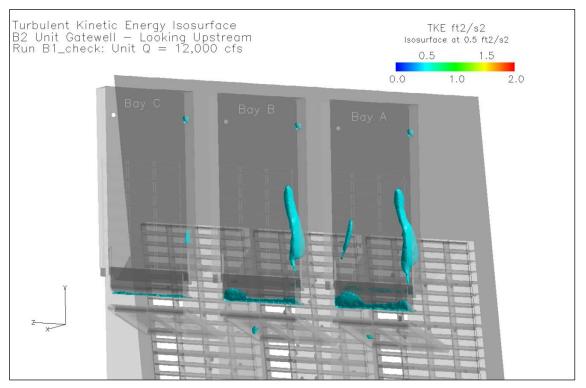


Figure 2-10. Baseline, Unit $Q = 12,000 \text{ ft}^3/\text{s}$, Turbulent Kinetic Energy Isosurface $(0.25 \text{ ft}^2/\text{s}^2)$





2.3.3.2. Medium Unit Flow Conditions – 15,000 ft³/s

The CFD model results for the medium unit flow (Unit Q) condition are summarized in Figures 2-12 to 2-15, with additional plots provided in Appendix C. The VBS flow for the medium unit flow condition (15,000 ft³/s) is approximately 270 ft³/s (see Table 2-6). The gatewell flow patterns for the 15,000 ft³/s unit flow condition are generally similar to those for the low unit flow condition, but the velocity magnitudes and intensity of the turbulence in the gatewell are increased. As flow passes up the STS to the GCD and turning vane, velocities reach 7-8 ft/s (Figure 2-13) as compared to 5-6 ft/s for the low unit flow condition. The plots of VBS normal velocity show increased intensity of the recirculation regions downstream of the gate slot expansion, and VBS normal velocities as high as 1.3-1.5 ft/s in the "hot spots" inside the left and right recirculation zones in bay A (Figure 2-14). The positive sweeping velocities are concentrated to the center portion of the VBS, with negative sweeping velocities on the outer left and right portions of the VBS (Figure 2-14). Turbulent kinetic energy increased in the gatewell with increased unit flow as shown by the larger volume isosurfaces in Figure 2-15.

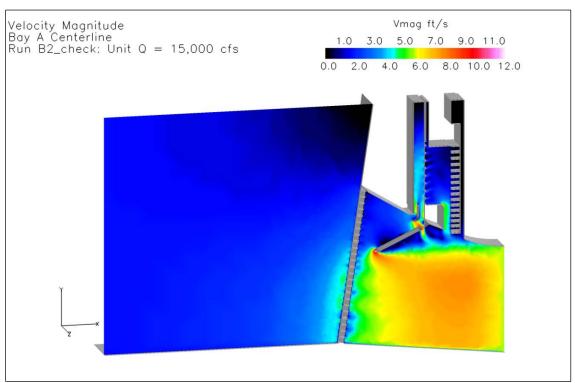


Figure 2-12. Baseline, Unit $Q = 15,000 \text{ ft}^3/\text{s}$, Bay A Centerline Velocities

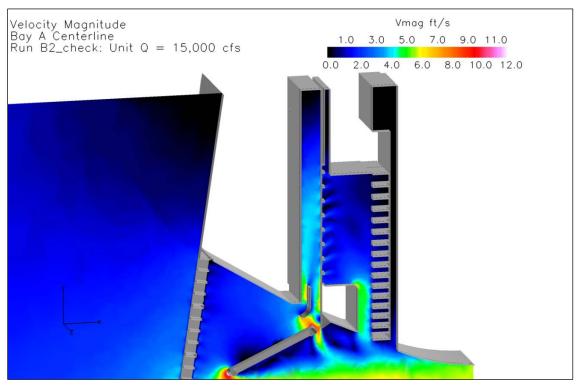
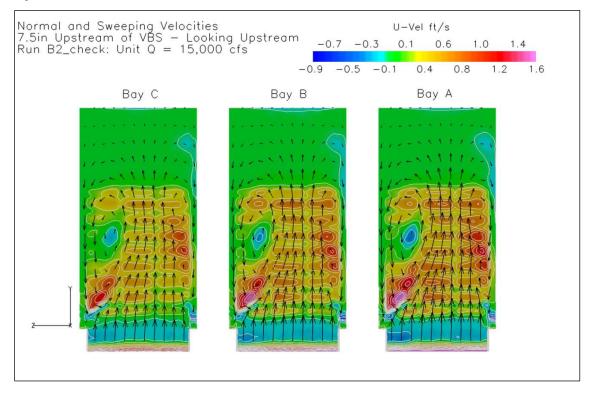


Figure 2-13. Baseline, Unit $Q = 15,000 \text{ ft}^3/\text{s}$, Bay A Centerline Velocities (zoomed)

Figure 2-14. Baseline, Unit $Q = 15,000 \text{ ft}^3/\text{s}$, VBS Normal Velocities and Flow Patterns



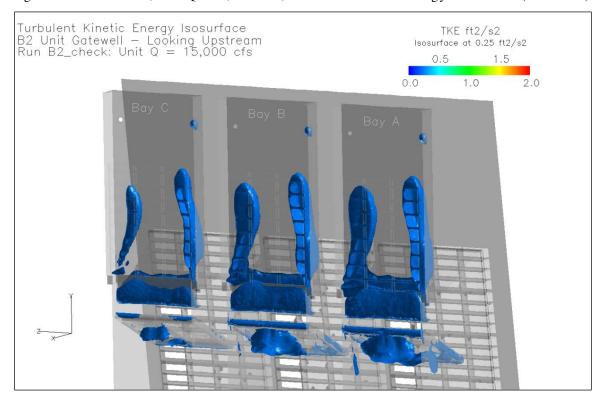


Figure 2-15. Baseline, Unit $Q = 15,000 \text{ ft}^3/\text{s}$, Turbulent Kinetic Energy Isosurface $(0.25 \text{ ft}^2/\text{s}^2)$

2.3.3.3. High Unit Flow Conditions – $18,000 \text{ ft}^3/\text{s}$

The CFD model results for the high unit flow (Unit Q) condition are summarized in Figures 2-16 to 2-19. The VBS flow for the high unit flow condition (18,000 ft³/s) is approximately 330 ft³/s (see Table 2-6). The gatewell flow patterns for the 18,000 ft³/s unit flow condition are generally similar to those for the low and medium unit flow condition, but the velocity magnitudes and intensity of the turbulence in the gatewell are further increased. As flow passes up the STS to the GCD and turning vane, velocities reach 9-10 ft/s (Figure 2-17) as compared to 5-6 ft/s for the low unit flow condition. The plots of VBS normal velocity show increased intensity of the recirculation regions downstream of the gate slot expansion, and VBS normal velocities as high as 1.4-1.6 ft/s in the "hot spots" inside the left and right recirculation zones in bay A (Figure 2-18). The positive sweeping velocities are concentrated to the center portion of the VBS, with negative sweeping velocities on the outer left and right portions of the VBS (Figure 2-18). Turbulent kinetic energy increased in the gatewell with increased unit flow as shown by the larger volume isosurfaces in Figure 2-19.

It is unknown whether there is a specific threshold for tolerance of turbulence by juveniles, but the increased turbulent kinetic energy coincident with higher recirculation and normal velocities on the VBS may be a significant factor in exhaustion and subsequent injury for juveniles. Therefore, alternatives for improving survival and FGE will consider streamlining the sweeping velocities along the VBS, reducing turbulence in the gatewell, minimizing gatewell residence time, and reducing and evenly distributing normal velocities on the VBS.

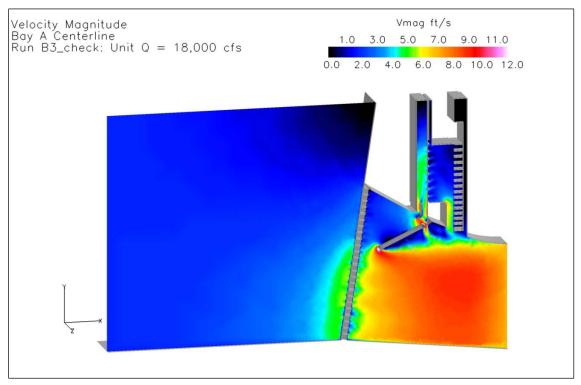
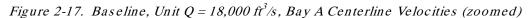
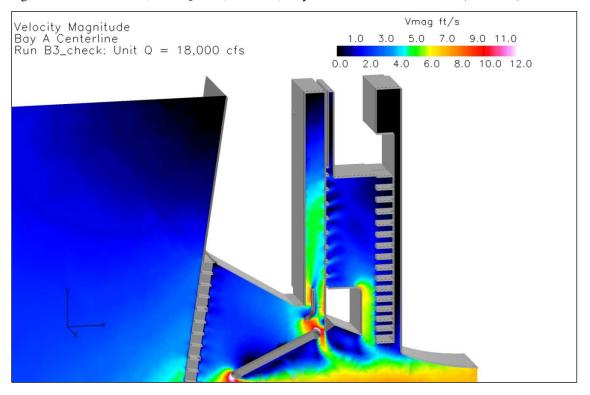


Figure 2-16. Baseline, Unit $Q = 18,000 \text{ ft}^3/\text{s}$, Bay A Centerline Velocities





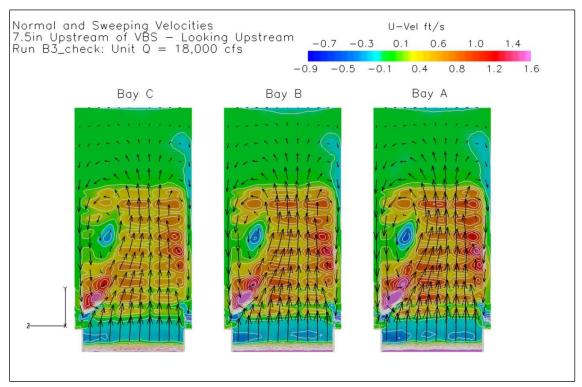
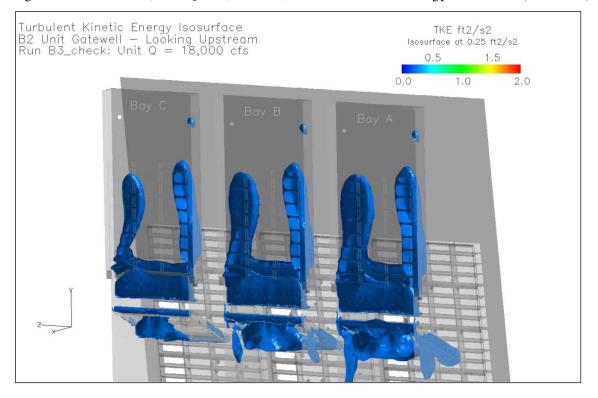


Figure 2-18. Baseline, Unit $Q = 18,000 \text{ ft}^3/\text{s}$, VBS Normal Velocities and Flow Patterns

Figure 2-19. Baseline, Unit $Q = 18,000 \text{ ft}^3/\text{s}$, Turbulent Kinetic Energy Isosurface $(0.25 \text{ ft}^2/\text{s}^2)$



3. CONSIDERATIONS AND ASSUMPTIONS

3.1. GENERAL

The following issues have been identified that need to be considered during investigation of alternatives.

- 1. The vertical inlet opening that may require flow control is 25 feet, 3 inches in height by 21 feet, 3 inches in width. This represents an area of 539 square feet in which a flow control device may have to be installed and operate.
- 2. The horizontal inlet opening that may require flow control is 21 feet, 3 inches long by 7 feet, 8 inches wide. This represents an area of 163 square feet in which a flow control device may have to be installed and operated. This does not include any adjustment for the configuration of the downstream bulkhead guides.
- 3. Horizontal or normal downstream flow varies from 0.2 ft/s at the top intake elevation of 54.00 feet to a maximum of 0.6 ft/s at the bottom sill elevation 31.00 feet.
- 4. Vertical flow velocity varies from 1.5 ft/s at the top intake elevation to a maximum of 6.3 ft/s at the bottom sill elevation (this is based on the 1:12 scale physical model results as a source).
- 5. The VBS frames must be pulled and cleaned of heavy loads of small wood debris, leafy material, and grasses throughout the year. During the months of March-December, they are pulled and cleaned at least two times a week. During peak months in May and June when debris levels tend to be at their heaviest, VBS may need to be cleaned daily.

3.2. BIOLOGICAL

In the BiOp for Bonneville Dam, the juvenile survival goal is 93% subyearling Chinook and 96% yearling Chinook and steelhead. Bonneville PH2 FGE improvements made to the turbine environment originally showed benefits with a 0.1% to 0.3% overall FGE improvement for yearling Chinook, subyearling Chinook, and steelhead during regular spill (April-August). A 0.7% FGE improvement was found after spill termination on September 1.

With the recent discovery of poor survival of SCNFH and run-of-river fish, the biological goal is to improve conditions for these fish while maintaining (or improving) the FGE and survival improvements of the original Bonneville PH2 FGE design. This biological goal is the driving factor for this study.

3.2.1. Assumptions

Provided below are the current assumptions as to what is happening within the gatewell post-FGE improvements:

- After FGE modifications, juvenile migrants, especially SCNFH subyearling Chinook, are being impacted and mortality is higher due to higher gatewell turbulence at turbine loads at the current upper 1% operating range, which is making it more difficult for fish to exit.
- Higher turbine loads (mid to upper 1%) result in more flow up the slot increasing turbulence.
- Increased turbulence is causing fish housed within the gatewell to take more time to find the orifice that is their entrance to the DSM channel.
- Dead fish that are being collected at the Bonneville PH2 smolt monitoring facility are showing little or no signs of injury. It is speculated that these fish are spending greater time within the

- gatewell trying to exit. Under these more turbulent conditions, fish are expending excessive energy trying to exit the gatewell and are dying of exhaustion before being able to exit.
- Reducing turbine loads on the FGE-modified units to mid to lower turbine operational ranges have shown to bring fish passage mortality back to acceptable ranges (>1%).
- Opening an additional available orifice within the gatewell during loads at mid and upper 1% reduces gatewell residence time and mortality/descaling is closer to acceptable historical levels (>1%).
- Taking actions that reduce turbulence either through operations or modifications to the gatewell
 environment will improve gatewell residence time, condition, and fish survival through the PH2
 DSM system.

After improvements or operational changes are made to the system, the USACE will be able to measure and identify quantifiable improvements that have been achieved by comparing pre- and post-implementation success via historical smolt passage data that will determine what constitutes success.

3.3. HYDRAULIC

3.3.1. Assumptions and Evaluation Criteria

In general, the following working assumptions were used in developing and evaluating alternatives:

- Based on available biological information, at 12,000 ft³/s unit flow, hydraulic conditions in the gatewell are favorable for fish passage. Conditions at 15,000 ft³/s unit flow may be acceptable for fish passage, but available data is limited.
- Based on available biological information, at 18,000 ft³/s unit flow, hydraulic conditions are unfavorable for fish passage.
- Based on the baseline CFD model results described in Section 2, alternatives for improving FGE will focus on the following to improve hydraulic conditions for fish passage:
 - o Streamlining the sweeping velocities along the VBS,
 - o reducing turbulence in the gatewell,
 - o minimizing gatewell residence time, and
 - o reducing and evenly distributing normal velocities on the VBS.
- The improvements listed above may be achieved by reducing gatewell flow through structural or operational means. Because FGE will likely decrease with decreased gatewell flow, flow control alternatives must be carefully balanced to achieve an overall improvement in FGE.
- Alternatives that streamline the gatewell geometry to reduce turbulence, change flow patterns, or reduce fish residence time while maintaining gatewell flow may improve hydraulic conditions for fish passage while maintaining FGE. These alternatives may be feasible as stand-alone alternatives or in combination with flow control alternatives.
- Structural alternatives will be included in the CFD model to a level of detail to capture hydraulic influence of structures (i.e., overall shape and dimensions as available, but not fasteners or minor structural details).
- Improvements identified in the steady state CFD model will correlate to improvements in the prototype which is dynamic in nature (transients). Exact benefits will not be quantified from the CFD model but trends would be similar between the model and the prototype.

The CFD model results for alternatives will be compared to baseline results using the following metrics:

- Turbulent kinetic energy;
- Gatewell residence time; and
- Gatewell flow patterns (normal and sweeping velocities).

3.3.2. Turbine Intake Screens and Vertical Barrier Screens

Turbine intake screen and VBS at mainstem Columbia and Snake River hydroelectric dams are exception to design criteria for conventional screens. Turbine intake screens are considered partial screens, because they do not screen the entire turbine discharge. They are high-velocity screens, meaning approach velocities are much higher than allowed for conventional screens. Turbine intake screens were retrofitted at many mainstem Columbia and Snake River powerhouses (that cannot be feasibly screened using conventional screen criteria) to protect juvenile fish from turbine entrainment to the extent possible. Vertical barrier screens pass nearly all flow entering the gatewell from the intake screen and intake ceiling apex zone. Fish pass upward along the VBS and then accumulate in the upper gatewell, near an orifice that is designed to pass them safely into the DSM.

Alternatives should be designed to operate within the design forebay level range (elevation 71.5 to 76.5 feet). Forebay levels remain within this range 97.3% of time (1974-1999 forebay data).

3.3.2.1. Turbine Intake Screens – Specific Criteria

Maximum Approach Velocity: Maximum approach velocity (normal to the screen face) for turbine intake screens must be 2.75 ft/s.

Stagnation Point: The stagnation point (point where the component of velocity along the turbine intake screen face is zero ft/s) must be at a location where the submerged screen intercepts 40% to 43% of turbine intake flow, and must be within 5 feet of the leading edge of the screen.

3.3.2.2. Vertical Barrier Screens – Specific Criteria

Through-Screen Velocity: Average VBS through-screen velocity must be a maximum of 1.0 ft/s, unless field testing is conducted to prove sufficiently low fish descaling injury rates at a specific site. The VBS must be designed to achieve uniform velocity distribution and minimize turbulence in upper gatewell. The VBS should be constructed of stainless steel bar screens with bars oriented horizontally and 1.75 mm (0.069 inches) maximum clearance between bars.

3.3.3. Downstream Migrant System – Specific Criteria

The hydraulic design of the DSM is driven by hydraulic criteria for safe passage of downstream migrating juvenile salmon. The primary objective of these criteria is to minimize injury or delay to the fish. Criteria provided by NOAA Fisheries for the forebay range, orifices, collection channel, dewatering structure, and exit section are listed below.

Design Forebay Operating Ranges

• Design forebay elevation for DSM constant flow operation: elevation 71.5 to 76.5 feet (normal operating range).

Orifices

- Plate velocity $^1 \ge 10$ ft/s.
- Orifice discharge $\geq 11 \text{ ft}^3/\text{s}$.
- Centerline trajectory of the orifice jets should enter the collection channel water surface at least 4 feet from the opposite wall.

Collection Channel

- Channel velocity ≥ 2 ft/s (acceptable for unit 11 per NOAA discussion).
- Channel velocity between 3 to 5 ft/s at downstream end.
- Channel water depth ≥ 4 feet.

Dewatering Facility

- Channel velocity between 3 to 5 ft/s.
- Average gross velocity entering dewatering screens ≤ 0.4 ft/s.
- Bypass outflow rate = $30 \text{ ft}^3/\text{s}$.
- Channel water depth ≥ 2 feet.

Exit Section

- Flow rate $30 \text{ ft}^3/\text{s}$.
- Ratio of bend radius to pipe diameter $(R/D) \ge 5$.
- Velocities should not increase or decrease at rates > 0.1 ft/s per unit foot of conduit length.

3.4. STRUCTURAL

Structural features and criteria will be developed for each alternative to a conceptual level.

3.5. MECHANICAL/ELECTRICAL

Mechanical and electrical features and criteria will be developed for each alternative to a conceptual level. The upstream gate slot is where the STSs are deployed and where the inspection camera descends to inspect the STS while it is travelling. In addition, the VBSs are in this slot at the downstream face, dividing the upstream and downstream gate slots. The downstream gate slot is where the hydraulic head gates are permanently mounted, in a ready-to-deploy configuration. The deck area around both slots will need to be kept clear so that equipment and weight-handling devices can be used to service the turbine intakes. Alternatives developed will need to accommodate existing equipment and work activities.

If electrical power is needed, cabling can be routed through existing conduits from the Elevation 70 Gallery into the downstream head gate slot. The instrumentation for the VBS, the power supply, and instrumentation cabling for the STSs are in existing conduits; any new cabling will need to be routed around these existing features. Any addition or modification to the electrical system will comply with the latest edition of the National Electrical Code (NFPA 70).

¹ A 12- inch diameter orifice plate is bolted in a 16-inch diameter steel tube extending through the gate slot wall. The plate velocity is the average velocity of the water as it crosses the opening.

3.6. Cost Engineering

3.6.1. Total Project Costs

Total project costs will be generated for the recommended alternative. These costs are applicable to structural alternatives which require design and construction to modify the VBS or installation of additional equipment. These costs include design, construction, escalation to the mid-point of construction, supervision and inspection, engineering during construction, and contingency costs. Engineer Technical Letter 1110-2-573, *Construction Cost Estimating Guide for Civil Works*, provides the criteria for developing these costs, which is to estimate a fair and reasonable cost for the alternative.

3.6.2. Life Cycle Costs

Life cycle costs (LCC) will be generated for the alternatives considered in the second round of evaluation. LCC are used to compare alternatives with high initial costs and low operational costs, with other alternatives with low initial costs and high maintenance costs, or in this case, lost power costs. Life cycle costs will include *all* costs involved in the alternative during its project life, such as design, construction, operation, and lost power costs, as applicable. For comparison purposes, all of these costs will be calculated as the present worth using appropriate discount rates for future costs and assuming a nominal 50-year project service life. They will also be presented as an average annual cost. Engineering Regulation 1110-2-8159, *Life Cycle Design and Performance*, defines the policies for long-term performance and life cycle costs.

3.7. HYDROPOWER ECONOMIC ANALYSIS

Alternative B1 consists of operating Bonneville PH2 main units off the 1% peak range during the juvenile fish passage season (March-August). The estimated impacts of this alternative, in terms of foregone project generation and foregone hydropower benefits, are summarized in Section 4.6.7. Details regarding the procedures and methodology used to develop these estimates are presented in Appendix D, *Hydropower Impacts*. The main inputs and assumptions associated with the hydropower impacts analysis are summarized below.

3.7.1. Alternatives Defined for the Hydropower Impacts Analysis

The hydropower impacts of Alternative B1 were developed by estimating Bonneville generation output and hydropower benefits under each of the following two alternatives:

- Base Case: Bonneville PH2 Units Operate to Upper 1% Operating Point. This alternative assumes that all Bonneville first powerhouse (PH1) and PH2 main units operate between the peak efficiency operating point and upper 1% operating point during the juvenile fish passage season.
- Alternative Case: Bonneville PH2 Units Operate at Peak Efficiency Operating Point. This alternative assumes that all Bonneville PH1 main units operate between the peak efficiency operating point and upper 1% operating point during the juvenile fish passage season, while all Bonneville PH2 main units operate at the peak efficiency operating point during this time period.

3.7.2. Turbine Energy Analysis Model Inputs and Assumptions

The Turbine Energy Analysis Model (TEAM) was used to estimate the energy generation output of Bonneville under the base case and alternative case. Model inputs and assumptions are listed below.

- Monthly Flow Releases and Forebay Elevations. Bonneville monthly total flow releases and forebay elevations for a 50-year period served as input to TEAM. This monthly data was obtained as output from the USACE Hydro System Seasonal Regulation (HYSSR) model. This model is used to simulate the operation of the Columbia River Basin system of projects over the hydrologic period of record from August 1928 through July 1978.
- **Tailwater Rating Table.** The Bonneville tailwater rating table obtained from HYSSR served as input to TEAM. This table was used to estimate the tailwater elevation corresponding to each monthly total flow release. The model then used monthly forebay and tailwater elevations to estimate generating head for each month in 50-year period of record.
- Monthly Non-power Discharges/Flow Losses. TEAM allows for the input of a year of monthly non-power discharges/flow losses which represent flows not available for power generation. Included in this category are lockages, flows through fish ladders, juvenile bypass systems, ice and trash sluiceways, the Bonneville PH2 corner collector, and auxiliary water supply for fishways. Not included are spill for fish requirements, which are entered into TEAM separately. The year of monthly non-power discharges/flow losses were obtained from annual USACE data submittal and were subtracted from each of the 50 years of project monthly total flow releases.
- Bonneville PH1 and PH2 Unit Performance Equations. In order to estimate Bonneville monthly generation output under the base case and alternative case, TEAM required as input equations representing the combined performance of the unit turbine and generator. The Hydroelectric Design Center (HDC) developed performance equations for Bonneville PH1 and PH2 units, expressing unit output (MW) and unit efficiency as a function of generating head. Separate equations were developed by HDC for unit performance at the peak efficiency operating point and for unit performance at the upper 1% operating point.
- **Assumptions**. Since the interest of this study is unit operation during juvenile fish passage season, the unit performance equations assumed unit operation with STS fish screens in place. In addition, since PH1 major rehabilitation has been completed, the performance equations for PH1 units assume unit operation with turbine runner replacement and generator rewind for all 10 units.
- Unit Loading Order. A single unit loading order was assumed in TEAM for the juvenile fish passage season. Consistent with the predominant unit loading order listed in the annual Fish Passage Plan (FPP), Bonneville PH2 units were loaded ahead of Bonneville PH1 units.
- Unit Outage Order. TEAM allows for the input of one or more unit outage orders, indicating which units are to taken out of service during a given month. Based on an analysis of Bonneville historical unit outage data (planned and forced outages) for a recent 10-year period, from two to four units were assumed to be out of service during a given month. Units from Bonneville PH1 and PH2 were assumed to be placed on outage in the reverse of unit loading order. To the extent possible, units placed on outage were evenly split between PH1 and PH2.
- **Spill for Juvenile Fish.** Monthly spill for fish requirements for the April-August spill season were obtained from the annual FPP and the annual USACE data submittal and were entered into TEAM using two parameters: (1) percent of project flow spilled for fish, and (2) upper limit (in thousand ft³/s) on project flow spilled for fish (i.e., spill cap). Since TEAM uses a monthly time step, it was not possible to model separate daytime and nighttime spill caps for each month of the spill season. TEAM assumed a weighted spill cap for each month, with the daytime and nighttime spill caps for any given month being weighted according to the number of hours per day that each spill cap applied.

3.7.3. COMPARE Spreadsheet Inputs and Assumptions

The Excel spreadsheet COMPARE was used to estimate the energy benefits for Bonneville Dam under the base case and alternative case. Spreadsheet inputs and assumption are listed below.

- Energy Generation Output. As noted in Section 3.7.2, estimates for Bonneville Dam's energy generation output under the base case and alternative case were obtained using TEAM. For each case, the model estimated weekly generation over a 50-year hydrologic period of record during each of the following three sub-periods: super-peak (SP) hours, heavy-load hours (HLH), and light-load hours (LLH). The weekly generation output from TEAM for each sub-period was imported into the COMPARE spreadsheet.
- Value of Energy Generation. Weekly energy values (in \$/MW hour) for all years in the 50-year hydrologic period and for each of the three sub-periods were also imported into COMPARE. The weekly energy values are based on BPA's projected hourly market-clearing prices over the 50-year hydrologic period. These projections were developed using an electric energy market model called AURORA, which is owned and licensed by EPIS Incorporated. For each of the 50 water years, AURORA determined the hourly marginal cost for each hour of the period October 2009 to September 2010, which is the load year assumed in AURORA. For each water year, the hourly marginal cost output from AURORA was grouped by week and sub-period to determine the weekly energy values for import to the COMPARE spreadsheet.

4. ALTERNATIVES

This section describes the configuration and components of the alternatives. The technical analyses used in the alternatives analysis and design are also described. The sectional CFD model grid was modified to include geometric features of select alternatives, as described in Section 4.3.2.

4.1. DESCRIPTION OF ALTERNATIVES

Alternatives are categorized into modifications for flow control, operations, and flow pattern change, as described below.

Flow control alternatives:

- A1 Adjustable Louver Flow Control Device: Construct a device to control the flow up the gatewell. The device would be placed downstream of the VBS. Similar devices have been used at John Day and McNary dams.
- A2 Sliding Plate Flow Control Device: Construct a sliding plate flow control device attached to the top of the gatewell beam.
- A3 Modify VBS Perforated Plates.
- A4 Modify Turning Vane and/or GCD.

Operational alternatives:

- B1 Operate Main Units Off 1% Peak Range: Operate the main turbine units at the lower to mid 1% peak operating range during the SCNFH juvenile fish release.
- B2 Open Second DSM Orifices: Open the second DSM gatewell orifice to decrease fish retention time in the gatewell.
- B3 Horizontal Slot for DSM: Construct a horizontal slot in place of the existing orifices to decrease fish retention time in the gatewell.

Flow pattern change alternative:

• C – Gate Slot Fillers: Install gate slot fillers in the slots above the turning vane and STS supports to reduce turbulence in the gatewell and streamline sweeping velocities up the VBS.

Each of the alternatives will require some degree of real time monitoring for flow velocity. This will be required to determine baseline flow conditions, compare prototype performance, and fine tune operations to meet the target requirements.

Another PDT is currently working on orifice improvements with the design goals for improving the ability of the project to detect debris accumulation at the orifice, reduce the likelihood of fish impingement due to misalignment of orifice flow, and reduce gatewell egress times with improved lighting. The working assumption for the Orifice Improvement PDT is that lighting would be upgraded regardless of the selected alternative(s) to address the design goals. The assumption of the B2 FGE PDT is that orifice lighting alone should improve guidance to the orifice but will not function as a standalone alternative.

4.2. ALTERNATIVE A1 – ADJUSTABLE LOUVER FLOW CONTROL DEVICE

4.2.1. Description

Alternative A1 involves installation of a series of adjustable plates (louvers) in the opening downstream of the VBS (Figure 4-1). The louvers would be adjusted accordingly to meet the target flow in the gatewell.

GATE WELL ******* PRESSURE TRANSDUCERS VERTICAL BARRIER SCREENS (VBS) (NEW AND MODIFY EXISITNG) VBS .-Adjustable Louver System STS TURNING SUBMERGED TRAVELING SCREEN (STS) GATE WELL BEAM TYPICAL TRANSVERSE SECTION

Figure 4-1. Alternative A1 – Adjustable Louver Flow Control Device

The adjustable louver flow control device can be constructed of stainless or carbon steel and designed to vary the opening width at top and bottom. For a permanent design, opening and closing adjustments may be made from a separate device lowered into the downstream VBS slot, through a conduit cored through the existing concrete or by remote control.

4.2.2. Hydraulic Design

4.2.2.1. Hydraulic Modeling

Alternative A1 has not been evaluated using the CFD model. If the team prioritizes this alternative for further evaluation, the CFD model will be modified to include a hydraulic representation of the louvers downstream of the VBS. The alternative would be evaluated at high flow conditions $(18,000 \text{ ft}^3/\text{s} \text{ unit flow})$ to determine the impact on VBS velocities and flow patterns. Additional documentation runs at low and medium unit flows $(12,000 \text{ and } 15,000 \text{ ft}^3/\text{s}, \text{ respectively})$ would confirm the performance of the alternative over a range of unit flows.

4.2.2.2. CFD Model Results

Alternative A1 was not prioritized for simulation in the CFD model. Alternative A2, Sliding Plate Flow Control Device, was modeled as a flow control device and is presented in Section 4.3.

4.2.3. Structural Design

Alternative A1 would consist of stainless steel plates making up the louver system. Stainless steel is rigid and corrosion resistant. The louvers will be framed and anchored as a system. The frame will be made of stainless steel box sections anchored to the existing concrete using undercut with epoxy anchors due to the vibration present in the powerhouse that is caused by water passing over the louvers. The frames will be 10 feet in height and span the length of bay. An ANSYS model will be developed, and the louvers, frame, and connections will be analyzed. The analysis, along with engineering judgment, will determine the weld procedures, sizes, and connection methods. The design will allow for a variety of pivot designs and control of the friction points. The design will allow for individual replacement of the louvers. The inspection period would ideally be on a 5-year period after the prototype was built or the first year in service. Inspection would be during the unit outage and inspected from a crane basket.

4.2.4. Mechanical/Electrical Design

A louver system is suggested because the downstream gate slot is partially obstructed by the head gates, and there is concern that a flow control device in the slot would need to be designed around both the movement and the geometry of the head gate. It is unknown at this time if a head gate might be removed for servicing at the same time as the flow control device is needed. There is a risk that the flow control device in the downstream gate slot might interfere with deploying the headgate in an emergency. These two factors are the motivation that initiated consideration of an adjustable flow control device that is not located in the downstream gate slot, and the louver-type device is the outgrowth of that consideration.

The louver-type device would be installed in the space immediately downstream of the VBS in the rectangular opening between the upstream and downstream gate slots. In the existing arrangement, flow goes upward from the turbine intake tunnel into the upstream gate slot, passes through the VBS, through the rectangular opening into the downstream gate slot, and then flows back down into the turbine intake tunnel. Flow is currently modulated by panels of perforated plate that are integral to the VBS screen structure.

A louver-type device would be modeled after a flow control damper that is used to modulate flow in the heating, ventilation and air conditioning (HVAC) ducting. Similar devices do not exist for water, or other liquid systems, except in very rare instances such as flow modulation devices that also control turbulence in flow-testing tunnels, and these are always custom designs. The same approach would be employed in this case. The louver in the full open position will generate a small but significant amount of obstruction, causing increased resistance to flow. It is possible that the existing perforated plates will need to be modified to increase their porosity to compensate for the increase in resistance from the louver device. The increased resistance caused by the louver device will need to be distributed in a relatively uniform way across the surface of the VBS screen upstream face. Unless it is found to be helpful, the flow leaving the louver device should not have a dominant velocity vector direction which could tend to reduce the total energy loss through the louver. To accommodate and/or mitigate these concerns, the HVAC damper design is suggested as a suitable concept. The louver design is much like a Venetian blind, except that every other blade turns the opposite direction. By varying the angle of the blades, the occluded flow area varies, which causes variation in the overall flow rate.

Some means of control and operating power is needed to vary the position of the louver blades. The operating equipment will need to be located in a place that allows removal for servicing, possibly located in a recess created by core-drilling into the concrete intake deck. The louvers themselves will be very difficult to remove and service, so ultra-low maintenance design and materials should be employed.

4.2.5. Fisheries Considerations

Similar devices have been tried at both John Day and McNary dams to control the flow of water entering the gatewell. High velocities and turbulent flow result in poor fish conditions within the gatewell that reduces orifice passage efficiency, which is the measure of how effectively fish vacate and utilize the gatewell orifice to move into the juvenile bypass collection channel. This type of flow reduction device has shown to be effective at reducing flows up into the slot but not without reductions to FGE, increasing juvenile passage through the gap at the top of the screen and the turbine intake ceiling, as well as being problematic from an operational stand point due to having an obstacle in the permanent downstream head gate slot. A thorough biological analysis will occur in further investigations if this alternative is selected for prototype evaluation.

4.2.6. Operation and Maintenance (O&M)

Other operational issues may also be incurred due to the need to regularly adjust the louvered system from the intake deck by the rigging crew. Any additional manpower needs for fish bypass equipment also comes with labor and O&M cost increases that will need to be absorbed into currently tight O&M budgets.

4.3. ALTERNATIVE A2 – SLIDING PLATE FLOW CONTROL DEVICE

4.3.1. Description

Alternative A2 involves a system of two sliding plates attached to the top of the gatewell beam (Figures 4-2 and 4-3). Gatewell flow could be controlled by one plate sliding over the other to adjust the opening depending on the required velocity. Both plates can be made of carbon steel or stainless steel (with a Teflon coating to reduce friction) or aluminum. Similar to Alternative A1, a permanent design may be operated from a separate device lowered into the downstream VBS slot, through a conduit cored through the existing concrete or by remote control.

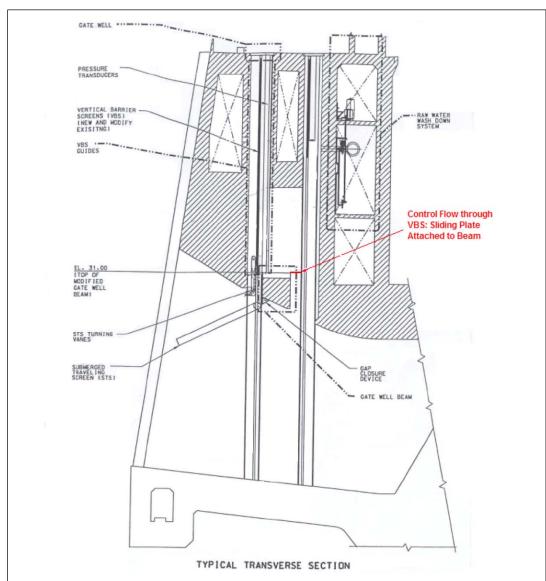


Figure 4-2. Alternative A2 – Sliding Plate Flow Control Device

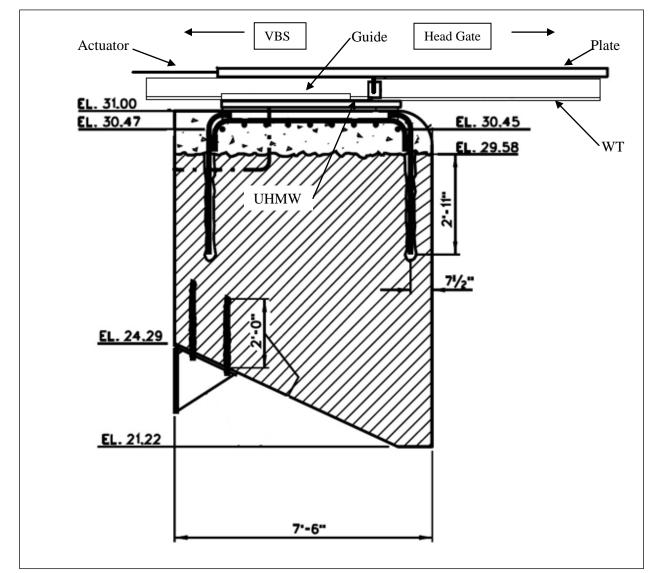


Figure 4-3. Alternative A2 – Sliding Plate Flow Control Device Detail

4.3.2. Hydraulic Design

4.3.2.1. Hydraulic Modeling

The sectional CFD model grid was modified to include the approximate geometric features of the sliding plate flow control device as described in Appendix C. The flow control device was modeled as a 6-inch thick plate, extending across the full width of each bay and with varied lengths in the downstream direction. The flow control device was included in the model grid in three segments representing occlusion of 25%, 50%, and 75% of the cross-sectional flow area between the gatewell beam and emergency gate as shown in Figure 4-4. Three CFD model runs were conducted at a unit flow of 18,000 ft³/s to investigate the relative change in VBS flow with the flow control device occluding 25%, 50%, and 75% of the return flow area. All other geometric conditions in the model were representative of baseline conditions.

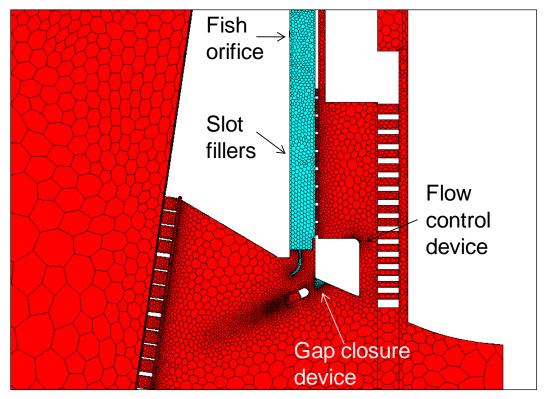


Figure 4-4. Alternative A2 – Sliding Plate Flow Control Device CFD Model Grid

4.3.2.2. CFD Model Results

The VBS flows with the sliding plate flow control device occluding 25%, 50%, and 75% of the return flow area are summarized in Table 4-1. The 25% sliding plate setting results in a bay A VBS flow (272 $\rm ft^3/s$) that is comparable to the VBS flow for the baseline conditions with 15,000 $\rm ft^3/s$ unit flow. The 50% sliding plate setting results in a bay A VBS flow (219 $\rm ft^3/s$) that is comparable to the bay A VBS flow for the baseline conditions for 12,000 $\rm ft^3/s$ unit flow. For brevity, the results of the 25% sliding plate setting sectional CFD model run are described below.

2									
Unit Flow (ft ³ /s)	Sliding Plate Setting	Bay A VBS Flow (ft ³ /s)							
18,000	25%	276							
18,000	50%	216							

75%

Table 4-1. VBS Flow Control with Sliding Plate Flow Control Device

The sectional CFD model results for the sliding plate flow control device occluding 25% of the return flow area are summarized in Figures 4-5 to 4-7. The velocity magnitudes approaching the STS and gatewell look similar with the 25% sliding plate installed (Figure 4-5) to those for the baseline 18,000 ft³/s unit flow case (see Figure 2-17), as expected, since the unit flows are the same. As the flow enters the gatewell, the influence of the flow control device can be seen in the lower gatewell velocities in Figure 4-5 that are more comparable to the baseline 15,000 ft³/s unit flow case (see Figure 2-13). The

116

18,000

25% sliding plate alternative appears to have slightly more flow up the upstream side of the turning vane and less up the downstream side of the turning vane than in the baseline 15,000 ft³/s unit flow case for an equivalent gatewell flow.

Normal velocities and flow patterns on the VBS are similar for the 25% sliding plate alternative and the baseline 15,000 ft³/s unit flow case (Figure 4-6 and Figure 2-14), as expected for comparable VBS flows. Turbulent kinetic energy in the gatewell for the 25% sliding plate alternative (Figure 4-7) is slightly reduced from the baseline 18,000 ft³/s unit flow case (see Figure 2-19), but not quite to the level seen in the baseline 15,000 ft³/s unit flow case (see Figure 2-15). This may be due to the difference in velocities and flow patterns approaching the gatewell along the turning vane described above.

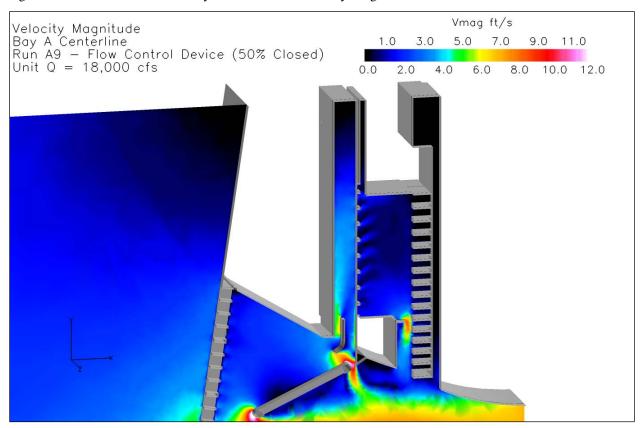


Figure 4-5. Alternative A2 – Bay A Centerline Velocity Magnitude

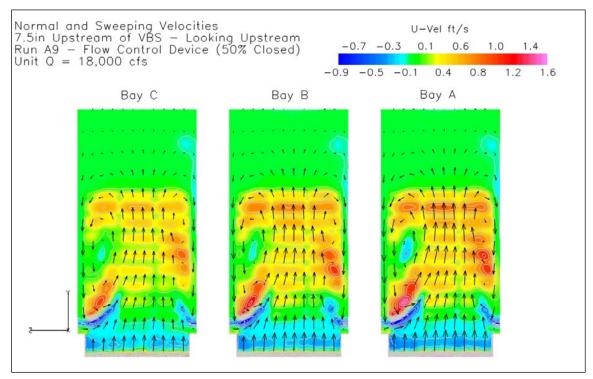
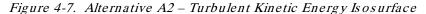


Figure 4-6. Alternative A2 – VBS Normal Velocities and Flow Patterns





4.3.3. Structural Design

Alternative A2 will be designed using a combination of materials: stainless steel and ultra-high molecular weight (UHMW) plastic. The sliding plate will be placed just upstream of the headgate slot and on top of the gatewell beam. The plate that covers the gatewell slot will be a 2-inch plate supported by a wide-tee section (WT 12 x 52) spaced 4 feet on center. The WT sections will be fillet welded, web to the plate. A guide made of bent plate will overlap the flange of the WT sections (see Figure 4-3). The inspection period would ideally be on a 5-year period after the prototype was built or the first year in service. Inspection would be during the unit outage and inspected from a crane basket.

4.3.4. Mechanical/Electrical Design

The sliding plate concept is suggested because the downstream gate well and head gate configuration provides a location where flow can be throttled by a plate that slides horizontally outward from the bottom of the rectangular opening between gate slots. The plate would move out in the downstream direction and partially close off the flow passing down into the turbine intake tunnel. Two key issues for consideration include not allowing the plate to be capable of failing in a manner that allows the plate device to interfere with deployment of the head gates, and determining if there is ever a time when the plate device would be needed when the head gate has been removed from the slot for servicing.

The plate will be carrying the hydraulic load in a partially cantilevered mode, so it will likely need gusseting and reinforcing ribs. In addition the trailing edge where flow is cleaving away will need to be streamlined to resist vibration. The supports and operating machinery will need to be streamlined, since there is a risk that the VBS and the STS may be pulled out of the slots in high-debris situations, and juvenile fish will be carried past the equipment by the flow.

Instrumentation and operating machinery will likely need to be underwater, although the electric or hydraulic motors could be located remotely with power transmission shafting extending down to the location of the operating equipment. This equipment will be very difficult to service, so ultra-low maintenance materials and components should be selected.

4.3.5. Fisheries Considerations

As with Alternative A1, this alternative does provide for a controlled gatewell flow and may provide acceptable conditions that allow the implementation of the full turbine unit operational range but with reduced FGE outcomes. A thorough biological analysis will occur in further investigations if this alternative is selected for prototype evaluation.

4.3.6. Operation and Maintenance

This option also has a sizeable O&M component but also is retained in the downstream headgate slot that is problematic for emergency headgate deployment.

4.4. ALTERNATIVE A3 – MODIFY VBS PERFORATED PLATES

4.4.1. Description

Alternative A3 involves modifying the existing VBS perforated plates resulting in a reduction of gatewell flow. A separate, modified perforated plate would be attached to the existing perforated plate and be allowed to slide to constrict flow to meet a target flow velocity. This perforated plate can be constructed of carbon steel with a Teflon coating to reduce friction during operation. A prototype could be built that would be adjustable and locked in place by hand. A permanent design may be attached to the existing perforated plate and mechanically or remotely controlled.

4.4.2. Hydraulic Design

This alternative has not been evaluated using hydraulic modeling because it is considered similar in principle to Alternative A2. If the team prioritizes this alternative for further evaluation, physical hydraulic modeling investigations will be needed. Preliminary investigation can be conducted using the CFD model to gain an initial understanding of the relative change in VBS flow from changes to the screen perforated plates. A physical hydraulic model would need to be constructed to evaluate actual required changes to prototype perforated plate porosities to maintain balanced normal velocities within criteria.

4.4.3. Structural, Mechanical and Electrical Design

This alternative involves a concept wherein two identical perforated plates are stacked (or layered) face to face on the back of the VBS (Figure 4-8). Flow of water passing through the VBS is regulated by an existing perforated plate, and the layered perforated plate concept would be accomplished by adding a second perforated plate to the backside of the VBS.



Figure 4-8. Alternative A3 – Modify VBS Perforated Plates

The initial position of the two perforated plates would have all the holes in both perforated plates concentrically aligned and open. To reduce the volume of water flowing through the VBS, the outer perforated plate would slide with respect to the inner perforated plate, so that the outer plate holes are not perfectly concentric with the holes in the inner plate anymore, but are now partially occluding each other. Further movement increases the amount of occlusion, and increases restriction in flow.

The existing perforated plate and fish screen assembly is not readily adaptable to the sliding perforated plate concept. The existing perforated plates are roughly 2 feet by 6 feet, and are separated by the VBS structural frame made out of 6-inch by 6-inch square structural tubing. The perforated plates are inset about 5/8 inch into rectangular openings in the back of the VBS, and are not flush with the back surface of the framing. The perforated plates are carbon steel with an epoxy coating system. Furthermore, bolting tabs that hold the existing perforated plates and fish screens in place in the VBS frame are on the back of the perforated plates. There is a limited amount of space between the downstream side of the VBS and the concrete gate slot wall, which constrains the thickness of any sort of machinery or mechanism that extends downstream beyond the VBS structural framing to about one inch. The design for the sliding perforated plate concept would need to include replacement of the existing perforated plates and also take into account all of the issues presented here. This is a formidable design challenge.

4.4.4. Fisheries Considerations

Adjustments may be needed during the juvenile passage season which would impact passage and fish survival. This may require the screens be pulled to make the adjustments. A thorough biological analysis will occur in further investigations if this alternative is selected for prototype evaluation.

4.4.5. Operation and Maintenance

This alternative could present significant operational challenges when adjustments are needed. Any mechanical adjustments will need to be made while the screens are in the dogged position and up out of the water. This requires the unit to be shut down and out of service while adjustments are being made. Also, this concept may include many moving parts that have historically been problematic from an O&M perspective when operated in a debris-rich environment.

4.5. ALTERNATIVE A4 – MODIFY TURNING VANE AND GAP CLOSURE DEVICE

4.5.1. Description

Alternative A4 involves modifying the existing turning vane and/or GCD to reduce the discharge flowing into the gatewell. Turning vanes direct the flow up the gate slot and are installed just above the top of the STS. The GCD is mounted on the intake roof just downstream of the STS to prevent fish from travelling through the turbine, as well as divert more flow up the gatewell.

4.5.2. Hydraulic Design

4.5.2.1. Hydraulic Modeling

The sectional CFD model grid was modified to model the removal of the GCD to reduce gatewell flow in all three bays. The grid cells representing the gap closure device in the sectional CFD model (see Figure 4-4) were defined as fluid cells rather than solid cells to allow flow freely through the region previously occupied by the GCD. One CFD model run was conducted at a unit flow of 18,000 ft³/s to investigate the relative change in VBS flow with the GCD removed. All other geometric conditions in the model were representative of baseline conditions.

4.5.2.2. CFD Model Results

The sectional CFD model results for Alternative A4 are summarized in Figures 4-9 to 4-11. With the GCD removed, more flow passes through the gap between the STS and the gatewell beam, resulting in lower VBS flow (approximately 110 ft³/s). Velocity magnitude through the gap is increased over that for the baseline condition as shown in Figure 4-9. The higher velocities at the upper end of the STS and through the gap result in an altered flow pattern at the base of the VBS with flow actually recirculating and passing upstream through the lower VBS panels as shown in Figure 4-10. It is important to note that the VBS porosity settings for this alternative were set the same as the baseline condition and no attempt was made to compensate for the backflow through the VBS in this particular model run. Turbulent kinetic energy in the gatewell is similar to baseline conditions, though some effect of the backflow through the lower VBS is apparent in the turbulence plots in Figure 4-11.

4.5.3. Structural Design

The modifications to the STS and the GCD would be similar in style and material as the current design. The existing anchor system for the GCD would likely not be able to be put back in service once the GCD is removed for modification. A new anchoring schema would need to be designed, likely to be similar to the original design only located the appropriate distance adjacent to the existing anchors. The STS turning vane would be modified on the STS to meet the shape required to meet the ideal shape developed for the CFD model.

4.5.4. Mechanical/Electrical Design

No significant mechanical or electrical involvement, unless designers discover that some modifications to existing STS electrical or mechanical equipment are necessary.

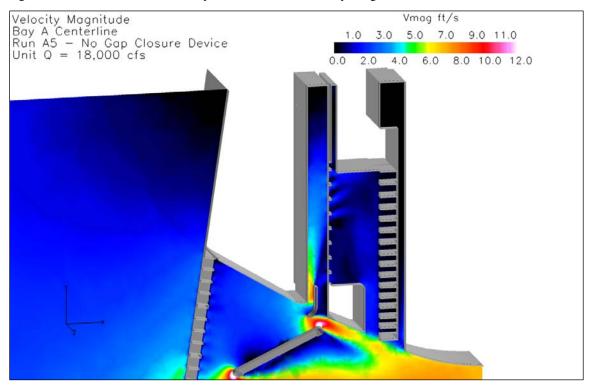
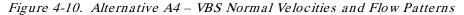
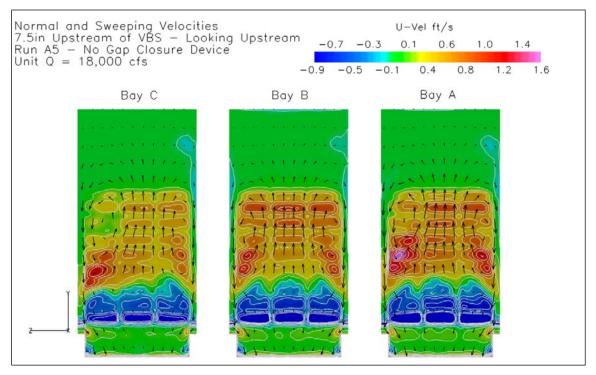


Figure 4-9. Alternative A4 – Bay A Centerline Velocity Magnitude





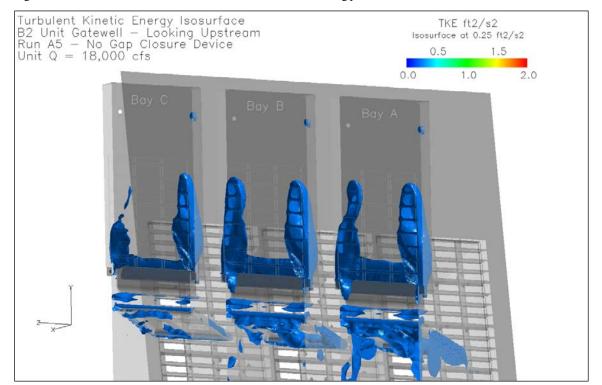


Figure 4-11. Alternative A4 – Turbulent Kinetic Energy Isosurface

4.5.5. Fisheries Considerations

Hydraulic CFD analysis has identified problematic areas with this design. The removal of the GCD would allow fish normally directed upward and into the gatewell to now be directed through the top gap thus reducing FGE. Hydraulics also identified a reverse backflow that was problematic with no significant reduction to the turbulent kinetic energy that determined to be the most critical hydraulic condition to reduce in a system modification. Modifications to the turning vane design will also have an effect of reduced FGE by reducing the amount of water shunted up the gatewell. The goal of this alternatives phase is to reduce gatewell turbulence but also maintain the full range of turbine operations and FGE guidance. This option reduces FGE and may even increase the amount of fish that would normally be diverted through the gap by removing it and its effectiveness. A thorough biological analysis will occur in further investigations if this alternative is selected for prototype evaluation.

4.5.6. Operation and Maintenance

The O&M requirements will be similar to the current system.

4.6. ALTERNATIVE B1 – OPERATE MAIN UNITS OFF 1% PEAK RANGE

4.6.1. Description

Alternative B1 involves reducing the gatewell flow by operating the Bonneville PH2 main units off the 1% peak operating range (lower to mid 1% or 12,000 to 15,000 ft³/s, respectively) to improve fish survival. Biological testing conducted by NOAA in 2008-09 found statistically significant differences between treatment groups when operating at the lower, middle, and high 1% peak efficiency turbine operation ranges. These results provided evidence that passage mortality and descaling increased as turbine operation was increased to higher levels in the 1% range (Gilbreath et al. 2012). Reduced unit flows are expected to improve hydraulic conditions for fish passage through the gatewell. Typical unit flow for this operation would be approximately 12,000 to 15,000 ft³/s.

4.6.2. Hydraulic Design

4.6.2.1. Hydraulic Modeling

This operational alternative does not involve any changes to the baseline geometry of the unit, gatewell, or screens. Therefore, the results of the baseline sectional CFD model runs at lower unit flows (12,000 and 15,000 ft³/s) are indicative of the hydraulic conditions in the gatewell with the unit operating in the lower and mid 1% range.

4.6.2.2. CFD Model Results

The hydraulic conditions expected during unit operations in the lower and mid 1% range are described in the 12,000 and 15,000 ft³/s baseline results, respectively (see Section 2 and Figures 2-6 to 2-19).

4.6.3. Structural Design

Structural engineering is not required for this alternative.

4.6.4. Mechanical/Electrical Design

Mechanical/electrical engineering is not required for this alternative.

4.6.5. Fisheries Considerations

This unit operational constraint has been used during times of SCNFH fall Chinook releases to reduce the gatewell turbulence associated with upper 1% turbine operations. It has been the alternative design team's goal to maintain FGE but reduce turbulence in the gatewell. This reduction in turbine discharge is problematic due to several operational issues. First, the reduced turbine discharge equates to a reduction in anticipated FGE through PH2. Gatewell turbulence and the associated byproducts such as increased passage descaling and mortality are reduced and brought back into normal parameters with this curtailed unit operation but at the sake of reduced FGE. Second, with these restricted turbine discharge operations comes an issue throughout the spring and even summer outmigration that may increase total dissolved gas (TDG) effects by having to spill above the 120% TDG limits. If unit operations are curtailed, any water that is not bypassed through Bonneville PH2 turbines has to be either be spilled or picked up as generation at PH1.

The USACE TSP Phase I and II Biological Index Testing reports provide comprehensive analyses and discussions of all the hydraulic and biological investigations for fish passing through turbines. A quantitative bead analysis has not been completed in the 1:25 scale Bonneville PH2 turbine model at the Engineer Research and Development Center and is scheduled to be completed by late 2013 or early 2014. The NOAA trip report file memorandums from 2011 and 2012 describe qualitative observations from the 1:25 scale Bonneville PH2 turbine model. More turbulence was observed in the runner environment when operating at the low end and mid 1% range, however, hydraulic conditions improved as more flow was added in the upper 1%. Recommendations included avoiding the operation below the midpoint due to the less desirable hydraulic environment. This work highlights the importance of FGE program juvenile bypass system improvements to maintain operational flexibility for fish passage and survival at Bonneville PH2.

During a majority of the outmigration season (April-June), the project is at or is exceeding its hydraulic capacity to pass water through the powerhouses and maintain our court mandated spill cap of 100,000 ft³/s. As spill is increased, so does the total dissolved gas (TDG) produced by this forced spill. Clean Water Act regulations, as well as Oregon and Washington state water quality standards, indicate that USACE is to manage TDG generated through spill at its projects below the 120% guidelines over a 24-hour period. If turbine operations are restricted, the USACE may be forced to exceed these standards that affect a much larger amount of juvenile and adult fish that would not be as affected if units were operated at their normal upper end of 1% range. Reduced unit operational alternatives should be used sparingly and other methods should be investigated as to head off this as a final option.

4.6.6. Operation and Maintenance

Bonneville PH2 is required to maintain and support BPA's transmission system to provide voltage over the 230 kilovolt system. Supporting the system grid is a Western Electricity Coordinating Council/North American Electric Reliability Council requirement that cannot be compromised with a reduction of unit operations during the operations season. System reliability and regional commitments to BPA cannot be compromised by limiting powerhouse operations without being fully vetted and agreed upon within the FCRPS reliability community.

4.6.7. Cost

An analysis to estimate the impact to project generation and corresponding hydropower benefits was conducted by the HDC. Details regarding the procedures and methodology used for the analysis are provided in Appendix D. Analysis of the hydropower impacts of restricting Bonneville PH2 units to peak efficiency operation during the juvenile fish passage season (March through August) involves estimating project generation output and corresponding hydropower benefits under each of two alternatives, which are briefly described below.

- 1. Base Case Bonneville PH2 Units Operate to Upper 1% Operating Point. This assumes that all PH1 and PH2 units operate between the peak efficiency and upper 1% operating points during juvenile fish passage season. The project is assumed to conform to operating requirements summarized in the April 2009 FPP and USACE 2009-2010 data submittal.
- 2. **Alternative Case Bonneville PH2 Units Operate at Peak Efficiency Operating Point.** This assumes that all PH1 units operate between the peak efficiency and upper 1% operating points during the juvenile fish passage season, while all PH2 units operate at the peak efficiency operating point during this time period. The project is assumed to conform to operating requirements summarized in the April 2009 FPP and USACE 2009-2010 data submittal.

Modeling using TEAM estimated the energy production output of Bonneville under the base case and alternative case. Table 4-2 shows the monthly average energy generation for the base case and alternative case. The BPA developed and provided the projected hourly market-clearing prices based on the 50 years of hydrologic data used in estimating energy production. These projections were developed using an electric energy market model called AURORA. To determine the energy benefits associated with the Bonneville base case and alternative case, an Excel spreadsheet called COMPARE was developed that utilized as input TEAM output for each case, along with the weekly energy values. The results of this process are summarized in Table 4-3. The energy benefits estimates summarized in the table are consistent with the energy generation estimates summarized in Table 4-2. The last column of each table shows losses during the months March through July and gains during the month of August.

Table 4-2. Bonneville 1929-1978 Monthly Average Energy Generation

Month		% of Base Case		
Month	Base Case	Alternatives Case	BC - AC	70 01 Dase Case
March	482,580	474,690	7,890	1.6
April	411,610	393,860	17,750	4.3
May	447,770	414,730	33,040	7.4
June	441,620	413,250	28,370	6.4
July	329,410	326,770	2,640	0.8
August	218,360	219,000	-640	-0.3
TOTAL	2,331,350	2,242,300	89,050	3.8

MWh = megawatt hours

Table 4-3. Bonneville 1929-1978 Monthly Average Energy Benefits

Month		% of Base Case		
Month	Base Case	Alternatives Case	BC - AC	% of base case
March	19,670	19,390	280	1.4
April	14,670	14,090	580	3.9
May	12,760	11,950	810	6.3
June	11,170	10,650	520	4.6
July	12,490	12,430	60	0.5
August	10,770	10,800	-30	0.3
TOTAL	81,530	79,310	2,220	2.7

4.7. ALTERNATIVE B2 - OPEN SECOND DSM ORIFICES

4.7.1. Description

The Bonneville DSM has two gated fish passage orifices in each gatewell slot of units 11-14 and fish unit 2; one gated and one sealed orifice in each gatewell of units 15-18 and fish unit 1. Under present operating conditions, one orifice in each gatewell is typically used. This alternative involves opening the second gatewell orifice in units 11-14 and unsealing and operating the second orifice in each gatewell of units 15-18 and fish unit 1 to decrease fish retention time in the gatewell. Unsealing the second orifice in units 15-18 and fish unit 1 requires a gate be installed.

4.7.2. Hydraulic Design

Opening the second orifice could require modification of the DSM to meet system flow and operating criteria. Addressing potential modifications to the DSM is outside the scope of this project. However, a brief discussion of the general considerations for the DSM is provided below.

Considerations

- Per criteria and hydraulic design standards, this system is at maximum capacity.
- The orifices open or close to maintain a constant DSM water level (between collection channel and dewatering) at 64.3 feet.
- Do not want to increase this level (64.3 feet), as the discharge to the flume is a function of this level and we are already at or near dewatering capacity at the smolt monitoring facility.

Collection Channel

- Maintain a constant water level at 64.3 feet to deliver the right amount of flow down the flume.
- Maintain collection channel water velocity range of 3 to 5 ft/s
- To maintain a constant water level, flexibility is needed to open/close the second orifices as the forebay changes (elevation 71.5 to 76.5 feet).
- Given the need for a constant water level at 64.3 feet, the increased flow would force a higher backwater and begin to incrementally reduce the flow from upstream units (unit 11, 12...).
- Channel widening at the upstream end could partially alleviate the height of the backwater, but the trade off is channel velocity (meets NOAA Fisheries criteria well at this time).
- The above impacts and options cannot be quantified without analytical tools.

Dewatering System

- Two options to increase the dewatering rate:
 - Violate screen velocity criteria by some amount. Drainage is limited on several of the larger screens, so some concrete would be excavated to improve drainage to emergency relief conduit (ERC).
 - o Add a second dewatering system outside the building (this option was biologically rejected in design memorandum phase). Also, the existing dewatering would have to be redesigned.
- Modify the existing dewatering so there is a longer converging section so that screens can be added on upstream end. This requires excavation of concrete in order to provide drainage to the ERC. Given the previous difficulties found in the retrofit design, this is easier said than done.

4.7.2.1. Hydraulic Modeling

The operation of two fish passage orifices was incorporated into the sectional CFD model by applying a velocity boundary condition to both fish passage orifices in each bay, corresponding to 11 ft³/s through each fish orifice. No changes to the sectional CFD model grid were made. All other model boundary conditions were representative of baseline conditions. One CFD model run was conducted at a unit flow of 18,000 ft³/s to investigate the relative change in gatewell hydraulics with the second fish orifice operating. If this requires further evaluation, an existing numerical spreadsheet model may be used to analyze the hydraulics in the downstream migrant system due to opening two orifices per gatewell.

4.7.2.2. CFD Model Results

The sectional CFD model results for Alternative B2 are summarized in Figures 4-12 to 4-14. Velocity magnitudes along the STS, past the turning vane and up the gatewell are similar for two orifice operation (Figure 4-12) and baseline conditions with one orifice operating (see Figure 2-17). The VBS normal velocities are similar in magnitude with two orifices operating (Figure 4-13) and one orifice operating (see Figure 2-18), but the recirculation to either side on the VBS is intensified slightly with two orifices operating. In addition, the side with the larger recirculation zone flips in bays A and B from the left side, looking upstream, during single orifice operation (see Figure 2-18) to the right side, looking upstream, during the double operation. The change in the asymmetry from bay to bay is apparent in the prototype VBS data as well may indicate that the recirculation patterns in the gatewell is a relatively stable, yet transient condition that flips from side to side. Turbulent kinetic energy is slightly higher with the second orifice operating (Figure 4-14) as compared to baseline (see Figure 2-19). Overall, the flow patterns on the VBS are not more uniform with the second orifice operating, but the second orifice may provide fish a second opportunity for exit from the upper portion of the gate slot.

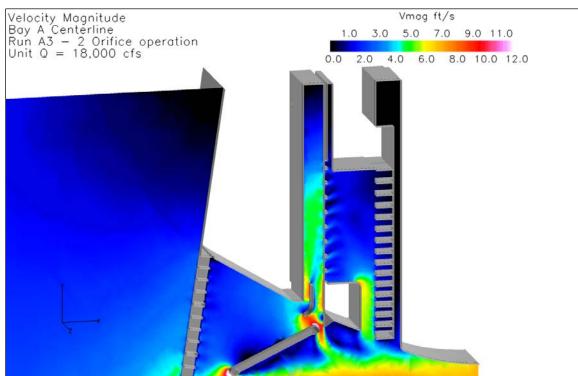


Figure 4-12. Alternative B2 – Bay A Centerline Velocity Magnitude

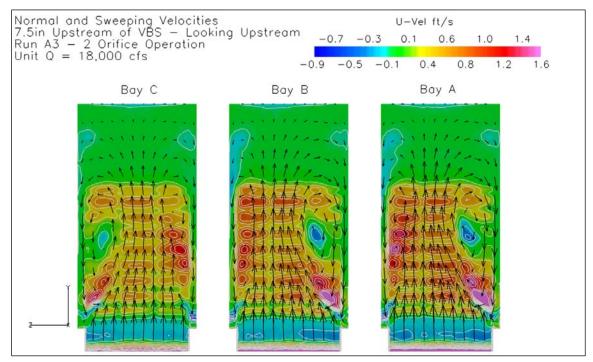
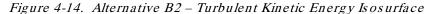
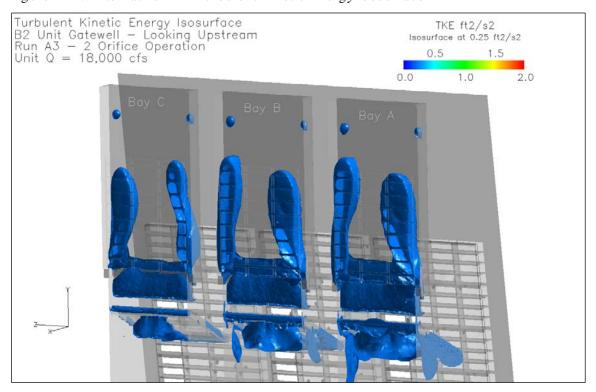


Figure 4-13. Alternative B2 – VBS Normal Velocities and Flow Patterns





4.7.3. Structural Design

Structural engineering is not required for this alternative.

4.7.4. Mechanical/Electrical Design

The sealed orifices on units 15-18 that will be opened will need gates and gate operators similar to what is installed on the currently open orifices.

4.7.5. Fisheries Considerations

PIT-tagged fish released and collected in spring and summer 2009 at Bonneville PH2 DSM by NOAA researchers indicated that fish passage, descaling, and survival through the DSM system and through the orifice could be maintained at levels similar to the middle 1% operation when running PH2 units at the upper 1% range. Researchers analyzed the effects of a single orifice operation compared to a double orifice open when operating at the upper 1% and measured a significant reduction in gatewell residence time, mortality, and descaling with two open orifices. The results of opening two orifices were not statistically different from those of the middle 1% operation with one open orifice (Gilbreath et al. 2012). It is recommended that this alternative be investigated and implemented in conjunction with any improvements adopted.

4.7.6. Operation and Maintenance

Operational issues may also be incurred due to the need to adjust the existing DSM to manage the increase in flow from opening a second orifice. Additional funding requirements for labor and/or O&M cost increases will have to be absorbed into the currently tight O&M budgets.

4.8. ALTERNATIVE B3 – HORIZONTAL SLOT FOR DSM

4.8.1. Description

The DSM has two fish passage orifices in the gatewell slots of units 11-14. Each are located toward the side walls and are about 20 feet apart. Under present operating conditions, one orifice in each gatewell is used. This alternative involves constructing a slot to help decrease fish retention time in the gatewell.

4.8.2. Hydraulic Design

4.8.2.1. Hydraulic Modeling

Alternative B3 has not been evaluated using the CFD model because it is similar in principle to Alternative B2 and is subject to similar considerations for the downstream migrant system. If the team prioritizes this alternative for further evaluation, the CFD model will be modified to include modified orifices or a horizontal slot leading to the downstream migrant system rather than the existing fish orifices. Alternative B3 would be evaluated at high flow conditions (18,000 ft³/s unit flow) to determine the impact on VBS velocities and flow patterns. Additional documentation runs at low and medium unit flows (12,000 and 15,000 ft³/s, respectively) would confirm the performance of the alternative over a range of unit flows.

4.8.2.2. CFD Model Results

This alternative has not been evaluated using the CFD model.

4.8.3. Structural Design

The horizontal slot for the DSM orifice will be similar to that of the Lower Granite Dam horizontal broad crested overflow weir. A 24-inch wide by 10-foot high penetration in the downstream wall. The penetration will allow for the various forebay elevations by providing room for the hydraulically operated weir to travel with the forebay. The track or guide for the broad-crested weir will be stainless steel 3/8 inch bent plate and recessed into the wall. The track will be mechanically fastened with post installed stainless steel anchors that employ epoxy and mechanical-type bonding. The broad crested weir will be bent polished stainless steel plate. A 48-inch long HSS 8-inch x 4-inch x 5/8-inch stainless steel lintel beam will be symmetrically embedded in the concrete to support the gravity load above.

4.8.4. Mechanical/Electrical Design

This project is somewhat similar to the Lower Granite Prototype Collection Channel Orifice Weirs project. The USACE Walla Walla District performed the design, which was nearing completion in August 2010 (Kevin Renshaw, mechanical engineer from Walla Walla District, is the point of contact for further information). The Lower Granite design uses an overflow weir that is adjustable and has a control system that causes the weir depth to remain constant as the forebay level changes (Lower Granite is a run-of-river project and forebay levels do not change more than a few feet). The overflow weir is cut into the wall that divides the gatewell and the DSM channel, allowing water and fish in the gatewell to flow over the weir and into the DSM channel.

At Bonneville PH2, this concept could be installed in a very similar way to Lower Granite, except that there are a small number of site-specific differences that must be accounted for in the design. In addition, there is a minor refinement that could be added to the design and this will be discussed near the end of this sub-section. The overall concept is illustrated in Figure 4-15.

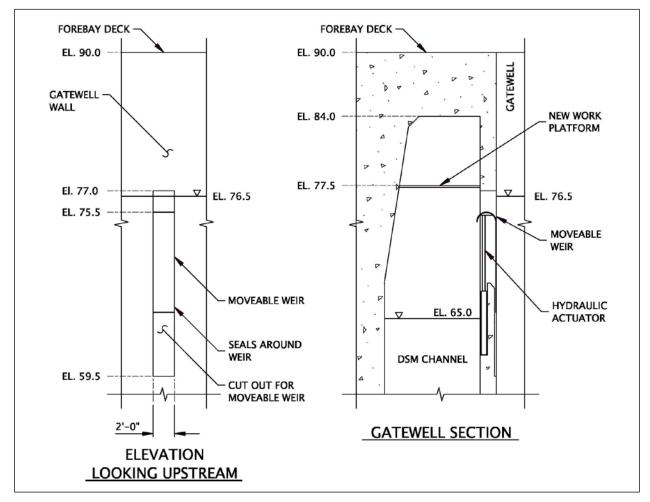


Figure 4-15. Alternative B3 – Horizontal Slot Concept

There are several issues to address when considering this design at Bonneville PH2. First, the existing orifices have valves, lighting, compressed air piping, electrical conduits, solenoid valves and electrical control panels that will need to be removed. Second, there is a tapered concrete filler that has been installed along the west wall of the DSM collection channel, which effectively narrows the collection channel as it approaches the dewatering structure. This tapered concrete filler begins near main units 12 or 13, is about 2 inches in thickness, and extends from the collection channel floor up to the elevation of the top of the existing orifice core drills. The filler gradually thickens as it goes northward and is about 12 inches in thickness at main unit 18. It is below the deck grating, which spans the entire width of the collection channel.

The water level inside the DSM collection channel was observed in November 2011 at about elevation 64.7 feet. The collection channel floor runs from elevation 51.8 feet at main unit 18 up to elevation 57.0 feet near main unit 11. The deck grating is at elevation 67.0 feet. The orifices are centered at elevation 65.5 feet, and are a 16-inch pipe penetrating the 24-inch thick concrete wall between the bulkhead slot and the DSM channel. The ceiling of the collection channel is at elevation 84.4 feet. There is a 12-inch by 12-inch chamfer at the floor and ceiling corners.

To implement this design, the weir opening would be cut into the 24-inch thick wall. Additional reinforcement would need to be added, as discussed in the structural paragraph above. Inside the DSM channel, water from the bulkhead slot gatewell would flow in from levels that can be anywhere from about elevation 67 feet up to about elevation 77 feet. This means the weir will need to have 10 feet in height adjustment (Walla Walla District design had about 6.8 feet of adjustment). Toward the southern end of the powerhouse, some excavation of concrete below the floor of the DSM channel will be required, perhaps as much as to elevation 54.0 feet to provide this range of vertical motion.

The design concept uses a sliding weir plate that moves vertically. It is rounded at the top to permit flow to fall vertically from the downstream side of the weir. It has a ramped approach on the upstream side to gradually accelerate the flow and to spread out the upstream velocity field into a wider pattern. The sides and bottom lip of the sliding weir plate have seals to restrict leakage flows. At the ceiling of the weir opening, there is a crush seal so that the weir can close off the opening when in a fully raised position. The vertical motion is accomplished by a hydraulic cylinder located below the weir and extending upward. Position indication is internal to the cylinder. At Bonneville Dam, the bulkhead slot gatewell has water level indication installed for the VBS system, with signals sent to the elevation 72 feet piping gallery inside the powerhouse. The position indication for the hydraulic cylinders would be sent to a programmable logic controller (PLC) that would also pull the gatewell level signals from the VBS system and then cause the weirs to track gatewell level, such that the depth of weir submergence is held constant.

A new hydraulic power system would be required to supply pressure and fluid to the cylinders that actuate the weirs. An environmentally friendly hydraulic fluid would be used, such as saturated synthetic ester or polyalkyline glycol.

To implement this concept at Bonneville Dam, an opening would need to be cut into the existing deck grating. The flow coming from the weir would need to be contained inside of guide ducting or rectangular conduit to send flow through the opening in the deck grating. At the wall, where the tapered concrete filler interferes with the path of the falling water, a ramp or curve would need to be added to guide the flow out into the collection channel and prevent fish from impacting on the top of the filler.

All existing electrical power and compressed air piping would need to be moved up near the ceiling of the DSM channel, and the hydraulic pressure piping would be routed in the same area.

In general, this concept is feasible. One refinement that could be implemented is to add a formed intake conduit to the upstream side of the weir and move the intake to a point some distance below the water surface. This may improve FGE if juvenile fish are known to be more densely located at a certain depth.

4.8.5. Fisheries Considerations

This alternative should maintain FGE because it is not expected to restrict flow into the gatewell to a significant degree. It is possible juvenile salmon and lamprey may have improved egress out of the gatewell with this design, which may help improve survival and condition. The CFD modeling should provide more information about gatewell hydraulics and the area of entrainment around the opening.

All materials and shapes used would be constructed to have little impact on fish and this alternative could solve many of the problems that exist with orifice passage. Another Fish Passage Improvement Team is currently working on orifice improvements with the design goals for improving the ability of the project to detect debris accumulation at the orifice, reducing the likelihood of fish impingement due to misalignment of orifice flow, and improving gatewell egress times with improved lighting.

The existing orifice design and operation provides a regular automatic and manual closure of the orifice with an air burst to move and float trash away from the orifice. This alternative would need to be equipped with similar operation or another mechanism to discourage debris accumulation both in auto and manual control. Juvenile salmonid and lamprey contact with the existing orifice actuator gate would be eliminated with this alternative. Adult fish gatewell passage would most likely benefit from the changes in dimension from the current 12-5/8 inch orifice. Lighting improvements could be fit near the weir and opening to reduce gatewell residence time. Many improvements to the DSM channel downstream of the current orifices have occurred since its inception and the current system functions well biologically. This alternative should not significantly change the DSM transport channel configuration and add in water supply function. It is expected that the adjustable slot would not reduce velocities in the channel or exceed flow through velocity criteria at the primary dewatering screen.

The inspection accessibility to each slot may be reduced due to the available space in the DSM for walkway construction, as well as size and elevation of the working dimensions of the adjustable weir and slot that would be needed to control flow. Gatewell hydraulics may change near the slot but may not be enough to correct the sweeping velocity recirculation, turbulent kinetic energy, and hot spots on the VBS that are suspected of producing the unacceptable fish condition and mortality at the smolt monitoring facility, as well as during the gatewell performance evaluations conducted in 2008 and 2009.

4.8.6. Operation and Maintenance

Raising the work platform to elevation 77.5 feet would reduce the amount of head room available for the employees to approximately 7 feet. The location of the actuators of about 10 feet below the platform, or 15 feet above the bottom of the DSM will require careful consideration of access requirements for maintenance.

The presence of a hydraulic system in the DSM greatly increases our risk of having a spill into the river. Environmentally friendly fluid spills must still be reported and cleaned up as if it were a petroleum-based product. Complicating matters is that vegetable based lubricants are "sticky" and more difficult to clean up than traditional petroleum based lubricants.

Additional funding requirements for labor and/or O&M cost increases will have to be absorbed into the currently tight O&M budgets.

4.9. ALTERNATIVE C - GATE SLOT FILLERS

4.9.1. Description

In the existing configuration, the STS and turning vane side supports occupy the 4 foot, 1-inch x 1 foot, 4-inch gate slot on either side of each bay. Above the STS side supports, the gate slot expands abruptly and is open to flow up the gatewell. At the abrupt expansion to the gatewell slot above the STS side supports, baseline CFD model results have shown that flow can not immediately expand into the slot and an area of recirculation and higher turbulence results. Gate slot fillers are considered to eliminate the abrupt expansion into the gate slot, reduce turbulence, and streamline sweeping velocities up the VBS. The slot fillers would be installed on each side of each of the three bays and would be dogged off to extend from the top of the STS side supports to above the gatewell water surface (Figures 4-16 to 4-18).

FLOR Plan View-Existing VBS Guide Slots (Typ) STS Turning Vane Guide (Typ) 10 Plan View-Proposed 4'-1" x 1'-4" Slot Fill Assembly (Typ)

Figure 4-16. Alternative C – Slot Fillers (Plan View)

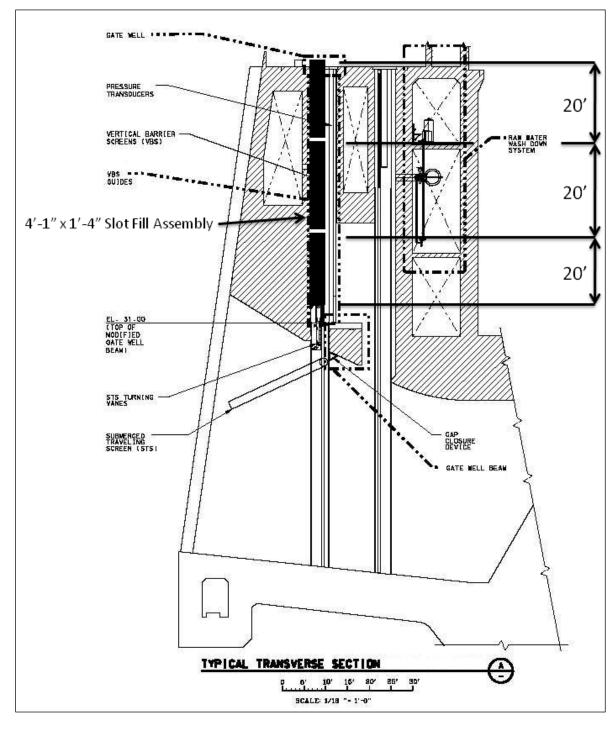


Figure 4-17. Alternative C – Slot Fillers (Section View)

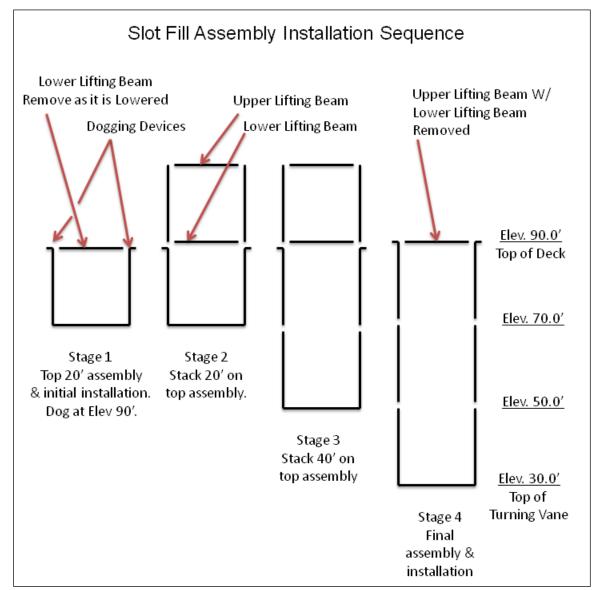


Figure 4-18. Alternative C – Slot Fillers (Front View)

4.9.2. Hydraulic Design

4.9.2.1. Hydraulic Modeling

The sectional CFD model grid was modified to model the gate slot fillers above the STS side supports in all three bays (see Figure 4-4). The sectional CFD model grid cells inside the gate slots were isolated and defined as solid cells rather than fluid cells to simulate the presence of the slot fillers. The solid cells representing the slot fillers extended from the top of the STS side supports to the top of the model domain. One CFD model run was conducted at a unit flow of 18,000 ft³/s to investigate the relative change in gatewell hydraulic conditions with the slot fillers installed. All other geometric conditions in the model were representative of baseline conditions.

4.9.2.2. CFD Model Results

The sectional CFD model results for Alternative C are summarized in Figures 4-19 to 4-21. Based on the CFD model results, bay A VBS flow increased to 366 ft³/s with the gate slot fillers in place due to more streamlined flow and reduced turbulent energy loss in the gatewell. This is approximately an 11% increase in VBS flow. In general, the velocity magnitude approaching the STS and turning vane with the gate slot fillers in place (Figure 4-19) is very similar to the baseline 18,000 ft³/s unit flow case (see Figure 2-17), as expected. The influence of the gate slot fillers can be seen in the gatewell where the centerline velocity magnitude actually decreases with the gate slot fillers in place. This is due to a more even distribution of the flow up the slot, reducing the centerline sweeping velocities. The effect of the gate slot fillers can be seen in Figure 4-20 with the more uniform upward flow pattern and the more even distribution of normal velocities over the VBS panels. The regions of recirculation present in the baseline due to the abrupt slot expansion are significantly reduced to a small region of less intense recirculation in the upper portion of the VBS on either side (Figure 4-20). The turbulent kinetic energy in the gatewell is significantly reduced with the gate slot fillers in place as shown in Figure 4-21 by the elimination of the turbulent regions on the VBS.

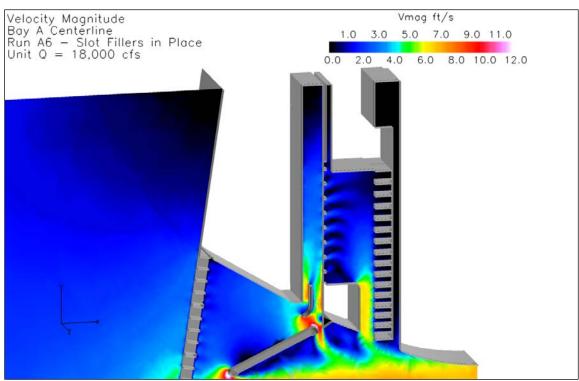


Figure 4-19. Alternative C - Bay A Centerline Velocity Magnitude

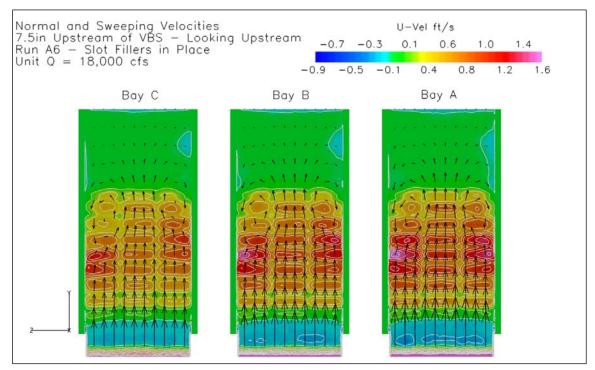
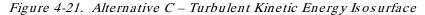
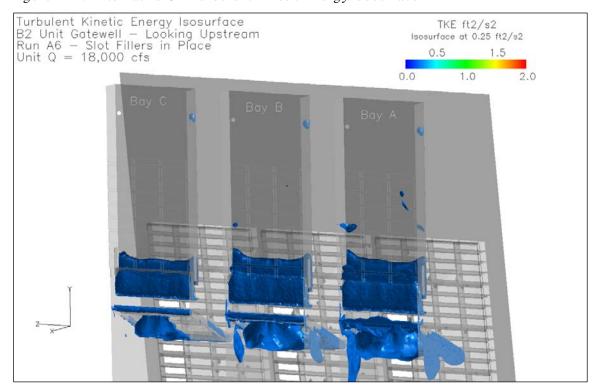


Figure 4-20. Alternative C - VBS Normal Velocities and Flow Patterns





4.9.3. Structural Design

The slot fill assembly is assembled with a lower 4-foot, 1-inch by 1-foot, 4-inch U-frame, upper and lower lifting beams and a series of four 4-foot, 1-inch by 1-foot, 4-inch tubes that stack and interlock on top of each other to create a simple, rigid frame to cover the STS traveling screen and turning vane slot (see Figures 4-1 and 4-2). The bottom U-frame can be rigid or be designed as a bolted moment frame. The two lifting beams are designed to raise or lower the frame assembly in pieces. The subassemblies lock together in stages and can be dogged off at the necessary elevations. Each subassembly is 20 feet high with a total assembled height of 60 feet. All of the subassemblies are made of aluminum to reduce weight and eliminate the need for painting.

4.9.4. Mechanical/Electrical Design

Alternative C involves streamlining the upstream gate slots with a fixed-flow guiding surface that would be located in the recesses for the gate guides at the right and left ends of the upstream gate slot. The slot filler would be designed to replicate the surfaces in the CFD model that streamlined the gatewell flow and produced a reduction in turbulence energy. At the design stage, an important aspect of this alternative is the potential for conflict with the existing operating equipment. The STSs are in this slot and the operating cables used to extend or retract the STS rotating screen are currently anchored in the guide slots. The video inspection camera uses this slot for inspection of the STS traveling screen and the VBS screen surfaces. Work on the intake deck uses the space around the gate slot opening, so any equipment that extends into this area will need to be carefully coordinated. The mechanical aspects of this concept could involve designing how the slot fillers stack onto the STS, and various mechanisms to anchor gate slot fillers in the gate guides.

4.9.5. Fisheries Considerations

The CFD modeling of the current slot filler design has shown great promise in streamlining the flow up the gatewell, reducing turbulence, and more evenly distributing VBS normal velocities, even under high unit operations. This slot filler alternative may improve hydraulic conditions for passage, while also allowing the USACE to maintain the current unit operational range and without impacting FGE. These slot fillers are also capable of being designed, built and testing in a timely manner and if accepted can be easily outfitted throughout the entire powerhouse in one in-water-work season.

4.9.6. Operation and Maintenance

The bottom U-frame is lowered 20 feet into the gate slot with the lower lifting beam and dogged off (see Figure 4-18, stage 1). Two 20-foot high filler tubes are stacked on top of the bottom U-frame and locked together. The upper lifting beam is then attached to the top, the lower lifting beam is removed, the dogs are retracted, and the frame is lowered an additional 20 feet (total of 40 feet; Figure 4-18, stage 2). The process is repeated and the frame is lowered another 20 feet to reach the intended elevation at the bottom (Figure 4-18, stages 3 and 4). The lower U-frame serves as a stiffened structural element, while the upper lifting beam serves to move the frame assembly and provide required structural support at the top.

At the operational stage, an important aspect of this alternative that needs to be considered is the potential for conflict with the existing operating equipment. The STSs are in this slot, and the operating cables used to extend or retract the STS rotating screen are currently anchored in the guide slots. The video inspection camera uses this slot for inspection of the STS traveling screen and the VBS screen surfaces. Additional labor will be required to work the gate slot fillers in with current operations at the gate slot.

5. EVALUATION OF ALTERNATIVES

5.1. Introduction

Each alternative was evaluated using a point-based matrix approach. The matrix included the following evaluation factors: biological benefits, construction costs, construction time, O&M costs, operational effectiveness, reliability, impacts to power revenues, and environmental factors. Numerical scoring for construction cost, O&M costs, and impacts to power revenue range from 0 to 4, with 0 being a highly unfavorable score and 4 being a highly favorable score. The numerical scoring for the remainder of the evaluation factors range from 1 to 4, with 1 being a highly unfavorable score and 4 being a highly favorable score. Weighting was applied to each factor to describe the relative importance of each on with respect to the others. The value of the weight was determined qualitatively using professional judgment.

Two rounds of evaluation scoring were conducted. First-round scoring was used to screen alternatives to move into the second round. Construction, O&M costs during first round scoring were qualitative in nature. Biological issues were given higher priority over non-biological issues; thus, the total biological benefit score was considered a primary factor in selecting alternatives to consider further. Cost estimates were developed for alternatives selected for second round scoring. The evaluation factors used to score the alternatives are described below.

- Biological benefits evaluation factors were based on the ability of the alternative to meet the fish passage goals at Bonneville PH2.
- Construction costs are considered in the evaluation of each alternative. Construction costs for the
 first round scoring are qualitative in nature. Cost estimates are developed for alternatives that
 were selected for second round scoring.
- Construction time is the overall difficulty or ease of constructing the alternative.
- Operation and maintenance cost considers the overall maintenance and cost of the alternative.
 For example, if a component needs to be inspected weekly, it will receive a low ranking score. If an alternative that has yearly maintenance or components that require less frequent inspections, it will receive a higher ranking score.
- Reliability evaluation factors are based on the overall ease to operate the alternative. For
 example, if the alternative had complicated steps required to operate or needed to be monitored
 on a continuous basis, it will receive a low score. If the alternative required few steps, less
 frequent monitoring, or required little or no adjustments to operate, it will receive a higher score.
- Impacts to power revenues were considered in the evaluation of each alternative.
- Environmental factors are based on the alternatives overall effect on water quality (total dissolved gas) in the river. Alternatives that increase the level of total dissolved gas from current estimated levels without the alternative will receive lower scores.

5.2. FIRST ROUND OF EVALUATION

Figure 5-1 shows the first-round alternative evaluation matrix.

Figure 5-1. First Round Alternatives Evaluation Matrix

Alternative	Biological Benefits	a. Overall FGE	b. Condition and Mortality	Total Biological Benefit (un-weighted)	Construction Costs	Construction Time	O & M Cost	Reliability	Impacts to Power Revenue	Environmental Factors	Comments	Total Weighted Score
weighting (out of 10 total)		3	4		0.7	0.5	0.5	0.5	0.5	0.3		10
					BASELINE							
Baseline Condition		3	1	4.0	4	4	4	3	4	4		24.5
						Flow C	ontrol Alter	natives				
1. A1 - Flow Control Device, Adj. Louvers		1.5	3	4.5	2	2	3	3	4	4		25.1
2. A2 - Flow Control Device, Sliding Plate		1.5	3	4.5	3	2	3	3	4	4		25.8
3. A3 - Modify Vertical Barrier Screen Plates		1.5	3	4.5	2	3	3	2	4	4		25.1
4. A4 - Modify Turning Vane and/or Gap Device		1.5	3	4.5	2	2	4	3	4	4		25.6
						Opera	tional Alter	natives				
5. B1 - Oper. Main Unit Off 1% Peak		2	3	5.0	4	4	4	4	1	2		27.9
6. B2 - Open Second DSM Orifice		3	4	7.0	3	3	3	2	4	4		34.3
7. B3 - Horizontal Slot		3	4	7.0	1	1	2	1	4	4		30.9
					Flow Pattern Change Alternative							
8. C - Gateslot Fillers		3	3.5	6.5	3	2	2	3	4	4		31.8

Note: Example calculation for Total Weighted Score for Baseline Condition = (3*3)+(1*4)+(4*0.7)+(4*0.5)+(4

 $\begin{array}{lll} \textbf{General Scoring} & \textbf{Cost Scoring} \\ \textbf{Poor} = 1 & \textbf{High} = 0 \\ \textbf{Fair} = 2 & \textbf{Medium-high} = 1 \\ \textbf{Good} = 3 & \textbf{Medium} = 2 \\ \textbf{Excellent} = 4 & \textbf{Low-medium} = 3 \\ \textbf{Low} = 4 & \textbf{Low} = 4 \\ \end{array}$

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5.2.1. Alternative A1 – Flow Control Device Adjustable louver

Alternative A1 was the lowest-ranked alternative with an overall score of 25.1 and a total biological benefit score of 4.5. Impacts to power revenue costs were scored low because the turbine unit could operate at full load. Construction costs and construction time were scored medium and fair, respectively. This alternative would be somewhat difficult to construct because of existing infrastructure and confined space issues, and could take up to 3 years to implement. This alternative was scored good for condition and mortality because survival in the gatewell would be improved due to less turbulent conditions as a result of reduced discharge in the gatewell. This alternative was scored between poor to fair for overall FGE. Because of the reduction in flow, less fish would be diverted from the turbine into the gatewell and would be forced to enter the turbine either below the fish screen or through the gap at the upper end of the screen.

5.2.2. Alternative A2 – Flow Control Device, Sliding Plate

Alternative A2 has an overall score of 25.8 and a total biological benefit score of 4.5. Impacts to power revenue costs were scored low because the turbine unit could operate at full load. Construction costs and construction time were scored low-medium and fair, respectively. This alternative would be somewhat difficult to construct because of existing infrastructure and confined space issues, and could take up to 3 years to fully implement. This alternative was scored good for condition and mortality because survival in the gatewell would be improved due to less turbulent conditions as a result of reduced discharge in the gatewell. This alternative was scored between poor to fair for overall FGE. Because of the reduction in flow, less fish would be diverted from the turbine into the gatewell and would be forced to enter the turbine either below the fish screen or through the gap at the upper end of the screen.

5.2.3. Alternative A3 – Modify Vertical Barrier Screen Plates

Alternative A3 has an overall score of 25.1 and a total biological benefit score of 4.5. Construction costs were scored as medium. The current VBS slot would need to be modified to accept an adjustable VBS. Construction time was scored good because it could be installed in one season. Reliability was rated as fair. This alternative would require monitoring and adjustment to maintain the hydraulic conditions in the gatewell for fish survival. This alternative was scored good for condition and mortality because survival in the gatewell would be improved due to less turbulent conditions as a result of reduced discharge in the gatewell. This alternative was scored between poor to fair for overall FGE. Because of the reduction in flow, less fish would be diverted from the turbine into the gatewell and would be forced to enter the turbine either below the fish screen or through the gap at the upper end of the screen.

5.2.4. Alternative A4 – Modify Turning Vane and/or Gap Device

Alternative A4 has an overall score of 25.6 and a total biological benefit score of 4.5. Impacts to power revenue costs were scored low since the turbine unit could operate at full load. Construction costs and construction time were scored medium and fair, respectively. This alternative may require the fabrication of new turning vanes and gap closure devices, and could take up to 3 years to fully implement. Modifications to the existing gatewell would not be expected. This alternative was scored good for condition and mortality because survival in the gatewell would be improved due to less turbulent conditions as a result of reduced discharge in the gatewell. This alternative was scored between poor to fair for overall FGE. Because of the reduction in flow, less fish would be diverted from the turbine into the gatewell and would be forced to enter the turbine either below the fish screen or through the gap at the upper end of the screen.

5.2.5. Alternative B1 – Operate Main Unit Off 1% Peak

Alternative B1 has an overall score of 27.9 and a total biological benefit score of 5.0. Impacts to power revenue costs were scored poor since the turbine unit would not operate at peak operating efficiency. Environmental factors were scored fair since increased TDG may result if spill is needed to manage the excess flow from the curtailed unit operation. This alternative was scored good for condition and mortality because survival in the gatewell would be improved due to less turbulent conditions as a result of reduced discharge in the gatewell. This alternative was scored fair for overall FGE. Because of the reduction in flow, less fish would be diverted from the turbine into the gatewell.

5.2.6. Alternative B2 - Open Second DSM Orifice

Alternative B2 was the highest-ranked alternative with an overall score of 34.3 and a total biological benefit score of 7.0. Construction cost was scored low-medium because a second orifice would be needed only in units 15-18 (units 11-14 already have two orifices in each bay) and assumes DSM operating at fingerling criteria. Construction time was scored good because it could take 2 years to complete. This alternative was scored excellent for condition and mortality; as a result of operating a second orifice, the amount of time that fish would be in the gatewell would be reduced, which would improve their survival. This alternative was scored good for overall FGE because the unit could be operated at peak efficiency. However, the impact to the existing DSM cannot be ignored. The current dewatering system is at capacity. Additional flow as a result of opening a second orifice per gatewell will require a larger dewatering facility and associated flow control components.

5.2.7. Alternative B3 – Horizontal Slot

Alternative B3 has an overall score of 30.9 and a total biological benefit score of 7.0. Construction costs were scored medium-high because of the need to construct new slots and overflow weirs. Construction time was scored poor because construction could possibly take up to 4 years. Reliability was scored poor because this would be a new, untested concept and the current downstream migrant system is successful. This alternative was scored excellent for condition and mortality; as a result of operating the horizontal slot, the amount of time that fish would be in the gatewell would be reduced, which would improve their survival. This alternative can take advantage of passing fish at the gatewell water surface.

5.2.8. Alternative C – Gate Slot Fillers

Alternative C has an overall score of 31.8 and a total biological benefit score of 6.5, which ranks this alternative in second place. Operation and maintenance costs were scored medium. There is the potential for conflict with the existing operating equipment. The STSs and the video camera used to inspect the STS and VBS use the same gate slot. Construction time was scored as fair since it may take 3 years to fully implement. This alternative was scored good for FGE because the turbine can be operated at peak efficiency. This alternative was scored good for condition and mortality because fish survival in the gatewell would be improved due to less turbulence in the gatewell as a result of the gate slot filler.

5.2.9. Summary of First Round of Evaluation

Alternatives A1, A2, A3 and A4 were not considered for the second round of evaluation. Each of these alternatives had relatively low total biological benefit scores of 4.5. Each had total scores ranging from 25.1 to 25.8. To put these scores in perspective, the total biological benefit and total score for the baseline condition are 4.0 and 24.5, respectively. Alternatives B1, B2, B3 and C were carried forward for a second round of evaluation.

5.3. SECOND ROUND OF EVALUATION

For the second round of evaluation, cost estimates were developed for Alternatives B1, B2, B3 and C. Also, there were additional factors that needed to be considered specifically for Alternative B2 (Open Second DSM Orifices) and Alternative B3 (Horizontal Slot for DSM), which affected the overall ranking of these alternatives.

Alternative B2 – Open Second DSM Orifice. Operating the second orifice for each gatewell will increase the discharge in the DSM channel. Although determining detailed modifications to the DSM is outside the scope of this project, it needs to be addressed since it affects cost and schedule. It is reasonable to assume that in addition to adding equipment to the blind-flanged orifices to make them operational, modifications to the dewatering facility and possibly the downstream migrant channel will need to be made. To reflect this, the rankings for construction cost, construction time, and O&M cost were revised to 0, 1 and 2, respectively. This resulted in a total weighted score of 30.7.

Alternative B3 – Horizontal Slot for DSM. The concept uses a sliding weir gate that moves vertically. An opening as deep as 10 feet will be cut into the existing gatewell wall to accommodate the gate. To implement this concept, a slot for a sliding weir will need to be constructed, and a hydraulic system will be required to supply pressure to the cylinders that actuate the weirs. Modifications will affect the cost and construction schedule ratings. To reflect this, construction cost and construction time were revised to 1 and 1, respectively, resulting in a total weighted score of 30.9.

Alternative C – Gate Slot Fillers. Alternative C cost estimate showed that construction cost was similar to Alternative B3. To reflect this, the construction cost the ranking was revised to 1. This resulted in a total weighted score of 30.9.

5.3.1. Cost Estimate for Second Round Alternatives

Estimated costs for the second round alternatives are shown in Table 5-1. Details for Alternative B1 are discussed in Section 4.6.7. Details for the remaining alternatives are provided in Appendix E, *Construction Cost Estimates*. Construction costs include contingency based on an Abbreviated Cost Risk Analysis for each of the alternatives, and does not include engineering or supervision and administration (S&A) costs. Life cycle costs are based on Engineering Regulation 1110-2-8159 using a 50-year project life and a discount rate of 2% per Office of Management and Budget Circular No. A-94, Appendix C, revised December 2011. Life cycle costs include engineering, plans and specifications, construction, S&A, contingency costs, and additional O&M costs for the alternative.

<i>Table 5-1.</i>	Estimated	Costs	for	Second	Round	Alte	rnatives

Alternatives	Construction Cost Estimate (2012 \$)	Life Cycle Costs Avg. Annualized (2012, \$/year)
B1 – Operate Unit Off 1% Peak	N/A	2,220,000
B2 – Open Second DSM Orifice	59,800,000	2,300,000
B3 – Horizontal Slot	6,900,000	410,000
C – Gate Slot Fillers	6,600,000	400,000

5.3.2. Risk Analysis - Key Cost Risk Drivers

Paragraph 20 in Engineer Regulation 1110-2-1302, *Civil Works Cost Engineering*, requires risk analysis to be performed to identify and measure the cost impact of project uncertainties on the estimated costs. Cost risk analysis indentifies the amount of contingency that must be added to the cost estimate to reduce the uncertainties (of cost over-runs) to an acceptable level. This process identifies areas where additional effort could reduce the uncertainties and provide a more reliable cost estimate.

Cost risk analysis is an ongoing process. Management and the PDT should use the risk analysis to focus key cost risk drivers to manage the risks to the project. The key cost risk drivers noted in the Abbreviated Risk Analysis for Alternative C are summarized below. See Appendix E for the risk registers, details of the concerns, and additional discussion.

External Project Risks. External project risks currently present the greatest uncertainty for the costs of Alternative C. Funding priorities and biological focus could change. The basis for Alternative C is from computer modeling and some agencies do not fully agree with this approach. Prototype testing is planned for the upcoming season to address some of this risk.

Project Scope. The external project risks would be reflected in equal magnitude scope changes. Some types of materials (i.e., low-carbon steel vs. stainless steel) are yet to be coordinated. These considerations could change the scope of the project, resulting in critical cost impacts.

Acquisition Strategy. Acquisition strategy for construction is yet to be determined. The work falls in the range of an Section 8a-type of solicitation (small disadvantaged businesses). A strategy of designbuild vs. design-bid-build is not yet decided. These considerations leave uncertainty in the cost estimating.

Cost Estimating Methods. The preliminary nature of the design, construction, and quantities needed require the cost estimate to rely on assumptions and experience of the PDT. A limited number of contractors have experience with this type of work in the gate slots and could have improved or clever methods, unknown to other contractors or the cost estimator. It is unknown if such contractors will be in the bid pool.

5.3.3. Second Round Alternatives Evaluation Matrix

Figure 5-2 shows the second round alternatives evaluation matrix. Alternative B3 (Horizontal Slot for DSM) and Alternative C (Gate Slot Fillers) received the highest scores for the second-round alternatives (both at 30.9). With respect to Alternative C, hydraulic model results indicate this alternative can significantly reduce the level of turbulence inside the gatewell potentially improving the hydraulic conditions for fish passage. Of all the alternatives presented, Alternative B3 and Alternative C should not impact FGE because the turbine unit can be operated in its current operating range, and the discharge into the gate slot would not change. Reliability with Alternative B3 was scored poor since this is a new, untested concept and the current downstream migrant system has been successful.

Figure 5-2. Second Round Alternatives Evaluation Matrix

Alternative	Biological Benefits	a. Overall FGE	b. Condition and Mortality	Total Biological Benefit (un-weighted)	Construction Costs	Construction Time	O & M Cost	Reliability	Impacts to Power Revenue	Environmental Factors	Comments	Total Weighted Score
weighting (out of 10 total)		3	4		0.7	0.5	0.5	0.5	0.5	0.3		10
							BASELINE	1				
Baseline Condition		3	1	4.0	4	4	4	3	4	4		24.5
			_			Flow C	ontrol Alter	natives	·			
1. A1 - Flow Control Device, Adj. Louvers		1.5	3	4.5	2	2	3	3	4	4		25.1
2. A2 - Flow Control Device, Sliding Plate		1.5	3	4.5	3	2	3	3	4	4		25.8
3. A3 - Modify Vertical Barrier Screen Plates		1.5	3	4.5	2	3	3	2	4	4		25.1
4. A4 - Modify Turning Vane and/or Gap Device		1.5	3	4.5	2	2	4	3	4	4		25.6
						Opera	tional Alter	natives				
5. B1 - Oper. Main Unit Off 1% Peak		2	3	5.0	4	4	4	4	1	2		27.9
6. B2 - Open Second DSM Orifice		3	4	7.0	0	1	2	2	4	4		30.7
7. B3 - Horizontal Slot		3	4	7.0	1	1	2	1	4	4		30.9
						Flow Patte	rn Change	Alternative				
8. C - Gateslot Fillers		3	3.5	6.5	1	3	2	3	4	4		30.9

Note: Example calculation for Total Weighted Score for Baseline Condition = (3*3)+(1*4)+(4*0.7)+(4*0.5)+(4

 $\begin{array}{lll} \textbf{General Scoring} & \textbf{Cost Scoring} \\ \textbf{Poor} = 1 & \textbf{High} = 0 \\ \textbf{Fair} = 2 & \textbf{Medium-high} = 1 \\ \textbf{Good} = 3 & \textbf{Medium} = 2 \\ \textbf{Excellent} = 4 & \textbf{Low-medium} = 3 \\ \textbf{Low} = 4 & \textbf{Low} = 4 \\ \end{array}$

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6. RECOMMENDATION

Alternative B3 (Horizontal Slot for DSM) and Alternative C (Gate Slot Fillers) were the two highest ranked alternatives. The biological impacts of Alternative B3 are not clear, particularly the transition from the gate well environment to the DSM. Alternative C can be prototype tested without permanent impacts to the unit. Hydraulic model results for Alternative C indicated that the alternative significantly reduces the level of turbulence inside the gatewell which could potentially improve hydraulic conditions for fish passage. Alternative C should not impact FGE since the turbine can be operated in its current operating range with no changes to the turning vane or VBS. Therefore, Alternative C is recommended for prototype testing.

Prototype testing of Alternative C should involve hydraulic and biological testing to evaluate the effectiveness of the gate slot filler on hydraulic conditions and fish survival. As part of the prototype evaluation and in preparation for detailed design in the Design Documentation Report (DDR) phase of the B2 FGE solution, it is recommended the existing CFD models of baseline and alternatives be probed to determine hydraulic design criteria to be used in the DDR phase. The hydraulic criteria will be field verified using the prototype test results. The prototype studies and development of hydraulic design criteria will be documented in the future DDR.

The hydraulics and juvenile fish passage at Bonneville Dam are interrelated and complex. Should the evaluation of Alternative C be unfavorable, it is recommended that the remaining alternatives identified in this report be readdressed.

7. REFERENCES

- ENSR. August 2004. Bonneville Second Powerhouse Fish Guidance Efficiency Program Interchangeable VBS Investigation, Contract No. DACW57-02-D-0004, Task Order No. 1, Modification Nos. 4 through 7, Final Submittal, Document No. 09000-309(2).
- Gilbreath, L.G., B.P. Sanford, M.H. Gessel, D.A. Brege, D. Ballinger. 2012. Condition and Gatewell Retention Time of Yearling and Subyearling Chinook Salmon from Modified Turbine Intakes at Bonneville Dam Second Powerhouse, 2008-2009. Report of the National Marine Fisheries Service to the U.S. Army Corps of Engineers, Portland, OR.
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APPENDIX A Relevant Correspondence

Appendix A – Relevant Correspondence

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Appendix A. Relevant Correspondence

A.1. B2 Gatewell Fish Condition Test Results Meeting (3 October 2008)

CENWP-PM-E October 3, 2008

MEMORANDUM FOR THE RECORD

SUBJECT: B2 Gatewell Fish Condition Test Results Meeting with NMFS, BPA, and FWS

On 23 September, 2008 the Portland District Corps met with BPA, NMFS, and FWS to discuss 2008 Bonneville Dam gatewell testing results and path forward to address fish injury and debris issues experienced at the Second Powerhouse in 2007 and 2008. The following individuals were in attendance:

Thomas North, Corps Portland
Lyle Gilbreath, NOAA
Jim Calnon, Corps Portland
Mike Gessel, NOAA
Gary Fredricks, NOAA
Mike Langeslay, Corps Portland
Dennis Schwartz, Corps Portland
Randy Lee, Corps Portland
Dave Wills, USFWS
Steve Haeseker, USFWS
Jason Sweet, BPA
Scott Bettin, BPA
Tammy Mackey, Portland District

Phone Conference Line:

Naameh Nomie, Troutdale Resident Office John Rerecich, BON Ben Hausmann, BON

Lyle Gilbreath and Mike Gessel discussed mortality estimates for fish released into B2 gatewells with turbines operating at low, mid, and high end of the 1% efficiency range (Table 1). The following are conclusions drawn by the group.

- Spring Creek Hatchery subyearling Chinook showed a significant and substantial mortality difference between the low and high end of the operating range.
- The magnitude of the SCNFH fish mortality at the mid point (1-3%) was also a concern, but there were not enough replicates. If we want to operate at the mid point during the SCNFH release, then we need more gatewell mortality data at this operation.

For run of river yearling and subyearling Chinook gatewell mortality, there is a trend that
causes concern. Mortality was higher at the higher operating points. COE will look at
SMP mortality info during the same period for upper 1% ops, since this should match the
gatewell data.

Next Steps

- 1. Immediate interim solution for 09
 - Drop B2 units back to between mid and lower end of 1% range during spring SCNFH releases. Scott Bettin pointed out that we will need to incorporate how we will deal with TDG during this operation (i.e. run all units at B2 at lower Q, spill as per FPP, load PH-1 to max, spill to TDG cap, start ramping up B2 unit Q starting with lower priority units...).
 - Collect enough yearling and subyearling Chinook ROR gatewell mortality data in 09 to detect a 3% additive difference. NOAA to develop final proposal for 2009 that incorporates this objective.
 - Repeat SCNFH gatewell research releases in 2009. Delete canister release and have intake hose and JBS channel as the two test release sites. Continue to test High vs. low turbine operations as well as mid point in some replicates.
 - Develop solution to gap between VBS panels so that project can ensure no gap is there once panels are deployed. Ops to develop strategy and incorporate comments and recommendations into the 2009 FPP.
 - Continue with parallel track on alternatives study to address operational and structural fixes to the fish injury and debris issue.
- 2. Longer term solution involves implementing recommendation from the alternatives report.

A Special FFDRWG meeting slated for Wednesday Oct $8^{\text{th}}\,$ 9:00 a.m. at the NOAA office at Lloyd Center.

Table 1. Observed mortality of juvenile Chinook salmon recaptured after passage through the Bonneville Dam Second Powerhouse juvenile bypass system in 2008. Preliminary data for subyearling Chinook salmon obtained from Spring Creek NFH and for yearling and subyearling run-of-river (ROR) Chinook salmon collected at Bonneville Dam.

Test series and release location	Turbine operation	Replicates	Released (N)	Recap. live (%)	Recap. dead (%)	Not recap (%)
Series 0 - Spring Creek N	NFH subyearling	g Chinook salm	on released 4-	5 March		
Collection Channel	NA	2	1801	99.7	0.3	1.7
Gatewell 12A	Lower 1%	2	799	98.1	1.9	17.3
Gatewell 12A	Mid 1%	2	854	85.8	14.2	18.7
Gatewell 12A	Upper 1%	2	799	67.7	32.3	33.4
Series 1 - Spring Creek N	NFH subyearling	g Chinook salm	on released 18	3-21 March		
Collection Channel	NA	4	592	99.7	0.3	1.5
Gatewell 14A	Lower 1%	4	775	95.6	4.4	32.2
Gatewell 14A	Upper 1%	4	937	93.0	7.0	43.6
Intake 14A	Lower 1%	4	781	99.7	0.3	25.3
Intake 14A	Upper 1%	4	1012	92.6	7.4	61.7
Series 2 - Spring Creek N	NFH subyearling	g Chinook salm	on released 26	March - 18 A	pril	
Collection Channel	NA	3	2682	100.0	0.0	0.5
Gatewell 14A	Lower 1%	3	2658	99.2	0.8	3.3
Gatewell 14A	Upper 1%	3	2521	93.4	6.6	25.5
Intake 14A	Lower 1%	3	2607	98.7	1.3	5.4
Intake 14A	Upper 1%	3	2616	87.2	12.8	34.0
Series 3 - Spring Creek N	NFH subyearling	g Chinook salm	on released 23	3 April - 9 May	I	
Collection Channel	NA	3	899	99.8	0.2	1.6
Gatewell 14A	Mid 1%	3	2369	98.7	1.3	2.9
Gatewell 14A	Upper 1%	3	2464	86.8	13.2	15.4
Intake 14A	Mid 1%	3	2433	97.2	2.8	3.9
Intake 14A	Upper 1%	3	2394	81.2	18.8	20.2
Series 4 - ROR yearling	Chinook salmor	released 14-21	May			
Collection Channel	NA	2	255	98.5	1.5	3.4
Intake 14A	Mid 1%	1	250	95.1	4.9	1.2
Intake 14A	Upper 1%	2	564	93.2	6.8	4.2
Series 5 - ROR subyearli	ng Chinook Sal	mon released 1-	-17 July			
Collection Channel	NA	3	560	99.6	0.4	2.7
Intake 14A	Mid 1%	3	743	99.4	0.6	5.4
Intake 14A	Upper 1%	3	821	97.4	2.6	5.1

A.2. Bonneville 2nd Powerhouse FGE Program Gatewell Improvements Alternatives 30% Report Comments, NOAA Fisheries (22 April 2009)

April 22, 2009

F/NWO3

FILE MEMORANDUM

FROM: Gary Fredricks

SUBJECT: Bonneville 2nd Powerhouse FGE Program Gatewell Improvements Alternatives 30% Report Comments

- More biological background is needed regarding gatewell studies that were done in 2008. What
 we know about both Spring Creek hatchery and river-run fish should be summarized. It will be
 important to know if the gatewell fish condition problem is limited to only Spring Creek Fish or
 also applies to river-run fish. A short term operational change may be all that is necessary if the
 problem occurs only two times a year for a few days.
- 2. Measurable gatewell environment goals should be developed for this program. There appears to be a relatively consistent response in fish condition (at least for Spr. Cr. hatchery fish) to changes in unit flow. What are the gatewell conditions associated with each of the operating points and can these be used to develop some design criteria for this program?
- 3. Alternative A and A1 are both flow control devices that should be carried forward. These will allow free use of the turbine units which may help maintain best turbine survival and reduce TDG during the higher flow periods at the project. Also, there was a suggestion at the April 21 meeting for an alternative flow control idea (A2?) that would incorporate a modification to the head gate that would restrict gatewell flow. This might simplify the construction, deployment and maintenance of a flow control device and should be carried forward.
- 4. Alternative B Modifying the unit operation is one of the cheaper alternatives from a construction standpoint but this does have the concerns of reduced turbine survival, increased TDG during high river flows (due to a restriction in powerhouse capacity) and, as pointed out by BPA, loss of generation. The new B2 turbine model down at ERDC should be used to compare fish passage conditions for the unit operating at the upper, middle and lower points in the 1% peak operating range.
- 5. Alternative C Opening the second DSM orifices (regulating orifices) might move more fish out of the gatewell, however, I believe the residence time for fish in these gatewells is already quite short. A review of this would determine if opening the second orifice might help. The downside of this would be increased flow in the collection channel and potential dewatering issues downstream. Also, only units 11 through 14 have regulating orifices.
- 6. Alternative C1 A vertical slot, overflow weir would probably improve general fish condition by providing a larger and perhaps more natural egress option for gatewell fish. This type of system would also eliminate the need for future orifice modifications. It would be less likely to have debris problems and would be much easier to observe for debris problems. However, the

- usefulness of this alternative in the context of this report also depends on fish residence time. If time is low, then a better gatewell exit probably would not help the problem.
- 7. Alternative D modification to the VBS perforated plates would reduce the flow into the gatewell but it would also have the effect of reducing fish guidance efficiency. While this is effect is true with other alternatives, a perforated plate change would be very difficult to change in-season. This would be undesirable if the gatewell injury problem is limited to a couple of hatchery releases. Hydraulic modeling of the gatewell environment would be necessary.
- 8. Alternative X The issue of Spring Creek Hatchery fish acclimation to the river environment should be further investigated. We know there are significant differences in water temperature between the hatchery and the river, particularly in the early releases. Since these fish encounter the dam only a day or so after release, they may not have acclimated to the river water temperature and flow environment. Studies to determine if this is true and methods to mitigate for it should be considered (Little White Salmon releases?).

A.3. DRAFT Minutes for 02 June 2011 FFDRWG Meeting

CENWP-OD 02 June 2011

MEMORANDUM FOR THE RECORD

Subject: DRAFT minutes for the 02 June 2011 FFDRWG meeting.

The meeting was held in the RDP 3C Meeting Room, Portland OR. In attendance:

Last	First	Agency	Office/Mobile	Email
Baus	Doug	USACE-NWD	503-808-3995	Douglas.m.baus@usace.army.mil
Conder	Trevor	NOAA	503-231-2306	Trevor.conder@noaa.gov
Cutts	Matt	USACE-NWP	503-808-4397	Matthew.e.cutts@usace.army.mil
Ebner	Laurie	USACE-NWP	503-808-4880	Laurie.l.ebner@usace.army.mil
Eppard	Brad	USACE-NWP	503-808-4780	Matthew.b.eppard@usace.army.mil
Fielding	Scott	USACE-NWP	503-808-4777	Scott.d.fielding@usace.army.mil
Fredricks	Gary	NOAA	503-231-6855	Gary.fredricks@noaa.gov
Keller	Pat	USACE-NWP	503-808-4293	Patrick.j.keller@usace.army.mil
Kuhn	Karen	USACE-NWP	808-503-4897	Karen.a.kuhn@usace.army.mil
Lee	Randy	USACE-NWP	503-808-4876	Randall.t.lee@usace.army.mil
Lorz	Tom	CRITFC	503-238-3574	lort@critfc.org
Mackey	Tammy	USACE-NWP	503-961-5733	Tammy.m.mackey@usace.army.mil
Meyer	Ed	NOAA	503-230-5411	ed.meyer@noaa.gov
North	Tom	USACE-NWP	503-808-4952	Thomas.north@usace.army.mil
Petross	Dennis	USACE-NWP	808-503-4915	Dennis.w.petross@usace.army.mil
Ploskey	Gene	PNNL	509-427-9500	Gene.ploskey@pnl.gov
Richards	Natalie	USACE-NWP	503-808-4755	Natalie.A.Richards@usace.army.mil
Roy	Liza	USACE-NWP	503-808-4849	Elizabeth.W.Roy@usace.army.mil
Ruckwardt	Sondra	USACE-NWP	503-808-4691	Sondra.k.ruckwardt@usace.army.mil
Schlenker	Steve	USACE-NWP	808-503-4881	Stephen.j.schlenker@usace.army.mil
Schwartz	Dennis	USACE-NWP	503-808-4779	Dennis.e.schwartz@usace.army.mil
Stokke	Alan	USACE-NWP	808-503-4926	Alan.m.stokke@usace.army.mil
Sweet	Jason	BPA	503-230-3349	jcsweet@bpa.gov
Wills	David	USFWS	360-604-2500	David_wills@fws.gov
Zorich	Nathan	USACE-FFU	541-374-8801	Nathan.a.zorich@usace.army.mil

1. Finalized results from this meeting.

2. The following documents were provided or discussed.

- **2.1.** *Agenda*.
- **2.2.** BON spillway issues from Cutts.
- **2.3.** Avian attacks at TDA/JDA from Zorich
- **2.4.** Flow forecast from Eppard.
- 2.5. Richards handout.
- **2.6.** Meeting minutes from 09 May special FFDRWG.
- **2.7.** B2 Orifice improvements from Kuhn.
- **2.8.** B2 FGE CFD modeling handout from Roy.

3. Action Items

- **3.1.** B2FGE Schwartz to re-send the 30% Alternatives report and schedule a special FFDRWG for early May. *Completed.*
- 3.2. TDA AWS Tackley to schedule a special FFDRWG in conjunction with the B2FGE meeting. TDA AWS meeting completed. B2FGE to be discussed after the 2 June FFDRWG.
- **3.3.** [Mar 11] Adult PIT tag detectors at TDA and JDA. **ACTION:** Eppard will schedule a special FFDRWG to discuss the PIT tag plan. *To be removed from the action items.*
- **3.4.** [Mar 11] JDA north ladder improvements. **ACTION:** Richards will check with Schlenker to determine at what flows ladder criteria will be violated with only four pumps. *Completed*.
- **3.5.** [Mar 11] JDA survival study. **ACTION:** Skalski will submit an addendum to the study proposal. The addendum will outline all the various assumptions and how the analysis will occur post study. *Completed.*
- **3.6.** [Jun 11] Avian hazing/lethal take. **ACTION:** Schwartz will send the document to Mackey and it will be included on the FPOM agenda.
- **3.7.** [Jun 11] TDA/JDA PIT tag detectors. **ACTION:** Tackley will send an itinerary for the PIT detector site visit and a Doodle Poll for the special FFDRWG.
- **3.8.** [Jun 11] B1 Turbine ops. **ACTION:** Schwartz will draft a FPP change form for PH1 turbine ops.
- **3.9.** [Jun 11] JDA COP. **ACTION:** Eppard will follow up with NWD and hopefully get the draft to the Region soon.
- **3.10.** [Jun 11] B2 Orifice Improvements. **ACTION:** FFDRWG members are asked to review alternatives as well as the evaluation and ranking criteria information. Comments are due by 17 June.
- **3.11.** [Jun 11] B2 FGE alternatives. **ACTION:** The team will finish the documentation based on comments from FFDRWG.
- **4. Bonneville Spillway Rehab.** Cutts provided a handout and described the last known condition of the BON spillway apron. Cutts explained the issue is that not only could the spillway apron fail, but BiOp spill may not be maintained if the Bay 3 and Bay 4 slab fails. Cutts requested assistance getting a Tech Lead from EC. Schwartz suggested a survey for September. Fredricks asked that Cutts provide this information to FPOM at the 9 June FPOM meeting. Ebner said she would like to have the BON survey combined with the TDA survey (she reported some oddities seen at the end of the spill wall. She doesn't know what is going on, but suggested something as changed). Ebner said she would like it to be one contract, even though there would be two funding streams. Fredricks suggested it would be necessary to look at the ERDC models to see what the impacts might be in the event of failure.

5. Avian Predation Actions

- **5.1.** Island construction. Need two more acres. Looking at Malheur and San Francisco Bay. Malheur is flooded, which is causing some construction issues. The island construction will occur by barge rather than by truck. This will accommodate the flooding and potentially reduce costs. Contract should be awarded by end of FY11 with construction in winter FY12.
- **5.2.** Estuary monitoring. Eagles are attacking the terns. Gulls are eating the tern chicks and eggs.
- **6. TDA Avian Wire Array.** Zorich provided a heat map showing the attacks. He said the arrays are working fairly well though he reminded everyone that the arrays are coupled with hazing. He reported that birds have penetrated the gaps in the new TDA array at the bridge. The recommendation to close the gaps were well received and would be carried forward. He also reported that boat hazing is more effective than shore-based hazing, even when they both haze the same location.
 - **6.1.** Fredricks said he would like to claim the array is successful but with the flows, the upwell isn't as pronounced this spring as compared to lower flow years. Zorich said the attacks are in the same general location, even with the changes in flows. Fredricks added at the sluiceway at TDA normally plunges but this year the outfall goes all the way across the river and impacting the other side, roughly in the same place as the heat spot on the map.
 - **6.2.** Schwartz asked if there has been a shift in birds from JDA to TDA or vice versa. Zorich explained the highest bird counts are normally seen during the juvenile lamprey out-migration, which seems to have already appeared for this year.
 - **6.3.** Wills requested a historical line be added to the diagrams for future handouts. Zorich said he is working on that and also hopes to get the avian array on the heat map as well.

6.4. Schwartz asked if Zorich had seen the Aphis document requesting lethal take. Aphis has asked USACE to review their document. **ACTION:** Schwartz will send the document to Mackey and it will be included on the FPOM agenda.

7. Lower Columbia River Survival Study.

- **7.1.** 2011 Summer Study. Eppard provided a STP graph. Based on flows, the summer survival study has been cancelled. Fredricks requested the spring study results three months sooner since the researchers won't be busy with the summer study. The last release above JDA was 27 May and the last release below BON was 30 May.
- **8.** Survival Study Methods. No update at this time.

The meeting was interrupted by Mr. Thomas Lorz entering the room. Please see the pictures below.





- **9. JSATS Transmitter Downsize**. Eppard said there could be a trip to the Richland lab. Fredricks suggested Eppard talk to NWW to coordinate trips to Walla Walla.
- **10. JDA/TDA Adult PIT Detectors**. Pat Keller is the new PM. He didn't have a lot of past information but was told he needed to talk with various regional folks. Fredricks suggested Keller should talk to Scott Bettin at BPA. Richards reported that she didn't do much with the PIT detectors. Keller explained that Marie Phillips is the TL and she would be scheduling a special FFDRWG to further discuss this issue with the region.
 - 10.1. Keller said he would be going through the alternatives and costs so SCT can rank the project. Fredricks said NOAA is very interested in getting the detectors installed. He said if there was any extra money (say from a summer study not going forward) NOAA would like to see the designs moving forward this year. He suggested the telescoping weirs at TDA, but expressed some concern about the lack of repetition. They expect the same efficiency rates should be met.

- **10.2.** There will be a site meeting at TDA on 8 June. Lorz commented that there is a Snake River COP meeting at TDA on 8 June so that timing would work well. Fredricks and Wills said they thought the COP meeting was for MCN. Either way, many reps would be there.
- **10.3.** Keller said he thought they may start at TDA around 0830 then head up to JDA. **ACTION:** Tackley will send an itinerary for the PIT detector site visit and a Doodle poll for the special FFDRWG.
- **10.4.** Lorz asked about lamprey. Will there be half duplex detectors incorporated as well?
- **11. Lamprey Program.** Richards provided a handout. She recapped a few of the last meetings. The next Lamprey bi-monthly meeting will be 7-8 July.
 - 11.1. Washington Shore Ladder Improvements. Currently trying to route the pipeline through all the conduits. The z axis is not quantified as desired, so more ground-truthing is needed. Fredricks asked if the area wasn't just torn up for the B2 bypass a few years ago. Richards said yes, but the as-builts do not appear to be correct. Schwartz clarified that "a few years ago" has been 12 years now. Fredricks commented that the current LPS is underwater and a potential fish trap. He would like to see that removed. Richards and Schwartz assured him there is nothing like that on the new LPS system.
 - **11.2.** Adult Salmon and Steelhead Studies. The TDA ITS special operations will continue through 2013. The B2CC kelt triggers meeting needs to be rescheduled. **ACTION:** Schwartz is working on that.
 - **11.3.** John Day North Ladder Improvements. BCOE should be out in July. Contracting requested a continuing contract clause, which requires it go through the Secretary of the Army. Richards is working on a work around since the entrance can reasonably be broken into two separate projects.
- **12. Bonneville Fish Unit Trash Rake.** Assigned to Captain Robert Lee. Schwartz explained he is part of the regular army. He has experience with BON and works well with them. Schwartz has briefed Captain Lee on the history of the trash rake. A budget and scope of work has been created, still working on a PDT.
- 13. B1 Turbine Ops. The white paper will be updated per comments from the conference call on 24 May. Schwartz recapped the comments from the Regional reps from the 24 May meeting to make sure they were accurately captured. Fredricks, Lorz and Wills further discussed the implementation of the new turbine ops. Fredricks and Lorz debated the option of not implementing the turbine ops at TDG levels below 130. CRITFC is not in support of changing turbine ops at TDG lower than 130. Fredricks suggested it is a no-brainer to adjust turbine ops at TDG levels of 120. Fredricks suggested he would take this to RIOG. Lorz expressed disbelief that this issue would be elevated to RIOG when there are other issues. Wills and Sweet suggested the TDG levels are regulated by law. Lorz, Fredricks and Wills discussed the adaptive management piece of the BiOp and how it would be nice if it was applied more broadly.
 - 13.1. Fredricks had three triggers for implementation he will bring to FPOM. They are to address spring issues such as sea lions, fallback, etc; reduce TDG impacts (when bumping against 120); to reduce the loads at PH2 for Spring Creek fish or fish condition, debris, etc. Lorz asked if COMPASS will be reconfigured to include the survival with this operation. Fredricks suggested Lorz carry that forward. Sweet suggested it may show that less spill showed higher survival. ACTION:
 Schwartz will draft a FPP change form for PH1 turbine ops.
- **14. B2 Turbine Ops.** Fredricks requests the TSP team accelerate the B2 model and examine the best geometry for PH2 units, with and without screens.
- **15. B2 Corner Collector Gate Hoist**. The hoist contract has been awarded. Work will begin once the B2CC is closed for the season. Lorz asked if any channel repairs would occur at the same time. Schwartz confirmed that the grout work will occur at the same time.
- **16. Turbine Survival Program.** Looking at one-pagers for next year.
- 17. JDA Configuration and Operation Plan. Eppard sent the draft to NWD a few weeks ago. ACTION: Eppard will follow up with NWD and hopefully get the draft to the Region soon.17.1. COP Addendum. This updates the COP with the 2008-2010 data and actions.

- **17.2.** CAES. Wills asked what CAES stood for. No one could remember but everyone knew it addresses the tailrace mods at JDA.
- **17.3.** Deflector Optimization. This is complete.
- **17.4.** Avian Wires. The wires and poles are quiet and normal.
- **18.** The Dalles North and East Adult Fish Ladder Study. A meeting was held on 9 May. A decision document should be out in June 2011.

Lunch break

- **19. B2 Orifices**. Kuhn gave a powerpoint presentation. As she was going through the slides, she commented that BON Fisheries has provided feedback as to the condition of the jet and the location of the driver. The north drivers set into the wall nearly always have a perfect jet. The north drivers set on the wall have a perfect jet about 50% of the time. The south drivers (all set off the wall) rarely have a perfect jet.
 - **19.1.** The design criteria is to the same as the existing DSM- forebay range 71.5-76.5.
 - **19.2.** Fredricks expressed some concern about changing all the orifices to 12", as that could negatively affect FGE.
 - **19.3. ACTION:** FFDRWG members are asked to review alternatives as well as the evaluation and ranking criteria information. Comments are due by 17 June.
- **20. B2 FGE**. R. Lee provided some background as to why FGE was investigated. Based on findings by Lyle Gilbreath, fish condition didn't appear to be as good as expected, an alternatives report was drafted in about 2009. Alternatives include flow control structures, reduced turbine loading, etc. AS the alternatives were modeled, turbulence was seen in the CFD modeling.
 - **20.1.** Roy explained the B2FGE CFD modeling. She explained the STS slots were not in the original model but were added in the new model. She went through four different scenarios (baseline, gap closure device removed, slot fillers in place, flow control device) and the changes in velocity and flow patterns from baseline.
 - 20.2. Fredricks asked if the porosity parameters are the same. Liza said they are. She said with the slot filler in place, there is nothing that dictates a porosity change would be needed. Slot fillers appear to remove the hot spots and turbulence. The recirculation areas are higher in the gatewell, closer to the orifices. The slot fillers prevent the water from expanding, which will reduce the turbulence caused by the expansion of the flow once it reaches the STS slots. This will create faster, uniform flow through the VBS as well. The general consensus from the engineers was that the flow wouldn't increase, but the uniformity would increase.
 - **20.3.** Fredricks asked if further analysis would occur on the three alternatives. He recommends testing the slot fillers as soon as possible.
 - **20.4.** Schwartz reminded everyone that the alternatives were chosen because they didn't limit unit operation and there was limited impact on FGE. **ACTION:** The team will finish the documentation based on comments from FFDRWG.

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
May 1 Happy Birthday) 2	9:00am 10:00am FPAC	NVAV	FEDRWG	6	7
3	9	10	11	12	13	14
Mother's Day	1:99pm 3:00pm Special FEDRING - TDA East Rish Ladder AWS backup (UNCLASSIFIED) (NOAA Fortland Office - Nt. St. Helen	delayed mor 9:00am 10:00am FPAC	tality workshop 9:00em 12:00pm TAC tour of AFF 9:00em 12:00pm TMT	9:00am 3:00pm FPOM	9:00am 4:00pm SRWG	
15	16	17	18	19	20	21
	04.491	9:00am 10:00am FPAC	Workshop on Age and Size 11:30am 12:30pm		BON STSs pulled units 14-1	
		3.00a ii 10.00aii 1740	FPOM call re: BON STSs	9:00em 12:00pm 5CT	nov stas polici una pro	6 6:30an
22	23	24	25	26	27	28
	B	ON STSs pulled units 12,13,1 9:00am 10:00am FPAC 19:30am 12:00pm BON MGR white paper	7.18 9:00em 12:00pm TMT			
29	30 Memorial Day Holiday	31 9:00am 10:00am FPAC	Jun 1	2	3	4

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
May 29	30	31	Jun 1	9:00am 1:00pm NWP-PFDRWG - Mackey, Tammy M NWP	3	4
5	6	7	8	9	10	11
		9:00am 10:00am FPAC	9:00am 5:00pm COPS Regional Meeting #1 (The Dalles) 9:00am 12:00pm TMT	9:00am 3:00pm FPOM		
12	13	14	15	16	17	18
		9:00am 10:00am FPAC		9:00am 12:00pm SCT		
19	20	21	22	23	24	25
Father's Day		9:00am 10:00am FPAC	9:00em 12:00pm TMT			Happy Birthday
26	27	28	29	30	Jul 1	2
		9:00am 10:00am FPAC				

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
un 26	27	28	29	30	Jul 1	2
<u> </u>	4	5	6	7	8	9
	Independence Day Holi	9:00am 10:00am FPAC	9:00am 12:00pm TMT		Happy Brthday	
0	11	12	13	14	15	16
	Happy Birthday	9:00am 16:00am FPAC	9:00em 5:00pm COP5 Regional Meeting #2 - Granite + Transport (in Portland (Actual location TBD))	9:00am 3:00pm FROM		
7	18	19	20	21	22	23
		- 9:00am 10:00am FPAC	9:00am 12:00pm TMT	9:00am 12:00pm SCT	Happy Brthday	
4	25	26	27	28	29	30
		9:00am 10:00am FPAC				
1	Aug 1	2	3	4	5	6

August 2	2011		Agus 2011 Solienter 2011 Significant September 2			
Sunday Jul 31	Nonday Aug 1	Tuesday 2	Wednesday 3	Thursday 4	Friday 5	Saturday 6
Š		9:00am 10:00am FPAC	9:00em 12:00pm TMT	PEDRWG 9:00em 1:00pm NWP-PEDRWG		
7	8	9	10	11	12	13
		9:00am 10:00am FPAC		9:00am 3:00pm FPOM		
14	15	16 9:00am 10:00am FPAC	17 9:00am 12:00pm TMT	18 9:00em 12:00pm SCT	19	20
		9:00am 10:00am PARC	9:00am 12:00pm 141	9:00em 12:00pm SC1		
21	22	23	24	25	26	27
		9;00am 10:00am FPAC				
28	29	30	31	Sep 1	2	3
	Happy Birthday	9:00am 10:00am FPAC	9:00em 12:00pm TMT	1000.5	 	5.

A.4. DRAFT Minutes for 30 April 2012 Special FFDRWG BON FGE Meeting

CENWP-PM-E 30 April 2012

MEMORANDUM FOR THE RECORD

Subject: DRAFT minutes for the 30 April 2012 Special FFDRWG BON FGE meeting.

The meeting was held in Room 3E at Portland District RDP. In attendance:

Last	First	Agency	Office/Mobile	Email
Conder	Trevor	NOAA	503-231-2306	Trevor.conder@noaa.gov
Fredricks	Gary	NOAA	503-231-6855	Gary.fredricks@noaa.gov
Kruger	Rick	ODFW	971-673-6012	Rick.kruger@coho2.dfw.state.or.us
Kuhn	Karen	USACE-NWP	808-503-4897	Karen.a.kuhn@usace.army.mil
Lee	Randy	USACE-NWP	503-808-4876	Randall.t.lee@usace.army.mil
Lorz	Tom	CRITFC	503-238-3574	lort@critfc.org
Mackey	Tammy	USACE-NWP	503-961-5733	Tammy.m.mackey@usace.army.mil
Medina	George	USACE-NWP	503-808-4753	George.J.Medina@usace.army.mil
Meyer	Ed	NOAA	503-230-5411	Ed.meyer@noaa.gov
Petersen	Christine	BPA		chpetersen@bpa.gov
Rerecich	Jon	USACE-PM-E	503-808-4779	Jonathan.g.rerecich@usace.army.mil
Schneider	Carolyn	USACE-NWP	503-808-4970	Carolyn.b.schneider@usace.army.mil
Skidmore	John	BPA		jtskidmore@bpa.gov
Weiland	Mark	PNNL	509-427-5923	Mark.weiland@pnnl.gov
Wills	David	USFWS	360-604-2500	David wills@fws.gov

Lorz called in.

1. Finalized results from this meeting.

- **1.1.** Alternatives that require de-rating the units is not supported by NOAA Fisheries.
- 1.2. Medina, in an effort to wrap up the FGE component of the meeting, suggested looking at Alternatives 1 and 8. The report would be completed around late June with looking at the model in FY13. These two alternatives would be modeled, followed by detailed reports. Due to the fabrication of the slot filler and the Gantry 7 outage, the U14 A-slot slot filler won't be tested until early Spring 2013.
- 1.3. For orifices, improve the lighting and improve the inspection ability. Provide air to clean the jet while inspecting and convert the existing light tubes to an inspection port. In summary- LED orifice at 12 5/8", reduce distance by embedding actuators and provide inspection port through old light tube with a push button flusher.
- **2.** The following documents were provided or discussed. Documents may be found at wc.usace.army.mil/tmt/documents/FPOM/2010/FFDRWG/BON%20PH2%20FGE%20and%20orifice%20improvements/
 - 2.1.120430 Special FFDRWG meeting agenda B2FGE B2Orifice 30APR2012 (3).doc
 - **2.2.** 120430 B2FGE Alt Eval Matrix Final.pdf
 - **2.3.** 120430 B2FGE Eval of Alt Narrative.pdf
 - **2.4.** 120430 B2OrificeImprov 90%EDR (1).pdf
 - **2.5.** 120430 B2OrificeImprov_90%EDR (2).pdf

3. Action Items

- **4. B2 FGE and B2 Orifice Background.** Now attempting to combine the two PDTs since they are so closely intertwined.
- **5. B2 FGE.** R. Lee provided some background.
 - **5.1. Operating assumptions and constraints.** Fredricks and Wills talked about the need to get this project implemented due to the push to de-rate the PH2 units for the safety of fish. They recognize the complexities involved in balancing operations for fish, TDG, and power at BON, but operating those units at the upper end kills not only Spring Creek fish but also run of the river fish.
 - **5.2.** How B2 FGE alternatives are weighed. Lee went through the matrix and explained each factor and the weight given. There were questions about the weighted scores. Biological factors were weighted higher than other factors. Baseline was given a 4. Higher cost scores are better benefits/lower impacts, lower cost scores are reduced benefits. There were questions about the weighted scores. Biological factors were weighted higher than other factors. Conder asked why the two orifices option was rated better than de-rating the unit. Based on Lyle Gilbreath's report, de-rating the unit increased survival five-fold. There were more questions about rating. Fredricks said it doesn't matter because we are going to evaluate the alternatives based on what they do. He does want to explore the O&M tail because that can be a serious problem.
 - **5.3. Matrix overview.** Medina suggested focusing on the top three alternatives at this time. Kruger noted that if the scores were adjusted, then the top three alternatives may change. Everyone agreed that building a new bypass was off the table due to cost. Fredricks also suggested that Alt. 5 is off the table since de-rating the unit isn't a good option. He also felt that very little qualitative information is available for that option right now. He would like to see the best geometry further explored at PH1. We need to consider what works best for the unit as well as for fish.
 - **5.3.1.**Wills asked for clarification about 1% efficiency range and open geometry. He wants to know where they came from and where do the ranges overlap. Fredricks and Meyer said it depends on each unit and 1% may or may not result in the best biological effects and may not even be the best efficiency for the units.
 - **5.3.2.**Meyer explained that turbines have a best operating point chart. He went through how the curves are developed for generating power. All the points on the curve are based on head and flow. The 1% comes off that peak. The 1% is for power.
 - **5.3.3.**Cavitation occurs when the unit blades are misaligned. Cavitation and turbulence is what kills fish at the lower end of the 1% curve. At the upper end, cavitation occurs when too much flow is put through the unit.
 - **5.3.4.**Best geometry is when the stay vanes, wicket gates, etc are all in alignment and provides for the best flow path. Open geometry is determined based on physical alignment. It often results in greater power efficiency and greater survival for fish. Meyer explained that in some units the wicket gates and stay vanes are in line but in others, they are offset so best geometry differs for each unit.

- **5.3.5.**Kristine asked about the episodic debris issues. Meyer explained that during those high flows, which coincides with the debris, water is pushed through the unit but with high tailwater, the unit shouldn't reach cavitation.
- **5.4.** Cleaning the VBSs without the backer screen takes about 20 minutes. Adding a second crane and crew may handle the debris issues during the high flow and high debris times of year. Fredricks agreed this option should be on the table.
- **5.5.** Alternatives to be carried forward include a flow control device, slot fillers and operational changes (second crane and crew). Rerecich clarified that the Region is willing to take a hit on FGE
 - **5.5.1.**The flow control (louver) will likely be adjustable rather than fixed. May require a physical model. O&M costs may be high, depending on final design. Fredricks stressed that the VBS must be balanced to reduce hot spots. Wills asked if the individual louvers should be adjustable to best balance the VBS. Conder suggested the louver would be most beneficial at the upper 1%. Medina wanted to clarify that the team should move forward with the louvers even though it will likely reduce FGE. Meyer said he is looking at it as pulling forward a flow control device alternative. The slot filler may work but there should be at least one flow control device as an option. Fredricks said they are willing to look at it and test it to see what would happen to FGE. He also noted that we need to determine what the overall goal for the gatewell will be.
 - 5.5.2.Medina, in an effort to wrap up the meeting, suggested looking at Alternatives 1 and 8. The report would be completed around late June with looking at the model in FY13. These two alternatives would be modeled, followed by detailed reports. Due to the fabrication of the slot filler and the Gantry 7 outage, the U14 A-slot slot filler won't be tested until early Spring 2013.
- **6. B2 Orifice Improvements. Kuhn provided some background, operating assumptions and constraints, and how B2 orifice improvement alternatives are weighed.** It was noted that there was little support for returning to the 12" orifice rings. Opening additional orifices at the north units may not work because the channel is balanced. Rerecich noticed that Unit 18 operating at the lower end of 1% showed clean jets in B and C slots but A was disturbed. Rerecich noted that there are a lot of factors contributing to the condition of the orifice jets.
 - **6.1.** Fredricks said, years ago, when the units were not running, the jets were perfect; operating units usually had a different shaped jet but still intact. Once the channel was re-designed, the orifice jets didn't remain intact as often as before. He noted that he didn't want the correction to be smaller orifices due to fish size and to debris. NOAA Fisheries said they would rather see 14" orifices but that won't work because of the volume of water. Fredricks stressed that the clear jets are needed to show the orifices are clean; that is the primary reason for clearing up the jets. He also noted, it would be good to know how often the orifices are truly blocked. Maybe it doesn't happen that often.
 - **6.2.** Rerecich asked about the benefit of having a regulating orifice in A-slots to reduce gatewell retention time, units 11-18, but removing them in C-slots. The A-slots are the orifices with the messiest jets most often. C-slots tend to be clear most often. Fredricks asked about the channel

hydraulics. Rerecich had some information based on CFD models. He said he would like to have this option available to look at. He also said the orifices will be set into the wall and the ring will be shaped. Those two actions are going to happen because there is a high likelihood of a benefit and little to no risk. Kuhn noted that the orifice ring being smooth is for the adult fish; if you were looking for a spring for the jet, you would have a sharp edged orifice ring.

- **6.3.** After further discussion, Fredricks decided we should be back at vertical slot orifices. Rerecich noted that this is why the FGE and Orifices PDTs are intertwined. Vertical slots are likely cost prohibitive. After further discussion, Fredricks suggested that if you could see the orifice through the light tube, then you could see if there was debris. In addition, an easy push button for flushing if there was debris, may provide a system that meets the needs. The light tube would be useable because the lights would not be at the light tube, they would be built into the orifice. The lenses would remain cleaner with no light cooking on river and bug gunk. **Provide air to clean the jet while inspecting and convert the existing light tubes to an inspection port.**
- **6.4.** Conder suggested getting as big an actuator as possible and as close to the wall as possible. The reduction in tube length should help. Fredricks suggested going to an oil actuator rather than an air actuator. Can we look at flattening the cylinder. The misalignment of the actuator, gate and orifice rings and tubes may contribute to the impingement issues. Rerecich said the longer tubes are resulting in the jet collapsing before it reaches the end of the tubes.
- **6.5.** In summary- LED orifice at 12 5/8", reduce distance by embedding actuators and provide inspection port through old light tube with a push button flusher. NOAA recommends testing this orifice by orifice not just a blanket design. More discussion occurred around the orifice shape. Meyer suggested changing the exiting edge of the orifice tube to help the jet get over the edge.

6.6. Matrix Overview

6.6.1.Orifice lighting and ring improvements

6.6.2.Reduction of overall tube length

A.5. Bonneville Dam FGE 60% Report Review, NOAA Fisheries (3 May 2012)

May 3, 2012

F/NWR-5

FILE MEMORANDUM

FROM: Gary Fredricks, Ed Meyer, Trevor Conder

SUBJECT: Bonneville Dam FGE 60% Report Review

These comments are regarding the Portland District Corps of Engineers', Bonneville Second Powerhouse Fish Guidance Efficiency (FGE) Program Post Construction 60% Alternatives Report – November 2011. We recognize that this report is still quite preliminary at the 60% level, however, we do have a few general and specific comments that may help guide the future development of this project:

- 1. As mentioned in several previous Portland District FFDRWG meetings, we continue to recommend that the Corps conduct a physical model study of these alternatives. It has come to our attention that the ERDC 1:25 PH2 turbine model might be useable for this purpose. We recognize that some of the hydraulic effects of the forebay would not be captured, however, this model would still be useful for observing relative differences between alternative designs and may shed some light on the potential benefits of some of the alternatives. We would appreciate further discussion of this issue.
 - Concepts that move from Alternative's Document to DDR may need to be evaluated in a 1:25 model or may require the development of a single intake bay model. At this stage the CFD model is providing good head-to-head comparisons between alternatives.
- 2. How were the flows verified (field or model) in Table 2-5?
 - Flow splits come from modeling work done by ERDC physical models and prototype data using the scintation frames.
- 3. Looking at the figures 2-19 and 2-20 (and similar figures later in the report), a couple of questions come to mind: How were flow patterns verified in 2-19 and how does the depiction in 2-20 represent turbulence (magnitude or volume)?
 - Appendix C discusses the calibration and validation of the CFD model to physical model and field data. The turbulent kinetic energy is a representation of the turbulence intensity. The plots show 3-Dimensional regions (or isosurfaces) where the turbulent kinetic energy is of the same value. Comparison of the size and location of the isosurfaces for the same turbulent kinetic energy value provide a means of evaluating changes in turbulence intensity between model scenarios.

- 4. It must be recognized that river-run fish are at risk in the current gatewell system, not just Spring Creek hatchery fish, as suggested in section 3.2. The reason for concern for river-run yearling and subyearling migrants is clearly shown in the tables in Section 2.2.2.
 - This will be addressed in the 90% where SCNFH fish concerns are identified. Replace or add language reflecting concern for all river run fish, hatchery and wild.
- 5. The term OPE is mentioned several times in the report, however, it is not defined. Historically, this metric is expressed in the percentage of fish that exit a gatewell under a specific period of time (typically a day). This is not a very useful metric for expressing risk of exposure to turbulence related injury and mortality mechanisms. We suggest using a metric that is directly related to median gatewell retention time.
 - Concur. OPE references will be revised in 90% Alternatives Report to gatewell residence time where necessary to maintain consistency.
- 6. We do not support alternatives that alter the original design goals of the Second Powerhouse juvenile collection channel or the DSM dewatering screen (e.g., Alt. B2 and possibly Alt. B4), nor do we support relaxing the NOAA screening criteria at any point in the migration season. We find it odd that Alternative B2 does not address the fact that half of the powerhouse units do not currently have dual orifice gatewells. Also, it does not make much sense to us that the Alternative B2 be adopted regardless of other improvements (Section 4.7.5.) given that this alternative would likely have undesirable effects on channel and screen hydraulics.
 - We concur that alternatives that relax screen criteria during any point in the fish passage season and alter channel hydraulics are not desirable and will be identified in the evaluation matrix ranking. A second orifice has been identified as an alternative for this report. The B2 Orifice Improvements report will be referenced for potential modifications to the orifice size that may result in operating a second orifice without modifying the DSM channel and screen criteria.
- 7. We do not support alternatives that only address smolt injury in a limited number of turbine units (again, Alt. B2), regardless of variations in horizontal distribution of smolt passage through the powerhouse.
 - Same comment as #6.
- 8. We do not support alternatives that limit turbine unit operating capacity (e.g., Alt B1). Based in observations from our involvement with the Corps Turbine Survival Program, operating these units at or near the upper 1% point is likely better for turbine passed fish.
 - Concur. As stated in 4.6.5 "Reduced unit operational alternatives should be used sparingly and other methods should be investigated..."

- 9. We recognize that our position on the issues expressed above may result in an alternative that has reduced FGE. The potential for these FGE changes should be assessed through prototype testing.
 - Concur. The preferred alternative will be prototype tested prior to full implementation.
- 10. While we do support the immediate investigation of the slot filler alternative (Alt. C1), we also have concerns that this device may not have sufficient effect on gatewell hydraulics to be a standalone alternative. Therefore, a concurrent design investigation of flow control alternatives is highly recommended.
 - Investigations of the preferred alternative will continue after completion of the EDR. Data gaps may be filled by testing STS slot fillers in a PH2 gatewell, preferably 14A.
- 11. We recommend that the Corps solicit fishery agency assistance in filling out the evaluation matrix (as explained in Section 5) which will be used in scoring the individual alternatives.
 - We have examined the alternatives internally and plan to evaluate information and perspectives collected during the Special FFDRWG on April 30, 2012 in review of the preliminary rankings. COE looks forward to a collaborative effort to discuss the ranking of alternatives. Thank you.

We look forward to continued participation in the development of these alternatives and the eventual implementation of corrective measures at the Bonneville project. We anticipate discussion these comments at the next Portland District FFDRWG meeting.

A.6. NOAA Comments on 60% Orifice Improvements Report (3 May 2012)

May 3, 2012 F/NWR-5

FILE MEMORANDUM

FROM: Gary Fredricks, Ed Meyer and Trevor Conder

SUBJECT: Comments on the 60% Orifice Improvements Report

These comments are regarding the Bonneville Second Powerhouse Orifice Improvements Study Engineering Documentation 60% Draft Report – November 2011.

- 1. The goals of this project should be clearly stated as improvements to the collection system that will reduce injury and delay to migrating fish species. These improvements should address three specific issues:
 - a. Improve the ability for the project operators to detect debris plugs in the orifice,
 - b. Reduce the likelihood of fish impingement due to misalignment of orifice flow, and
 - c. Improve gatewell egress times with improved orifice lighting.
 - Concur. Plan to clarify in 90% Engineering Document Report.
- 2. As we mentioned in our recent memo regarding the FGE Alternatives Report, we do not support alternatives (e.g., A4) that alter the original design goals of this collection channel as outlined in sections 6.1 and 6.2. Nor do we support alternatives that relax NOAA screen criteria (e.g., Alt A5) for any portion of the fish passage season.
 - Concur. We understand the reluctance to relax NOAA screen criteria and alter the original design goals. We will continue the investigation of alternatives that meet these concerns.
- 3. Additionally, we do not support reducing the orifice ring size (Alt A4) from the current size due to concerns for injury to fallback adult salmon and steelhead.
 - There is uncertainty, due to insufficient data, to show that reducing orifice ring size from 12 5/8 inch to the original 12 inch design criteria would provide a measureable benefit to fallback adult salmon and steelhead.
- 4. Acceptable alternatives should allow for daily (or more frequent) inspection of the orifice to assure against debris plugging. Alternative A6 would be impractical for this inspection frequency.
 - Concur. This alternative did not score high enough to be selected in the top three alternatives and was not carried forward.

- 5. Alternative A7 has been tried in the past (at PH1) with poor results. The electronic pressure sensors just didn't do well in this gatewell environment. How would these be tested on a daily basis and would the project know if they have failed? Reliability and O&M may be a serious impediment to this design.
 - Concur. This alternative did not score high enough to be selected in the top three alternatives and was not carried forward.
- 6. Alternative A8 has similar reliability and O&M concerns as alternative A7.
 - Concur. This alternative did not score high enough to be selected in the top three alternatives and was not carried forward.
- 7. We support alternative A12 (and elimination of the current incandescent lights and light tubes), however, there should be some provision for determining when these lights are working correctly (lit or not).
 - Concur. Electronic system proposed to address this concern and there are plans to investigate since this alternative scored high in the alternatives matrix.
- 8. Summary Comment. Of the alternatives selected as final by the Corps Development Team, we would not support A4 and A5 for reasons mentioned above. Alternative A3, while acceptable, is likely cost prohibitive as written, given the region's current appetite for bypass systems. We recommend looking closely at steps to reduce the costs for Alternative A3 while maintaining its intent of maintaining minimum orifice dimensions and eliminating jet impingement. We suggest further investigation into a cost effective alternative that works to increase the size and or shape of the exit orifice ring so impingement is not possible under any forebay level. This alternative in addition to either alternatives A1 and A2 may provide enough air to support the jet, and possibly eliminate obstruction to the jet that could potentially injure fish. We would appreciate further discussion of these issues in the next Portland District FFDRWG meeting.
 - Alternative A3 (Re-Core Orifice Tube to Larger Size, Install Larger I.D. Transport Pipe of 18", Replace 12 5/8" Orifice Ring with 13" Orifice Ring) will no longer be considered based on insufficient supporting biological data and the large cost of \$8.4M compared to alternative A4 (Reduce Orifice Ring Size to 12" and Open Additional Orifices as Needed to Maintain Channel Design Flow and Velocities) at \$4.3M.
 - Alternatives A1 (Add Compressed Air to Orifice Tube with 13" Orifice Rings) and A2 (Vent Orifice tube Using Existing Light Tube Ports with 13" Orifice Rings) did not rank high enough in the Alternatives Matrix to make the top three alternatives and will no longer be considered.
 - Alternative A5 (Seasonally Increase Capacity of DSM2, Reduce Orifice Ring Size to 12" and Open Additional Orifices as Needed to Maintain Channel Design Flow and Velocities) will no longer be considered due to the strong concern for relaxing the dewatering screen velocity criteria for part of the fish passage season.

- For all major alternatives considered, alternatives A11 (Minimize Overall Length of Pipe and Mounting Flange) and A12 (Replace Existing Orifice Ring with Lighted Orifice Ring) are assumed to be included as part of the Alternatives Evaluation.
- Alternative A4 has ranked high in the Alternatives Matrix and is being investigated to determine if the goals as outlined in the EDR can be met, as well as the concerns to not change velocity in the DSM2 channel and existing screen criteria at the dewatering structure. Operations are being investigated to link these improvements to maximize benefits to the Fish Guidance Efficiency Program. We look forward to your collaboration in further discussion.

A.7. Corps of Engineers Letter to Ritchie Graves, NOAA Fisheries (8 May 2012)



REPLY TO THE ATTENTION OF DEPARTMENT OF THE ARMY CORPS OF ENGINEERS, PORTLAND DISTRICT PO BOX 2946 PORTLAND OR 97208-2946

Planning, Programs and Project Management Division

MAY 0 8 2012

Ritchie Graves NOAA, Northwest Regional Office 1201NE Lloyd Blvd, Suite 1100 Portland, OR 97232

Dear Mr. Graves:

Thanks to you and your staff for reading and commenting on the *U.S. Army Corps of Engineers, Portland District (Corps) draft 60% Alternatives Report, BONNEVILLE SECOND POWERHOUSE FISH GUIDANCE EFFICIENCY (FGE) PROGRAM POST CONSTRUCTION.* This alternatives report is part of the Corps ongoing efforts to understand and improve downstream passage conditions within the Bonneville Dam Second Powerhouse Juvenile Bypass System in response to RPA 18 in the FCRPS Biological Opinion. We concur with most of your comments and will incorporate them into the 90% document. Specific responses to your comments are provided in the enclosure.

Computational Fluid Dynamics (CFD) modeling conducted in 2010-11 indicates that gatewell hydraulic conditions may be improved by filling the Submersible Traveling Screen (STS) guide cavity above the STS turning vane on both sides of the gatewell. For all major alternatives considered, alternative C1 (Install STS Guide Slot Fillers) ranked high and plans are underway to test the hypothesis that filling the guides above the STS will improve gatewell flow conditions, thereby reducing injury and mortality at the mid and upper 1% peak efficiency turbine operation range. Testing is being coordinated for spring of 2013. While CFD modeling of this alternative's performance on gatewell hydraulics appear promising, we agree that this alternative may not have a sufficient effect on gatewell hydraulics to be a standalone alternative.

A Special FFDRWG discussion occurred on April 30, 2012 and the preliminary FGE alternatives matrix rankings and B2 Orifice Improvements top three alternatives were discussed. The Corps is attempting to combine the two Product Development Teams since they are so closely intertwined. Two FGE alternatives that ranked high in the preliminary rankings, alternatives B1 (Operate Main Units off 1% Peak Efficiency) and B2 (Open Second DSM Orifice) were not supported by NOAA. The Corps concurs and these alternatives will no longer be pursued. Alternative B3 (Vertical Slot with Adjustable Weir) ranked high but has slipped behind lower ranked alternatives due to costs and uncertainty on effects to channel and dewatering screen velocities. The flow control device alternatives were favored by NOAA and others at the Special FFDRWG and the Corps plans to continue to investigate these alternatives. We look forward to your collaboration in further discussion.



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A copy of this letter is being sent to Gary Fredricks, Ed Meyer, and Trevor Conder, NMFS, 1201 NE Lloyd Blvd, Suite 1100, Portland, OR 97232. Additionally, copies will be e-mailed to Fish Facility Design Review Work Group members. Your comments on this draft report are appreciated. You may contact Jon Rerecich at Jonathan.G.Rerecich@usace.army.mil or 503-808-4779 for further discussion. Thank you for your continued participation in the fish facility improvement review process.

Sincerely,

Joyce E. Casey

Chief, Environmental Resources Branch

Enclosure

A.8. Corps of Engineers Letter to Ritchie Graves, NOAA Fisheries (8 May 2012)



REPLY TO THE ATTENTION OF DEPARTMENT OF THE ARMY
CORPS OF ENGINEERS, PORTLAND DISTRICT
PO BOX 2946
PORTLAND OR 97208-2946

Planning, Programs and Project Management Division

MAY 0 8 2012

Ritchie Graves NOAA, Northwest Regional Office 1201 NE Lloyd Blvd, Suite 1100 Portland, OR 97232

Dear Mr. Graves:

Thanks to you and your staff for reading and commenting on the *U.S. Army Corps of Engineers, Portland District (Corps) draft 60% Alternatives Report, BONNEVILLE SECOND POWERHOUSE ORIFICE IMPROVEMENTS.* This alternatives report is part of the Corps ongoing efforts to understand and improve downstream passage conditions within the Bonneville Dam Second Powerhouse Juvenile Bypass System in response to RPA 18 in the FCRPS Biological Opinion. We concur with most of your comments and will incorporate them into the 90% document. Specific responses to your comments are provided in the enclosure.

Several field observations of the three varying length orifice tubes at Bonneville Dam have indicated that the quality of the orifice jet tends to be better where the orifice gate was aligned as closely as possible to the downstream wall. Evaluation of juvenile salmonid gatewell egress using updated orifice lighting treatments at McNary Dam in 2010 suggested that adequate lighting at the orifice entrance could reduce delay in the gatewell. For all major alternatives considered, alternatives A11 (Minimize Overall Length of Pipe and Mounting Flange) and A12 (Replace Existing Orifice Ring with Lighted Orifice Ring) are assumed to be included as part of the Alternatives Evaluation. Alternative A4 (Reduce Orifice Ring Size to 12 inch Diameter and Open Additional Orifices as Needed) has ranked high in the alternatives matrix and is being examined to determine if the goals as outlined in the report can be met, as well as the concerns to not change velocity in the DSM2 channel and existing screen criteria at the dewatering structure. Operations are being investigated to maximize benefits to the Fish Guidance Efficiency Program. Other factors are being considered on these three alternatives based on the recent Special FFDRWG discussion that took place on April 30, 2012. We look forward to your collaboration in further discussion.

A copy of this letter is being sent to Gary Fredricks, Ed Meyer, and Trevor Conder, NMFS, 1201 NE Lloyd Blvd, Suite 1100, Portland, OR 97232. Additionally, copies will be

2

e-mailed to Fish Facility Design Review Work Group members. Your comments on this draft report are appreciated. You may contact Jon Rerecich at Jonathan.G.Rerecich@usace.army.mil or 503-808-4779 for further discussion. Thank you for your continued participation in the fish facility improvement review process.

Sincerely,

Joyce E. Casey

Chief, Environmental Resources Branch

Enclosure

A.9. Email from NOAA Fisheries, 2013 B2FGE 90% EDR Review (22 April 2013)

 From:
 Gary Fredricks - NOAA Federal

 To:
 Rerecich, Jonathan G NWP

 Cc:
 Ed Meyer - NOAA Federal

Subject: Re: 2013 B2FGE 90% EDR Review (UNCLASSIFIED)

Date: Monday, April 22, 2013 4:06:47 PM

Jon, I just wanted to let you know that we are reviewing this document and have several comments so far. Unfortunately, most were in our memo regarding the 60% document. Hopefully, I'll be able to get something finished before we head down to MS in week. Thanks, Gary

On Thu, Apr 11, 2013 at 3:05 PM, Rerecich, Jonathan G NWP < Jonathan.G.Rerecich@usace.army.mil> wrote:

Classification: UNCLASSIFIED

Caveats: NONE

Dear FFDRWG,

Please see the attached cover letter and follow the link below to the B2FGE 90% EDR Review

http://www.nwd-

wc.usace.armv.mil/tmt/documents/FPOM/2010/FFDRWG/BON%20PH2%20FGE%20and%20orifice%20improvements/

The report is under FGE files -

2013 B2FGE Alts 90% Entire Report

Please send comments to me by April 30.

Please let me know if there are any questions.

Thank you,

Jon Rerecich Environmental Resources Branch NWP PM-E Fisheries 503-808-4779 Jonathan.g.rerecich@usace.army.mil

Classification: UNCLASSIFIED

Caveats: NONE

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Gary Fredricks NOAA Fisheries, NWR Hydropower Division

Office: (503) 231-6855 Cell: (503) 351-3956

APPENDIX B Biological Considerations

Appendix B – Biological Considerations

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Appendix B. Biological Considerations

B.1. BIOLOGICAL BACKGROUND

B.1.1. Overview

National Oceanic and Atmospheric Administration (NOAA) Fisheries began evaluating fish guidance efficiency (FGE) at Bonneville's second powerhouse (PH2) in 1983 after construction of the powerhouse was completed in 1982. Initial measurements of FGE with standard-length submerged traveling screens (STS) were less than 25% for yearling Chinook salmon (*Oncorhynchus tshawytscha*) and coho salmon (*O. kisutch*) and approximately 33% for steelhead (*O. mykiss*). These guidance levels were considerably lower than the expected design level of 70% or greater for all species (Krcma et al. 1984).

From 1984 to 1989, the U.S. Army Corps of Engineers (USACE) and NOAA Fisheries tested various design modifications to improve FGE at PH2. The results of this research indicated that modifications to increase flows above the STS and smooth flows into and within the turbine intake could substantially increase FGE for yearling Chinook during the spring migration (Gessel et al. 1991). Tests in 1985 showed that lowering the STS by 0.8 meters in conjunction with streamlined trashracks increased the FGE to about 40% and the gap-net catch (percent of fish escaping over the STS back into the intake) remained at less than 1%. However, lowering the STS by 1.2 meters increased the gap-net catch to 12%, which resulted in a decreased FGE of 29% (Gessel et al. 1986). From 1987 to 1989, in tests conducted with an 0.8-meter lowered STS, streamlined trashracks and turbine intake extensions (TIEs) installed in units 11, 12, and 13, the FGE ranged from 51% to 74% during 4-5 day test series. Based on these results, STSs were lowered by 0.8 meters and TIEs (in front of every other intake) and streamlined trashracks were installed across the powerhouse in 1991.

In 1993 and 1994, FGE was again measured at PH2 and FGE averaged 57% for yearling Chinook in unit 15 with all eight units in operation. With units 11, 12, 13, 16, 17, and 18 operating, FGE averaged 53% and 32% in units 12 and 17, respectively. During all of these tests, the average gap-net catch for all species combined was less than 1% (Monk et al. 1994, 1995).

Hydroacoustic FGE estimates for all juvenile salmonids in 1996, 1998, and 2000 were similar to estimates reported in the NOAA Fisheries studies described above, and FGE was lower for end units than for units nearer to the center of the powerhouse. In spring 1996, the three highest FGE estimates were 65% (unit 12), 52% (unit 15), and 40% (unit 13), and the average for all eight units was only 37% (Ploskey et al. 1998). In summer 1996, the average FGE was only 26%, and estimates ranged from 10% at unit 11 to 42% at unit 12). In 1998, hydroacoustic estimates of FGE for units 11-13 averaged about 55% in spring and 30% in summer during closed sluice-chute treatments (Ploskey et al. 2001). In 2000, the eight PH2 turbine units had an average FGE of 52% in spring and the seven turbines that operated in summer (Unit 12 did not) averaged 38%. (Ploskey et al. 2002).

To investigate ways to improve FGE, hydraulic model studies of PH2 intakes were conducted. Flows of 270 cubic feet per second (ft³/s) into the gatewell slot and 215 ft³/s over the top of the STS were measured, indicating the potential for fish to be lost through the gap as substantially larger than that measured by previous FGE studies, and for possible FGE improvements by increasing flow up into the gatewell slot.

To increase flow from the turbine intake into the gatewell, three modifications were proposed: (1) increase the size of the VBS by partial removal of a concrete beam; (2) install a turning vane just below the picking beam on the STS; and (3) install a gap closure device (GCD) on the ceiling intake downstream from the top edge of the STS. To meet new design criteria for salmonid fry established by NOAA Fisheries, screen mesh openings on the new VBS were decreased to 0.08 inches with a porosity of 44%. These modifications, as well as a larger VBS, were hydraulic model tested and gatewell flows of 13.6 m³/s (480 ft³/s) and gap flows of 2.5 m³/s (90 ft³/s) were measured. Based on these promising results of hydraulic model study, in the spring of 2001 the modifications were installed in unit 15.

Both FGE and orifice passage efficiency (OPE) tests were conducted in the B intake gatewell where no TIE was present (Monk et al. 2002). In spring, yearling Chinook FGE averaged 71% (SE = 2.5) and FGE for steelhead and coho were greater than 80%. These FGE values were the highest measured at PH2 since testing began in the early 1980s and were 15% to 33% higher than comparable values measured in unit 15 in 1994. In summer, subyearling Chinook FGE averaged 57%, which was 17% higher than earlier measurements.

The hydroacoustic estimate of FGE at intake 15B in spring 2001 (70%) was the highest of any unit sampled at PH2. In summer, hydroacoustic FGE was 52%, slightly lower than the 57% estimated by Monk et al. 2002.

In 2001, OPE in 15B for yearling Chinook salmon in the spring and for subyearling Chinook in the summer was high, 94% and 99%, and the averaged median passage times were 1.6 and 0.8 hours, respectively. There were no significant differences between unit 15 and an unmodified unit for either OPE or passage times.

During both FGE and OPE tests, descaling and injury rates were low for all species sampled. During spring testing, average descaling ranged from 2% to 3% for all species with no significant differences between the modified and unmodified units, and no differences between the B and A gatewell (with and without the gap closure device, respectively). During summer testing, descaling rates for subyearling Chinook salmon was 2% or less in both units with no significant differences between units.

Based on these favorable results, further testing of these intake modifications in additional units and gatewells was warranted to characterize results across the entire powerhouse and gatewell slots with TIEs. Therefore, in 2002, FGE and OPE tests were conducted in unit 17 and all three turbine intake slots were monitored to test for potential slot effects. Results from spring 2002 indicate that FGE for yearling Chinook salmon averaged 47%, 67%, and 31% for the A, B, and C slots, respectively. Steelhead FGE averaged 49%, 54%, and 36%, and coho salmon averaged 51%, 71%, and 60% for the A, B, and C slots, respectively. The differences in FGE between slots were statistically different for yearling Chinook salmon (P=0.001), but not for steelhead (P=0.14) or coho salmon (P=0.096). Although the results from unit 17 are higher than those observed in previous studies with the unmodified configuration (36% in 1994), they were not as high as unit 15 in 2001 under a similar configuration. Interestingly, steelhead guidance appeared lower than expected. Fish injury and descaling rates were low throughout the spring. In contrast with previous findings, OPE in unit 17 during the spring was variable, ranging from 70% to 100% for yearling Chinook. In addition, travel time from time-of-release to time-of-detection at PH2 smolt monitoring facility over 3-day periods was evaluated, and based on preliminary estimates, the 10th, 50th, and 90th percentiles were highly variable.

Results from summer 2002 indicate that FGE for subyearling Chinook salmon averaged 47% and 57% for the A and B slots, respectively, which is similar to the 57% FGE observed in 15B in 2001. Fish injury and descaling rates were low throughout the summer. Similar to results from previous years, OPE in unit

17 during the summer was high, ranging from 98% to 100%, except on June 26 when OPE was 80%. Again, travel times to PH2 smolt monitoring facility over 3-day periods was evaluated, and the 10th, 50th, and 90th percentiles were found to also be highly variable.

The results from 2002 corroborate findings from 2001 that the gatewell modifications tested improved the level of fish guidance into the gatewells with little, if any, effect on fish condition over the existing configuration. However, the 2002 results also indicate that FGE varies between units and intake slots at PH2 and OPE may be more variable under the new configuration. Extended (3 days) OPE tests were also conducted for the first time when units could be run over a weekend, and observed 50th and 90th percentiles that were highly variable. For example, the 90th percentile in travel time from unit 17 ranged from 60 to 1,539 minutes and 70 to 1,010 minutes during the spring and summer, respectively.

B.1.2. Data Analysis 2000-2003 (Pre-Corner Collector)

From 2000-2003, FPE and FGE for PH2 were collected with several different biological measurement tools such as radiotelemetry, hydroacoustics and fyke netting (Ploskey, PNNL; Counihan and Adams, USGS; Monk, NOAA Fisheries). This analysis uses previous baseline (pre-2000 gatewell modifications) FGE data from PH2 for yearling and subyearling Chinook and steelhead for all units and compares it with FGE data post gatewell modifications. The pre-2000 FGE numbers were 48%, 26%, and 48%, respectively (Table B1-1). Radiotelemetry, hydroacoustics and fyke netting data from 2000-2003 were looked at to quantify the net FGE gain to the fish stocks at modified units 15 and 17.

Post-2000 Improvements FGE							
Species	Baseline FGE	Gap Loss	Corrected Baseline FGE				
Yearling Chinook & steelhead	48%	13%	35%				
Subyearling Chinook	26%	13%	13%				

Table B1-1. Historic Baseline for FGE at Second Powerhouse

During the analysis, USACE looked at how well fyke netting, hydroacoustics, and radio tag FGE estimates compared over the same season and over varying water years. On average, the comparisons between fyke netting (NOAA) and hydroacoustics by the Pacific Northwest National Laboratory (PNNL) were very close and the standard errors were below 3.5%. In the analysis, large discrepancies between PNNL hydroacoustic data and U.S. Geological Survey (USGS) radio tag data were very common and reduced the soundness of the data comparisons with a standard error between the two of 12.3%. For example, fyke netting and hydroacoustic FGE averages were within 5 percentage points for all species for all years. In contrast, hydroacoustics and radio tag data showed an average spread of 17% over all years and a 22% difference between fyke netting over all years. This trend led us to believe that hydroacoustics and fyke netting were much more closely matched; because of their very tight similarities, they were given more weight in the data analysis.

B.1.3. Data Analysis 2004 (Post-Corner Collector)

In 2004, USACE continued an aggressive biological research evaluation at Bonneville looking to bolster the survival and passage data sets post corner collection operation. Special emphasis was placed on research programs that would continue to measure standard survival and passage indices along with several new research components aimed at assessing biological performance of the new PH2 corner collector. Hydroacoustic, DIDSON, and radio tag programs were used in a research partnership to evaluate and assess survival and route specific species data for juvenile salmonids migrating past PH2 and

the Project under two spill conditions: 50,000 ft³/s 24-hour vs. NOAA Fisheries Biological Opinion 75,000 ft³/s day/total dissolved gas cap/night. Special emphasis was placed on measuring the particular nuances on FPE and FGE relative to past years without the corner collector operating.

B.1.4. Radiotelemetry Data

Three radiotelemetry studies were conducted at PH2 to measure route-specific survival of yearling and subyearling Chinook salmon and steelhead. Route-specific data for both yearlings and steelhead are presented in Tables B1-2 and B1-3. Although radio tracking was not used for guidance efficiencies, it was deemed appropriate to use for survival estimates.

Table B1-2. Radio Tracking Route-specific Survival, Yearling Chinook and Steelhead

Route-specific Survival Model Probabilities, Yearling Chinook 2004						
Juvenile Bypass PH2 (unguided) Corner Spillway PH1**						
System (JBS)	(BS) Turbines Co		Spillway	Turbines		
97.0%	95.1%	101.6%	91.0%	91.3%		
(94.3, 99.5)* (92.9, 97.2) (99.9, 100.3) (88.8, 93.1) (87.3, 94.9)						
	Dam Surviva	al = 95.1% (93.6,	96.6)			

Route-specific Survival Model Probabilities, Steelhead 2004						
JBS PH2 (unguided) Corner Spillway PH1 Turbines Collector Spillway Turbines						
95.1%	88.9%	103%	97.9%	96.5%		
(90.7, 98.9)	(90.7, 98.9) (84.8, 92.7) (101, 105) (95.6, 100.					
	Dam Surviva	l = 99.1% (97.5,	100.7)			

^{*(}Survival Estimate)

Table B1-3. Radio Tracking Route-specific Survival, Subyearling Chinook

Route-specific Survival Model Probabilities, Subyearling Chinook 2004 (a) 50,000 ft ³ /s spill vs. (b) BiOp 75,000 ft ³ /s spill						
JBS	PH2 (unguided) Turbines	Corner Collector	Spillway	PH1 Turbines		
(a) = 92.9% (b) = 84.0%	(a) = 76.0% (b) = 72.4%	(a) = 95.5% (b) = 97.0%	(a) = 76.4% (b) = 85.6%	(a) = 73.4% (b) = 75.4%		

The highest route survival for both yearling Chinook and steelhead was through the corner collector with a relative survival estimate of 101% and 103%, respectively. No significant differences were found between the two differing spill treatments. Route-specific survival for fish traveling through the PH2 juvenile bypass system (JBS) were also high for the same species at 97% and 95%, respectively. Subyearling Chinook showed greater variance in survival under the different routes and spill conditions.

Highest survival for both spill treatments was through the PH2 corner collector with 95.5% and 97.0% survival. The second highest survival was through the JBS system with 93% and 84% survival. This study also measured movement, distribution, and passage behavior at Bonneville in 2004. Significant findings of the study were: (1) 74% of steelhead passing the second powerhouse did so by way of the PH2 corner collector, where yearlings and subyearlings passed at a significantly lower rate of 37%; (2) FGE at PH2 was significantly higher for 2004 compared to 2002 when the PH2 corner collector was not operating; and (3) yearling/subyearling Chinook and steelhead that previously traveled exclusively

^{**}PH1 = Bonneville first powerhouse

through PH2 turbines and the JBS system are still traveling through these routes and are not being robbed by the PH2 corner collector at a significant rate. These data seem to point out that significant amounts of fish, particularly steelhead, prefer the surface bypass route.

B.1.5. Hydroacoustics, Distribution, and FGE Results

The 2004 PNNL research program consisted of a detailed look at FGE and vertical distribution of juvenile salmonids at PH2 along with the effects of the corner collector with the absence of TIEs from units 11-14. Initial research indicated that FGE was significantly higher in those units that have been modified and that have gap closure devices. Powerhouse distribution data showed a higher FGE in the modified units in general (units 15 and 17) compared to unmodified units across the powerhouse (Figures B1-1 and B1-2). Summer FGE estimates also show an increase in FGE for migrants during the summer months in modified units when FGE historically falls off later in the season.

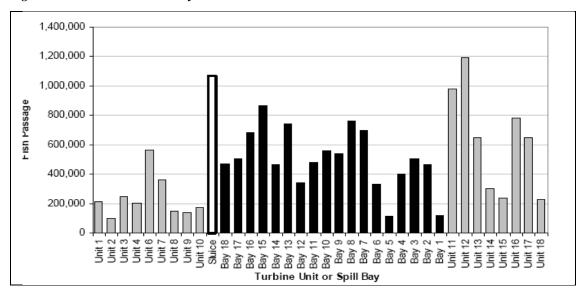
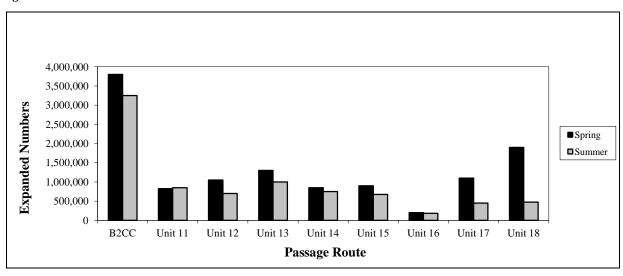


Figure B1-1. PH2 Horizontal Hydroacoustic Distribution 2002





Horizontal distribution in 2004 was extremely skewed towards the corner collector with over two times more fish being guided into it than the highest unit in the spring (unit 18) and over three times as many during the summer as with the highest passage unit 13. Historical passage data shows that units 11 and 12 traditionally and consistently had the highest number of fish passage through the passage season. This effect was attributed to fish that were shoreline oriented, as well as the end units being operated as "last off, first on" due to powerhouse priority and adult attraction benefits in the PH2 tailrace. In contrast, units 11 through 13 in 2004 with the corner collector operating showed a major shift towards a more even distribution (Figure B1-3). The 2004 data also shows a significant propensity for passage at unit 18 in the spring, which is a major shift from the norm.

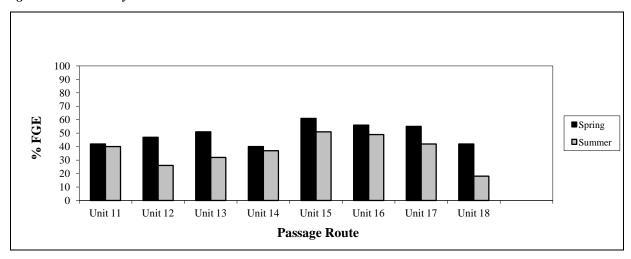


Figure B1-3. 2004 Hydroacoustics PH2 FGE

B.1.6. Gatewell Modifications and Gap Loss

During the hydraulic modeling evaluations, a high proportion of colored dye representing flow was observed exiting the gap between the top of the intake and the end of the STS. Second powerhouse FGE fyke netting conducted by NOAA (Monk 1999-2000) identified that low numbers of fish were being captured in the gap net that fished this gap. The high volume of flow identified moving through the gap and very low fish collected in the NOAA gap nets raised suspicions about the validity of the fyke netting results. In 2003, USACE imitated a study to use DIDSON technology to view the turbine ceiling gap environment and to see if we could readily identify and quantify fish passing through.

Units 15 and 17 were modified to allow more water up the gatewell slot to introduce more fish to the gatewell and JBS systems, as well as installing a gap closure structure to reduce fish loss through the gap between the STS and intake beam. In 2003, units 13, 15 and 17 were examined during both spring and summer for gap loss. After determining that the DIDSON camera was also detecting non-fish objects like waterlogged sticks and other aquatic debris during the study, the data was reexamined and filtered accordingly to remove this debris bias from the samples. Tests concluded that gap loss was found to be approximately 3-4 times as much in an unmodified unit than units with a TV and GCDs. Unit 13 showed in the spring an average gap loss of 11% compared to units 15 and 17, which showed an average of 3.5%. Summer results were consistent with spring results, showing a higher gap loss in unit 13 than units 15 and 17 with 10% and 3%, respectively.

Both units 13 and 17 we evaluated for gap loss in the spring of 2004. Gap loss shows a steady 3% during spring for the modified units where as the unmodified units consistently show higher losses ranging between 11% in 2003 and up to 15% in 2004 (Figure B1-4).

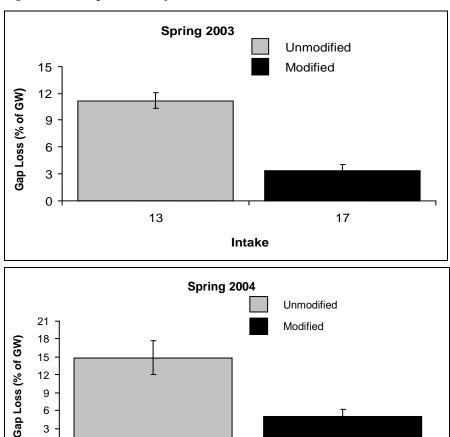


Figure B1-4. Gap Loss Data for 2003 and 2004

B.1.7. Decision Criteria and Anticipated Benefits

Intake

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For the PH2 FGE improvements program, five distinct and measurable objectives were identified to assist the region in formulating a sound basis for and implementation decision (Table B1-4). From 2000-2004, USACE developed a research scope to measure PH2 FGE improvements with fyke netting, hydroacoustics, and radio tags. DIDSON technology was developed to monitor and quantify the improvements from adding a GCD to minimize the loss of juvenile salmonids. In 2004, the PH2 corner collector was operated in conjunction with the JBS system for the first time. This allowed measurement and quantification of the effects and efficiencies of the newly constructed surface bypass route.

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Table B1-4. PH2 FGE Project Objectives

Improve survival?	Yes: Mar-Aug (0.1% – 0.3%) Yes: Sep-Oct (0.7%)
Improve FGE?	
Increase gatewell flow?	
Improve gatewell environment?	Yes: screens within criteria and closer to meeting fry criteria
Improve O&M of screens and gatewell?	

Biological Benefits Option 1 - No Implementation

There were no increased biological benefits due to the "no implementation" option; in fact, there are known biological losses from the previous baseline FGE assumptions due to the gap loss phenomenon. If the status quo at PH2 continues, then a loss of 13% of guided fish or higher is expected at all unmodified units, thus reducing current FGE assumptions for yearling and subyearling Chinook salmon and steelhead. It can also be deduced that that stocks of later migrating subyearling Chinook salmon will have a lower FGE. Significant benefits to both FGE and survival for fish passing during spill and post spill could only be realized if the full complement of FGE modifications are implemented across PH2.

Biological Benefits Option 2 - Full Powerhouse Implementation

With more flow up the slot due to gatewell improvements, FGE was improved (0.1% - 0.3%) for yearling and subyearling Chinook salmon and steelhead in the modified units during the regular spill season (April through August). A more significant FGE increase of 0.7% was measured for subyearlings after spill is terminated (September 1). Table B1-5 lists affected subyearling stocks that would be aided with the VBS modifications.

Table B1-5. Impacted Subyearling Fish Stocks

Species	Subyearling Fish Stocks
Summer Chinook	Upper Columbia
	Upriver Bright Priest Rapids & Ringold Springs Hatcheries Hanford Reach Natural Yakima River & Marion Drain
Fall Chinook	Snake River Bright Listed Wild Snake River Unlisted Lyons Ferry Hatchery Unlisted Nez Perce and Big Canyon Hatcheries
	Mid-Columbia Bright Deschutes River Klickitat River Umatilla River Little White Salmon River

The addition of a GCD to these modified units has reduced salmonids being lost through the gap and passing through turbines. This gap loss translates into a direct reduction of more than 13% less than modified units.

B.1.8. Other Positive Factors

In addition to the biological benefits of the turbine intake modifications, additional benefits to the hydropower project were realized. Regional salmon managers and USACE agree that the proposed improvement strategy was a positive step towards achieving operational flexibility of the Federal Columbia River Power System, specifically how Bonneville Dam could be better managed to pass migrating juvenile salmonids and improve the varying operational scenarios available during all times of the year.

More Robust Bonneville Project Operational Configuration

Bonneville second powerhouse FGE improvements did bolster the set of operational configurations that can benefit out-migrants over a wide spectrum of river conditions. Increasing flexibility to operate PH2 during both the spill and post-spill seasons while also increasing survival enhances the ability to manage known and unknown environmental and operational conditions. This flexibility is key to providing better or improved survival conditions during reduced spill or no spill events during drought years. As seen from the 2004 radio tag route-specific survival study, the spillway, which has historically shown high survival (+98%), can and will show variability in survival according to different spill operations and river conditions (91% radio tag spillway survival 2004, USGS). Robustness of routes of project passage helps offset this variability in specific route passage.

SIMPAS Project Survival

SIMPAS (New Spreadsheet Model for Fish Passage Survival Estimates) prediction model data sets for varying spill conditions (75,000-150,000 ft³/s) were tabulated to produce new project survival estimates for fish during the spill season and post spill operations (Table B1-6).

Spill (ft ³ /s)	Species	Baseline	Full Powerhouse (units 11-18)	Survival Increase
	Yearling Chinook	97.3%	97.5%	0.2%
75,000	Steelhead	98.1%	98.1%	0
	Subyearling Chinook	97.5%	97.8%	0.3%
	Yearling Chinook	97.5%	97.7%	0.2%
120,000	Steelhead	98.1%	98.1%	0
	Subyearling Chinook	97.6%	97.7%	0.3%
	Yearling Chinook	97.7%	97.8%	0.1%
150,000	Steelhead	98.1%	98.1%	0
	Subvearling Chinook	97.6%	97.8%	0.2%

Table B1-6. SIMPAS Project Survival Estimate for Varying Spill Conditions

The data set in Table B1-6 represents new SIMPAS model runs for varying spill conditions with PH2 as the priority powerhouse and the corner collector operating. Project survival increases, although small, are observed in all three runs. The greatest survival benefit was seen in the SIMPAS model runs when spill is

terminated on September 1 when late traveling subyearling Chinook are the bulk of the out-migrating species. Table B1-7 shows subyearling project survival for full implementation verses no implementation and the corner collector operating. The significance of this data is that a substantial survival benefit is captured with and without the corner collector operating. A 0.7% overall project survival benefit to these late traveling subyearling Chinook is expected with full prototype implementation and the corner collector not operating. The current Fish Passage Plan (FPP) has corner collector and spill shut off by September 1. Fish studies in 2005 will determine if the corner collector can be operated without spillway flow. However, SIMPAS model runs show a 0.5% project survival increase for full VBS implementation and the corner collector operating.

Table B1-7. Corner Collector Comparison

SIMPAS Project Survival Estimate Fall Chinook Sep/Oct (0 ft ³ /s, PH2 priority)						
Parameter Without Corner Collector Delta						
*Corner Collector Operation Change	Baseline	95.4%	96.7%	1.3%		
Implement Full VBS Modifications	Full Powerhouse	96.1%	97.2%	97.2%		
	Delta	0.7%	0.5%	1.8%	Operation Change + VBS Mods	

Table B1-8. Comparison between Baseline and Prototype FGE

Baseline FGE			F	GE after V	BS Modifica	tions	
Species	Baseline FGE	Gap Loss	Corrected Baseline FGE	FGE	Gap Loss	Corrected FGE	FGE Increase
Yearling Chinook & Steelhead	48%	13%	35%	59%	3%	56%	21%
Subyearling Chinook	26%	13%	13%	49%	3%	46%	31%

B.1.9. Literature Cited

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B.2. FISH CONDITION TEST RESULTS, BONNEVILLE SECOND POWERHOUSE, 2008-2009

B.2.1. Subyearling Chinook Salmon from Spring Creek National Fish Hatchery

The results of tests conducted with this species in 2008-2009 are shown in Tables B2-1 to B2-5 and in Figure B2-1. Statistical treatment of the data shows that mortality increases at higher operating levels within the 1% peak efficiency range. Figure B2-1 illustrates the interaction between fish size and mortality for subyearling Chinook salmon from Spring Creek National Fish Hatchery (SCNFH).

Table B2-1. Recapture rates and observed mortality of juvenile SCNFH Chinook released in the bypass system collection channel or gatewell 12A on 3-4 March 2008 at Bonneville second powerhouse. Average fork length of fin-clipped test fish was 63 millimeters (mm).

Parameter	Collection Channel	Gatewell 12A Lower 1% 11.6-11.8 kcfs	Gatewell 12A Middle 1% 13.9-14.0 kcfs	Gatewell 12A Upper 1% 16.8-16.9 kcfs
Test blocks (no.)	2	2	2	2
Test duration (h)	4	4	4	4
Fish released (no.)	1,801	799	854	799
Recaptured (%)	98.3	82.7	81.3	66.6
Mortality (%)	0.3	1.9	14.2	32.3

T-test results for comparisons of recapture and mortality percentages: P<0.01 for all comparisons except for recapture of lower and middle 1% gatewell releases where P=0.44. kcfs = thousand cubic feet per second

Table B2-2. Recapture rates and observed mortality of juvenile SCNFH Chinook salmon released from 18-21 March 2008 into the bypass system collection channel or 14A turbine intake at Bonneville second powerhouse. Average fork length in PIT-tagged test groups ranged from 68-69 mm.

Parameter	Collection Channel	Intake 14A Lower 1% 11.6-11.9 kcfs	Intake 14A Upper 1% 16.1-16.6 kcfs	P ^a
Test blocks (no.)	4	4	4	
Test duration (h)	4	4	4	
Fish released (no.)	592	787	1,010	
Recaptured (%) ^b	98.6	65.1	38.1	0.03
Mortality (%)	0.5	1.8	6.9	0.08

^a ANOVA. P values are for load comparisons.

^b Recapture percentages for intake releases were reduced by fish loss between barrier screen sections. kcfs = thousand cubic feet per second

Table B2-3. Recapture rates, observed mortality, and timing of juvenile SCNFH Chinook salmon released from 26 March to 18 April 2008 into the bypass system collection channel or 14A turbine intake at Bonneville second powerhouse. Average fork length in PIT-tagged test groups ranged from 69-79 mm.

Parameter	Collection Channel	Intake 14A Lower 1% 12.1-12.8 kcfs	Intake 14A Upper 1% 17.1-18.6 kcfs	P ^a
Test blocks (no.)	3	3	3	
Test duration (h)	48	48	48	
Fish released (no.)	2,681	2,607	2,616	
Recaptured (%) ^b	98.8	94.6	65.9	< 0.01
Mortality (%)	0.0	1.3	12.7	< 0.01
Timing (median, h)	0.7	6.9	0.8	< 0.01

^a ANOVA. P values are for load comparisons.

Table B2-4. Recapture rates, observed mortality, and timing of juvenile SCNFH Chinook salmon released from 23 April to 9 May 2008 into the bypass system collection channel or 14A turbine intake at Bonneville second powerhouse. Average fork length in PIT-tagged test groups ranged from 81-86 mm.

Parameter	Collection Channel	Intake 14A Middle 1% 14.9-15.7 kcfs	Intake 14A Upper 1% 17.9-18.7 kcfs	\mathbf{P}^{a}
Test blocks (no.)	3	3	3	
Test duration (h)	48	48	48	
Fish released (no.)	899	2,433	2,394	
Recaptured (%)	98.4	96.4	78.9	< 0.01
Mortality (%)	0.2	2.8	17.8	< 0.01
Timing (median, h)	0.7	1.4	0.8	0.15

^a ANOVA. P values are for load comparisons.

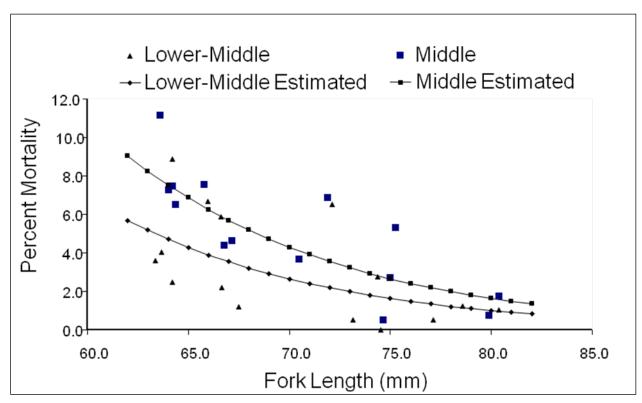
b Recapture percentages for intake releases were reduced by fish loss between barrier screen sections. kcfs = thousand cubic feet per second

kcfs = thousand cubic feet per second

Table B2-5. Recapture rates, observed mortality, and passage timing data for subyearling Chinook salmon obtained from SCNFH, PIT-tagged, and released into the Bonneville second powerhouse bypass system collection channel or 14A turbine intake in 2009.

Parameter	Collection Channel	Intake 14A Lower-middle 1% 14.9-15.7 kcfs	Intake 14A Middle 1% 17.9-18.7 kcfs	${f P}^{ m a}$
Test blocks (no.)	14	14	14	
Test duration (h)	24	24	24	
Fish released (no.)	1,393	5,829	5,855	
Recaptured (%)	97.4	93.2	92.1	0.20
Mortality (%)	0.5	3.3	5.4	< 0.01
Timing (median, h)	0.6	3.3	2.1	0.08
^a ANOVA. P values are for kcfs = thousand cubic feet	-	•	,	

Figure B2-5. Results of logistic regression modeling using data obtained from release and recapture of juvenile Chinook salmon obtained from SCNFH in 2009. Estimation lines show how mortality rates decrease as fish size increases during lower-middle and middle 1% operation.



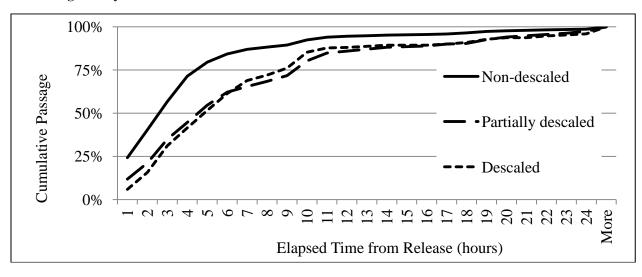
B.2.2. Run-of-River Yearling Chinook Salmon

In 2008, yearling Chinook salmon tests were not completed due to high debris loading of the second powerhouse vertical barrier screens, which led to the regional decision to pull the submersible traveling screens in May. Results of tests conducted by NOAA Fisheries in 2009 are shown in Table B2-6 and in Figure B2-2. Statistical treatment of the data shows that mortality, descaling, and passage timing increase as turbine operation increases from 14.7 to 17.8 thousand cubic feet per second (kcfs) within the 1% peak efficiency range. Figure B2-2 shows how passage timing differed among non-descaled, partially descaled, and descaled fish.

Table B2-6. Recapture rates, observed mortality, passage timing, and descaling data for yearling Chinook salmon obtained from the Bonneville Smolt Monitoring Program, PIT-tagged and released into the Bonneville second powerhouse turbine 14A intake in 2009. Descaling is expressed as the percentage of recaptured fish that were descaled $\geq 20\%$ on at least one side.

Parameter	Collection Channel	Intake 14A Middle 1% 14.7 kcfs	Intake 14A Upper 1% 17.8 kcfs	$\mathbf{P}^{\mathbf{a}}$
Test blocks (no.)	8	8	8	
Test duration (h)	24	24	24	
Fish released (no.)	389	3,229	3,153	
Recaptured (%)	97.7	98.4	97.4	0.05
Mortality (%)	0.3	0.5	4.4	< 0.01
Timing (median, h)	0.6	1.7	2.7	< 0.01
Descaling (%)	0.3	1.0	11.5	< 0.01

Figure B2-6. Passage timing by descaling classification for yearling Chinook salmon at Bonneville second powerhouse in 2009. Time computed from turbine intake release to first detection at the Juvenile Fish Monitoring Facility.



Run-of-River Subyearling Chinook Salmon

Limited test releases of subyearling run-of-river Chinook salmon were completed in 2008 Results are shown in Table B2-7. Although mortality, descaling, and passage timing increased as turbine operation increased within the 1% peak efficiency range, differences were not statistically significant.

Table B2-7. Recapture rates, observed mortality, passage timing, and descaling data for subyearling Chinook salmon obtained from the Bonneville Smolt Monitoring Program, PIT-tagged and released into the Bonneville second powerhouse turbine 14A intake in 2008. Descaling is expressed as the percentage of recaptured fish that were descaled ≥20% on at least one side.

Parameter	Collection Channel	Intake 14A Middle 1% 14.1-15.1 kcfs	Intake 14A Upper 1% 16.6-18.1 kcfs	$\mathbf{P}^{\mathbf{a}}$
Test blocks (no.)	3	3	3	
Test duration (h)	24	24	24	
Fish released (no.)	560	743	820	
Recaptured (%)	97.4	94.6	94.9	0.86
Mortality (%)	0.4	0.6	2.6	0.29
Timing (median, h)	0.6	2.7	4.0	0.24
Descaling (%)	0.7	0.4	3.3	0.18
a ANOVA. P values are fo				

kcfs = thousand cubic feet per second

In 2009, mortality, descaling, and passage timing increased as turbine operation increased within the 1% peak efficiency range and differences were statistically significant. Data from the initial tests of middle

and upper 1% loading with one open gatewell orifice are shown in Table B2-8.

Standard one-orifice operation with two-orifice operation at upper 1% loading also were compared to determine if faster egress from the gatewells and reduced negative passage effects could be achieved with the two-orifice operation. Results of this comparison were promising, as shown in Table B2-9.

Table B2-8. Recapture rates, observed mortality, passage timing, and descaling data for subyearling Chinook salmon obtained from the Bonneville Smolt Monitoring Program, PIT-tagged, and released into the Bonneville second powerhouse turbine 14A intake in 2009. Descaling is expressed as the percentage of recaptured fish descaled \geq 20% on at least one side. Tests conducted with one open gatewell orifice.

Parameter	Collection Channel	Intake 14A Middle 1% 14.7 kcfs	Intake 14A Upper 1% 17.8 kcfs	$\mathbf{P}^{\mathbf{a}}$
Test blocks (no.)	8	8	5	
Test duration (h)	24	24	24	
Fish released (no.)	400	3,167	2,058	
Recaptured (%)	96.7	97.2	96.5	0.13
Mortality (%)	0.3	2.6	4.5	0.01
Timing (median, h)	0.6	2.6	6.1	0.03
Descaling (%)	0.3	0.5	2.6	< 0.01

^a ANOVA. P values are for load comparisons, one open gatewell orifice. kcfs = thousand cubic feet per second

Table B2-9. Recapture rates, observed mortality, passage timing, and descaling data for subyearling Chinook salmon obtained from the Bonneville Smolt Monitoring Program, PIT tagged, and released into the Bonneville second powerhouse turbine 14A intake in 2009. Descaling is expressed as the percentage of recaptured fish descaled $\geq 20\%$ on at least one side. Tests conducted with one or two open gatewell orifices.

Parameter	Collection Channel	Intake 14A Upper 1% One orifice	Intake 14A Upper 1% Two orifices	$\mathbf{P}^{\mathbf{a}}$
Test blocks (no.)	8	5	4	
Test duration (h)	24	24	24	
Fish released (no.)	400	2,058	1,641	
Recaptured (%)	96.7	96.5	95.9	0.08
Mortality (%)	0.3	4.5	2.4	0.04
Timing (median, h)	0.6	6.1	2.9	0.06
Descaling (%)	0.3	2.6	1.2	0.10
^a ANOVA. P values are for kcfs = thousand cubic feet p		th two open gatewell orifice	es.	

B.2.3. Literature Cited

Gilbreath, L.G., B.P. Sanford, M.H. Gessel, D.A. Brege, D. Ballinger. 2012. *Condition and Gatewell Retention Time of Yearling and Subyearling Chinook Salmon from Modified Turbine Intakes at Bonneville Dam Second Powerhouse*, 2008-2009. Report by National Marine Fisheries Service to U.S. Army Corps of Engineers, Portland, OR.

B.3. WATER VELOCITY MEASUREMENTS ON A VERTICAL BARRIER SCREEN AT BONNEVILLE SECOND POWERHOUSE

The Sept. 2011 final report was prepared by the Pacific Northwest National Laboratory in Richland, Washington, for the U.S. Army Corps of Engineers, Portland District, under an Interagency Agreement with the U.S. Department of Energy. The 2010 study was designed to sample water velocities inside the gatewell at the Bonneville second powerhouse at turbine units 12A and 14A to determine whether adverse conditions for migrating juvenile salmonids are present. High approach velocities or hot spots were found to be characteristic for turbine units 12A and 14A at all levels of discharge. Based on the measurement results, researchers considered the flow conditions in turbine units 12A and 14A of the second powerhouse to not be within NOAA Fisheries fish screen criteria.

B.3.1. Literature Cited

Hughes, J.S., M.A. Weiland, Z. Deng, J.J. Martinez. 2011. Water Velocity Measurements on a Vertical Barrier Screen at the Bonneville Dam Second Powerhouse. PNNL-20746, Pacific Northwest National Laboratory, Richland, WA.

APPENDIX C Hydraulic Considerations

Horizontal Slot Width Computation

PROJECT: BZFGE AH Re	port	COMPUTED BY:	DATE:
BUBJECT: Horizontal Slo		CHECKED BY:	SHT. OF PART:
Assume:		//	
-slot acts - discharge	as a free ov	one existing	gatewell
	15 cts	3	7
*	<u> </u>		
<u> </u>	+	D	
	H	1	
	1		
			1,1
1 0		1	TO DSA
Q=CLH3/2	2		channe
C=4.0		- 15	
Q=15cfs	CH3/2	$=\frac{15}{4}$	
H=1f+		,75ft	
for HE1,5ft		4	= use
H=2.0ft	L= 1.3 f	4 => 16 in.	



Bonneville Second Powerhouse Fish Guidance Efficiency (FGE) Computational Fluid Dynamics (CFD) Modeling



Bonneville Lock and Dam

Bonneville Second Powerhouse Fish Guidance Efficiency (FGE) Computational Fluid Dynamics (CFD) Modeling Report

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ABBREVIATIONS AND ACRONYMS

3D three-dimensional

B1 Bonneville first powerhouse B2 Bonneville second powerhouse

B2CC Bonneville second powerhouse corner collector

BGS behavioral guidance structure

CAD computer-aided deisgn

CFD computational fluid dynamics

cfs cubic feet per second

DSM downstream migrant system FGE fish guidance efficiency

ft/s feet per second

ft²/s² square feet per second squared

JBS juvenile bypass system kg/s kilograms per second

NOAA National Oceanic and Atmospheric Administration

PNNL Pacific Northwest National Laboratory

STS submerged traveling screen
TIE turbine intake extension

USACE U.S. Army Corps of Engineers

VBS vertical barrier screen

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1. Introduction

1.1. BACKGROUND

In 1999, the region agreed to pursue a phased approach and focus on improving fish guidance efficiency (FGE) and survival by maximizing the flow up the turbine intake gatewells at the Bonneville Dam second powerhouse (B2), a guideline that has been used on similar programs to improve FGE. As a result, prototypes were designed and installed from 2001 to 2004 at units 15 and 17. These modifications included an increase in vertical barrier screen (VBS) flow area, installation of turning vanes to increase flow up the gatewell, addition of a gap closure device to eliminate fish loss at the submerged traveling screen (STS), and installation of interchangeable VBS to allow for screen removal and cleaning without outages or intrusive gatewell dipping. Physical hydraulic modeling was conducted to design the turning vanes, VBS, and gap closure devices.

Prior to implementation of improvements across the powerhouse, gatewell testing was conducted on prototypes to make sure that improvements were beneficial to fish. Results from the biological studies showed an increase in FGE by 21% for yearling Chinook and 31% for subyearling Chinook. Test fish conditions showed no problem with descaling and gatewell retention time including fry in a newly modified unit. Based on these results the changes were implemented across the entire powerhouse. The changes cost approximately \$20 million and were completed in 2008.

During the 2008 juvenile fish passage season, Spring Creek National Fish Hatchery released hatchery sub-yearlings in early spring 2008, over a period of 3 months (March, April, May). Recent biological testing conducted by the National Oceanic and Atmospheric Administration (NOAA, Spring 2008) suggests that Spring Creek subyearling are incurring high mortality and descaling when the newly modified units are being operated at the upper 1% range. Evidence suggests a relationship may exist between the operation of the powerhouse units (lower, mid and upper one percent) and survival of the Spring Creek sub-yearlings. Poor hydraulic conditions within the gatewell may be the culprit.

The B2 FGE was designed based on a "clean" B2 forebay, with no B2 corner collector (B2CC) or behavioral guidance structure (BGS) in place. The design used a 1:12 scale physical sectional model of a single intake of one turbine unit. Flow to the upstream end of the physical model was straight in with no lateral flow. Improvements to FGE are in order and in order to develop alternatives on a holistic level, a computational fluid dynamics (CFD) model of an individual unit and full powerhouse is being used to evaluate and design alternatives.

1.2. OBJECTIVES

Hydraulic Design has carried out a modeling study to meet the following objectives:

- 1. Understand the relative impact the B2CC, BGS, turbine intake extensions (TIEs), and unit loadings have on gatewell hydraulic conditions and flows.
- 2. Identify an appropriate hydraulic model of adequate detail to characterize baseline hydraulic conditions in the B2 gatewell with existing FGE improvements in place and support development of additional improvements.

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- 3. Apply the selected model to characterize baseline hydraulic conditions in the B2 gatewell including velocities, turbulence, flow patterns, and flows for a range of turbine operating conditions.
- 4. Apply the selected model to support alternatives analysis for the FGE Improvement Alternatives Study.
- 5. Confirm the performance of select FGE improvement alternatives under a range of forebay configurations and unit loadings with an appropriate forebay model.

2. EXISTING FOREBAY CFD MODELING

2.1. EXISTING FOREBAY CFD MODEL

The first modeling objective to understand the relative impact of forebay configuration and unit loadings on gatewell hydraulic conditions and flows was met using an existing B2 forebay CFD model developed by Pacific Northwest National Laboratory (PNNL 2010). The model was developed using the Star CD software and includes the model domain shown in Figure 1 (all figures located in Section 7).

The model is a truncated version of a full forebay model, with a bay-by-bay spillway, truncated Bonneville first powerhouse (B1) forebay, B2 turbine intakes, and forebay bathymetry extending approximately 1.5 kilometers upstream from the tip of Cascade Island (PNNL 2010). In addition, detail was added to include the BGS as part of the model grid.

The B2 turbine intakes in this model included a representation of the trash racks, STSs, VBSs, and TIEs. The B2 forebay model as described will be referred to as the existing forebay CFD model in this report. It is important to note that the existing forebay CFD model represents conditions in the intakes as of 2000, and does not include recent FGE improvements to the B2 gatewell configuration, such as beam modification, turning vane, gap closure device, and increased VBS area. The existing forebay CFD model was selected for this analysis as an available and appropriate tool for a preliminary investigation into the relative unit-by-unit impacts of forebay configuration on gatewell hydraulic conditions. However, because it does not contain the current intake geometry, the existing forebay CFD model is not adequate for prediction of actual gatewell flow amounts for the existing gatewell configuration.

2.2. Existing Forebay CFD Model Runs

The existing forebay CFD model was used to define the relative gatewell flows for various forebay configurations (B2CC in/out, BGS in/out, TIEs in/out) and B2 flows. A total of 24 runs were conducted with the existing forebay CFD model for the forebay configurations and flows (Table 2-1). The naming convention used for the model runs consists of four characters defined as follows:

- First character indicates flow condition: high (H), medium (M), or low (L);
- Second character indicates whether the B2CC is in operation in the run: yes (Y) or no (N);
- Third character indicates whether the BGS is in place: yes (Y) or no (N); and
- Fourth character indicates whether the TIEs are in place: yes (Y) or no (N).

Cells representing the BGS were changed from fluid cells and shells to solid cells and baffles in model runs where the BGS was considered in place. The TIEs were modeled by converting a layer of fluid cells just inside the shell cells representing the TIEs into solid cells. For forebay configurations where the TIEs were in place, the TIEs were modeled only in units 15A, 15C, 16B, 17A, 17C, and 18B, not across the entire powerhouse. No re-meshing of the model was required for these runs, but it is important to note that they provide a relative comparison of the influence of forebay configuration and river flow on gatewell flows, not actual gatewell flow ratings, as the current gatewell VBS configuration was not in the existing model.

After the models were set up, the model setup and boundary conditions were quality control checked, and the models were run. The 15 runs were completed in July 2010; each model run required approximately 18 hours of processor run-time.

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2.3. EXISTING FOREBAY CFD MODEL RESULTS

The CFD model results were post-processed in Star-CD for mass-flux at the gatewells in the center (B) bay of units 11, 14, and 18 as representative of priority units and the expected extremes of forebay flow influence. These mass-flux values were then converted to cubic feet per second (cfs) and combined into a scatter plot for comparison (Figure 2). From this plot it was noted that the presence of the TIEs in the model was highly correlated with changes in gatewell flows in units 11b and 18b.

Five velocity contour plots were created in Tecplot for each model run (Figures 3 through 42):

- Plan view surface velocity magnitude contours for the entire model domain;
- Plan view surface velocity contours and vectors in the B2 forebay, extending from the BGS to the powerhouse;
- Three vertical sections through the powerhouse showing velocity magnitude contours at the powerhouse intakes. See the bottom of Table 2-1 for the location of the cross section slices used in Slices 1, 2, and 3, with Slice 1 taken through the intakes downstream of the gatewell, Slice 2 through the intakes upstream of the gatewell, and Slice 3 upstream of the powerhouse.

The "XXXX_zoom.lay" plots for all 24 model runs were compared visually (Figures 42 and 44). It was noted that a given forebay configuration affected all three flow conditions (high, medium, and low) in a similar manner (the velocity magnitudes at the water surface were less for lower flow conditions, but the general shape of the velocity contours were very similar). There was generally an increase in the surface velocity at the south end of the powerhouse when the TIEs were in place versus when they were not in place. Velocity vectors indicate that when the BGS is in place water near the surface flows parallel to the BGS – surface and subsurface flow direction are not the same.

Results were compared to understand the relative impact the B2CC, BGS, and TIEs have on gatewell hydraulics and flows and to select conditions requiring further CFD model investigation with the current VBS configuration.

It was originally assumed that there would be a total of 28 model runs—the 24 runs discussed above, and an additional four runs with partial loads for units 11, 12, 17, and 18. After completing the first 24 runs it was determined that the partial-load model runs would be conducted with the updated model at a later date.

Table 2-1. Run Summary - Forebay Configurations, Boundary Conditions, Gatewell Flows, and Arbitrary Slice Coordinates for 24 CFD Model Runs

Summary		HNN(N)	HYN(N)	HNYN	HNNY	HYY(N)	HYNY	HYYY	HNYY(2)	MNN(N)	MYN(N)	MNYN	MNNY
Summary	5/21 @9 PM	5/22 @ 3 PM	5/23@ 8 AM	7/2 @ 2 AM		5/23 @ midnight	7/8 @ 1 AM	7/8 @ 7 PM	7/9 @ noon	5/24 @ 6 PM	5/25 @ 11 AM	7/10 @ 6 AM	7/10 @ 10 PM
	0,21 0,111	18 hours	17 hours	17 hours	17 hours	16 hours	16 hours	18 hours	17 hours	18 hours	17 hours	17 hours	16 hours
B2CC	no/yes	no	yes	no	no	yes	yes	yes	no	no	yes	no	no
BGS	no/yes	no	no	yes	no	yes	no	yes	yes	no	no	yes	no
TIES	no/yes	no	no	no	yes	no	yes	yes	yes	no	no	no	yes
	-				-		•						·
B2CC	cfs	0	5000	0	0	5000	5000	5000	0	0	5000	0	0
T11	cfs	17600	17600	17600	17600	17600	17600	17600	17600	15300	15300	15300	15300
T12	cfs	17600	17600	17600	17600	17600	17600	17600	17600	15300	15300	15300	15300
T13	cfs	17600	17600	17600	17600	17600	17600	17600	17600	15300	15300	15300	15300
T14	cfs	17600	17600	17600	17600	17600	17600	17600	17600	15300	15300	15300	15300
T15	cfs	17600	17600	17600	17600	17600	17600	17600	17600	15300	15300	15300	15300
T16	cfs	17600	17600	17600	17600	17600	17600	17600	17600	15300	15300	15300	15300
T17	cfs	17600	17600	17600	17600	17600	17600	17600	17600	15300	15300	15300	15300
T18	cfs	17600	17600	17600	17600	17600	17600	17600	17600	15300	15300	15300	15300
F1	cfs	2800	2800	2800	2800	2800	2800	2800	2800	2800	2800	2800	2800
F2	cfs	2600	2600	2600	2600	2600	2600	2600	2600	2600	2600	2600	2600
Total B2	cfs	146200	151200	146200	146200	151200	151200	151200	146200	127800	132800	127800	127800
		^	^	^		^		^				^	
B1	cfs	0	0	0	0	0	0	0	0	0	0	0	0
0.30		100000	100000	100000	100000	100000	100000	100000	100000	100000	100000	100000	100000
Spill	cfs	100000	100000	100000	100000	100000	100000	100000	100000	100000	100000	100000	100000
Total River	cfs	246200	251200	246200	246200	251200	251200	251200	246200	227800	232800	227800	227800
Total Kivei	CIS	240200	231200	240200	240200	231200	231200	231200	240200	227800	232800	227800	227800
Run Inputs QC'd		GSH	GSH	EWR	EWR	EWR/GSH	EWR	EWR	EWR	GSH	GSH	EWR	EWR
Date		6/24/2010	6/24/2010	7/26/2010	7/26/2010	6/23/2010	7/26/2010	7/26/2010	7/26/2010	6/24/2010	6/23/2010	7/26/2010	7/26/2010
Dutt		0/24/2010	0/24/2010	7720/2010	772072010	0/23/2010	1720/2010	772072010	772072010	0/24/2010	0/23/2010	772072010	7720/2010
													1
11b	100	2180	2061	1904	1860	2039	1822	1848	1880	1857	1715	1548	1506
	cfs	77	73	67	66	72	64	65	66	66	61	55	53
14b	101	2202	2159	2082	2065	2200	2037	2134	2160	1762	1716	1761	1739
	cfs	78	76	74	73	78	72	75	76	62	61	62	61
18b	102	2133	2108	2018	1912	2042	1904	1894	1904	1756	1734	1638	1537
X	cfs	75	74	71	68	72	67	67	67	62	61	58	54
	x1	y1	z1	x2	y2	z 2	х3	у3	z3				
Slice 1	753.414	1649.94	9.96135	902.682	1809.2	9.98845	902.682	1809.2	22				
Slice 2	755.462	1647.23	22.7076	905.391	1807.29	22.7076	905.391	1807.29	9				
Slice 3	756.926	1645.85	22.7076	910.613	1809.92	22.7076	910.613	1809.92	9				

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Table 2-1 (Continued). Run Summary - Forebay Configurations, Boundary Conditions, Gatewell Flows, and Arbitrary Slice Coordinates for 24 CFD Model Runs

Summary		MYY(N)	MYNY	MYYY	MNYY	LNN(N)	LYN(N)	LNYN	LNNY	LYY(N)	LYNY	LYYY	LNYY
Summary	5/21 @9 PM	5/26 @ 4 AM	7/11 @ 3 PM	7/12 @ 8 AM	7/13 @ 2 AM	5/26 @ 9 PM	5/27 @ 2 PM	7/13 @ 8 PM	7/14 @ noon	5/28 @ 7 AM	7/15 @ 4 AM		7/16 @ 2 PM
	0,21 0, 11,1	17 hours	17 hours	17 hours	17 hours	17 hours	17 hours	19 hours	16 hours	17 hours	16 hours	18 hours	17 hours
B2CC	no/ves	yes	yes	yes	no	no	yes	no	no	yes	yes	yes	no
BGS	no/yes	yes	no	yes	yes	no	no	yes	no	yes	no	yes	yes
TIES	no/yes	no	yes	yes	yes	no	no	no	yes	no	yes	yes	yes
	·		·	j					Ĭ		,		,
B2CC	cfs	5000	5000	5000	0	0	5000	0	0	5000	5000	5000	0
T11	cfs	15300	15300	15300	15300	13000	13000	13000	13000	13000	13000	13000	13000
T12	cfs	15300	15300	15300	15300	13000	13000	13000	13000	13000	13000	13000	13000
T13	cfs	15300	15300	15300	15300	13000	13000	13000	13000	13000	13000	13000	13000
T14	cfs	15300	15300	15300	15300	13000	13000	13000	13000	13000	13000	13000	13000
T15	cfs	15300	15300	15300	15300	13000	13000	13000	13000	13000	13000	13000	13000
T16	cfs	15300	15300	15300	15300	13000	13000	13000	13000	13000	13000	13000	13000
T17	cfs	15300	15300	15300	15300	13000	13000	13000	13000	13000	13000	13000	13000
T18	cfs	15300	15300	15300	15300	13000	13000	13000	13000	13000	13000	13000	13000
F1	cfs	2800	2800	2800	2800	2800	2800	2800	2800	2800	2800	2800	2800
F2	cfs	2600	2600	2600	2600	2600	2600	2600	2600	2600	2600	2600	2600
Total B2	cfs	132800	132800	132800	127800	109400	114400	109400	109400	114400	114400	114400	109400
B1	cfs	0	0	0	0	0	0	0	0	0	0	0	0
Spill	cfs	100000	100000	100000	100000	100000	100000	100000	100000	100000	100000	100000	100000
Total River	cfs	232800	232800	232800	227800	209400	214400	209400	209400	214400	214400	214400	209400
Run Inputs QC'd		GSH	EWR	EWR	EWR	GSH	GSH	EWR	EWR	GSH	EWR	EWR	EWR
Date		6/24/2010	7/26/2010	8/3/2010	8/3/2010	6/24/2010	6/24/2010	8/3/2010	8/3/2010	6/24/2010	8/3/2010	8/3/2010	8/3/2010
441	400	1510	115	1500	1520	1.521	1410	1101		1202	1121	11.50	1102
11b	100	1648	1467	1503	1528	1621	1410	1184	1175	1283	1134	1168	1182
4.0	cfs	58	52	53	54	57	50	42	42	45	40	41	42
14b	101	1799	1705	1791	1820	1348	1307	1424	1427	1406	1378	1447	1471
101	cfs	64	60	63	64	48	46	50	50	50	49	51	52
18b	102	1648	1529	1532	1542	1373	1358	1272	1181	1270	1174	1189	1196
X	cfs	58	54	54	55	48	48	45	42	45	42	42	42
<u> </u>	x1		 										
Slice 1	753.414		1										
Slice 1	755.462		1										
Slice 2	756.926		1										
Since 3	/50.940		I	1	ļ			ļ		l	ļ	1	

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3. SECTIONAL CFD MODELING

An updated sectional CFD model of the existing features of B2 was developed to investigate the existing hydraulic conditions and support alternative development for FGE improvement (objectives 2 through 4 in Section 1.2). The model was developed as a sectional model of a single powerhouse unit to investigate the hydraulic conditions with existing geometry of recent fish guidance efficiency improvements included. The following sections describe the model selection, development, and application of the sectional CFD model to existing conditions.

3.1. Hydraulic Model Selection

As described in Section 2, the existing forebay CFD model was applied to investigate the relative impacts of forebay configuration on hydraulic conditions approaching and in the intake gatewells. However, the existing forebay CFD model does not include the current details of improvements to the gatewell geometry and an updated model was needed to characterize existing hydraulic conditions in the gatewells and support alternatives analysis for the FGE Improvements Alternatives Study.

During earlier phases of the alternatives study, the thought was to build a physical sectional model to investigate FGE improvement alternatives. After reviewing the physical and numerical models developed to date, it was determined that the gatewell hydraulics could be impacted by the physical configuration of the B2 forebay. Therefore, using a CFD model to analyze FGE alternatives would allow for investigation of alternatives in a sectional CFD model with secondary confirmation of selected alternatives over a range of forebay configurations and operations in the full forebay CFD model. A summary of the advantages and limitations of the selected CFD model are summarized below:

Advantages:

- The sectional CFD model can be linked to the forebay model to investigate the impacts of
 forebay configuration and powerhouse operations on gatewell hydraulics. This capability
 will be important in confirming the performance of FGE improvement alternatives over a
 range of forebay configurations and powerhouse operations.
- Relevant geometric features in the powerhouse unit that affect gatewell hydraulics can be readily included in the sectional CFD model. These features are described in Section 3.2.
- Model results can be queried at any location in the model domain for velocity, pressure, turbulence. Particles seeded into the model results can provide quantifiable information on gatewell residence time and flow patterns.
- Alternatives (operational or functional changes) can be included in the sectional CFD model relatively efficiently.
- CFD models can be maintained on a computer system in backup files. If the model is compatible with future software versions, it can be used for many years with little maintenance.

Limitations:

Significant changes to VBS velocities that require rebalancing of VBS screen porosities will
result in the need for a physical model. The CFD model cannot be used to directly identify
updated porosity plate configurations for screen balancing as configured. The CFD model

- represents the VBS as a porous baffle and uses two porosity parameters to represent the pressure change across the screen panels rather than direct porosity.
- The sectional CFD model calibration is adequate to investigate the relative change in gatewell flow between existing conditions and FGE alternatives. If the sectional CFD model is to be used to develop detailed gatewell flow rating curves, additional prototype velocity data is recommended to minimize uncertainty in the rating curves.
- The sectional CFD model is a steady-state representation of hydraulic conditions and the influence of transient conditions needs to be considered when interpreting the results.
- Real time viewing of results in a CFD model is limited to available computing resources.

3.2. Sectional CFD Model Development

An updated sectional CFD model of a B2 turbine unit was developed to support alternative development and analysis for FGE improvement. The sectional CFD model was developed of a single B2 turbine unit to include the following geometric features in sufficient detail to capture the hydraulic influence of the features:

- TIEs:
- Trashrack, including main horizontal and vertical support members;
- STS, including structural members and a with a zero-thickness porous baffle representing the STS screen for each bay;
- Gap closure device;
- Turning vane;
- Gate slots, including overall width and depth of gate slots;
- Modified gatewell beam;
- VBS, including structural members and zero-thickness porous baffles representing the nine VBS screen panels in each bay;
- Fish orifice: and
- Emergency gate, including horizontal structural members on upstream face of gate.

The sectional CFD model was developed by creating a solid geometry of the turbine unit to define the domain for the CFD model. The solid geometry consisted of a three-dimensional (3D) computer-aided design (CAD) representation of the structures through which flow passes (Figures 45 and 46).

The CFD model mesh generator requires a "watertight" solid geometry model with defined boundaries (inlets/outlets, walls, baffles) inside which to constrain the computational grid elements. The B2 sectional CFD model geometry model was created by PNNL from construction drawings using SolidWorksTM (CAD) software. Engineers from the U.S. Army Corps of Engineers (USACE) provided clarification of certain details and review of the final product prior to grid generation.

The geometry model includes a single turbine intake unit, 94 feet wide from mid-pier to mid-pier, extending from 26 feet-11 inches upstream of the trashracks downstream to 1 feet-3 in upstream of the intake pier tails. The fluid surface is set at elevation 72 feet. The major structural components are the intake concrete, consisting of the floor, roof, slots, and piers, TIEs, trashracks, STSs, VBSs, and emergency gates (Figure 45). The ultimate CFD grid resolution determined the level of detail in the geometry model, so this geometry model excludes most features that are less than about 4 inches. Complex geometries that significantly influence flow, as are found in the various screens and porous

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backing plates, are not explicitly modeled, but treated in the CFD model as zero-thickness baffles with appropriate porosity parameters applied.

Paper construction drawings were the primary references for creation of the solid model in SolidWorksTM. Scanned images of the hard copy drawings were used as "underlays" in the CAD for verification and, in some cases, to estimate dimensions not explicitly shown on the drawings. Table 3-1 lists the drawing numbers used to construct the B2 intake geometry model.

Structure	Documents	
Intake Concrete	BDP-1-4-2/1, BDP-1-2/1.1, BDP-1-4-2/117	
TIEs	BD-20-100/9	
Trashracks	BDP-1-5-2/1	
STS	BDP-5-3-4/1	
VBS	BDF-0-46/02, BDF-0-60/04, BDF-0-60/06, BDF-0-60/15,	
VDS	BDF-0-60/16, BDF-0-60/18	
Emergency Gates	BDP-1-5-2/8, BDP-1-5-2/9	

Table 3-1. Drawings Referenced in Creation of the CFD Solid Model

The Star CCM+ CFD meshing software used to create the computational grid requires a "watertight" geometry of the fluid domain. However, the geometry created in SolidWorksTM represents the solid structures of the intake, so an inversion of the model was performed in SolidWorksTM to obtain the fluid-domain geometry rather than the solid-domain geometry (Figure 46). The fluid-domain was exported from SolidWorksTM in IGES 5.3 format for use in the Star CCM+ grid generation software.

The computational grid for the model domain was developed using the grid generation program in the Star CCM+ modeling software and consists of approximately 2.4 million polyhedral (or many-sided) cells, as shown in Figure 47 and 48. The CFD model is of sufficient detail for analyzing relative impacts of FGE improvement alternatives on gatewell hydraulic conditions and flow.

3.3. SECTIONAL CFD MODEL CALIBRATION

Vertical barrier screen normal and sweeping velocity data were available for CFD model calibration and validation from a previous physical model and the prototype as described below. Both data sets include normal (approaching the VBS screen) and sweeping (parallel to the VBS screen) velocities at points on a grid approximately 7.5 inches upstream of the VBS.

Vertical barrier screen sweeping and normal velocities were measured in a 1:12 scale physical model of a single unit bay in B2 (ENSR 2004). The velocity data was collected using a laser Doppler anemometer for three bay flows summarized in Table 3-2. Vertical barrier screen sweeping and normal velocities were measured from the prototype for units 12 and 14 bay A (PNNL 2010). These prototype VBS velocities were measured using an array of acoustic Doppler velocimeters for the bay flows shown in Table 3-2. The data sets are arranged by comparable bay flow (within 5%) in Table 3-2.

For purposes of the model calibration and validation, the normal and sweeping velocity components were each averaged over each VBS panel for comparison of panel-averaged normal and sweeping velocities. The flow through the VBS was estimated as the sum of the flow through each VBS panel (panel-averaged normal velocity x panel area).

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1:12 Physical Model Bay Flow (cfs) (ENSR 2004)	Prototype Bay Flow (cfs) (PNNL 2010)
3270	NA
4790	4536
NA	5557
NA	5972
6540	NA

Table 3-2. VBS Velocity Comparison Data Sets

For the calibration and validation process, the CFD model was run for unit flows that resulted in similar bay flows (within 5%) in one of the unit bays to the bay flow during the 1:12 physical model or prototype data collection (Table 3-3). The CFD model runs were conducted with prescribed outflow velocities at the downstream boundaries for bays A, B, and C corresponding to 37.8%, 34.2%, and 28.0% of the unit flow, respectively. A pressure boundary at the upstream boundary allowed for equivalent inflow into the model domain. In all runs, the left fish orifice (looking downstream) was in operation in each bay with an outflow of 11 cfs.

CFD Model		VBS Velocity Data Source					
Unit Flow (cfs)	Bay/ (% Unit Flow)	CFD Model Bay Flow (cfs)	1:12 Physical Model Bay Flow (cfs) (ENSR 2004)	Prototype Bay Flow (cfs) (PNNL 2010)			
11,700	Bay C (28.0%)	3276	3270	NA			
16,500	Bay C (28.0%)	4620	4790	4536			
16,500	Bay B (34.2%)	5643	NA	5557			
15,800	Bay A (37.8%)	5972	NA	5972			
17,300	Bay A (37.8%)	6540	6540	NA			

Table 3-3. CFD Model Calibration and Validation Runs

The CFD model-predicted VBS normal and sweeping velocities were extracted from the model results at the same locations as the 1:12 physical model measurement grid. Panel-averaged normal and sweeping velocities were calculated for comparison to the physical model and prototype data. The VBS flow was estimated for each bay by querying the CFD model for the mass flux across the baffle representing the VBS and converting the mass flux to flow.

The CFD model was calibrated against the 1:12 physical model VBS normal and sweeping velocities for similar bay flows by adjusting the porosity coefficients for the STS and VBS through an iterative process. In the initial series of calibration model runs, the STS and VBS porosity coefficients were adjusted until the overall flow through the VBS was comparable to that for the same bay flow condition in the 1:12 physical model. A comparison of the VBS flows as a function of bay flow for the CFD model and the 1:12 physical model is shown in Figure 49.

After the VBS flows from the sectional CFD model matched those calculated for the 1:12 physical model within 10%, the porosity coefficients for each of the nine VBS panels were adjusted individually to uniformly distribute the flow through the VBS. The same porosity coefficients were used for each bay and are shown in Table 3-4. The final STS α and β parameters for the calibrated and validated model were 500 and 1, respectively.

VBS Panel	VBS baffle porosity parameters				
VBS Panel	α	β			
1	0.02	0.4			
2	0.19	0.4			
3	0.61	0.4			
4	0.61	0.4			
5	0.39	0.4			
6	0.39	0.4			
7	0.39	0.4			
8	0.05	0.4			
9	0.007	0.4			

Table 3-4. Calibrated Model VBS Baffle Porosity Parameters

As validation, the CFD model was run for comparable bay flows (Table 3-3) to compare the VBS flow from the CFD model and the prototype (Figure 49). In addition, the VBS normal and sweeping velocities from the calibrated CFD model were compared to those from the prototype. Comparison plots of the VBS normal and sweeping velocities for the CFD model, 1:12 physical model, and prototype for the bay flows in Table 3-3 are provided in Figure 50 through Figure 54. In general, the normal velocities for the CFD model compare well with both the 1:12 physical model and prototype, both in magnitude and overall vertical distribution over the VBS panels. The sweeping velocities predicted by the CFD model generally more closely represent the sweeping velocities measured in the prototype than the 1:12 physical model. This may be due to the narrower width of the gate slot region in the 1:12 physical model than in the CFD model or prototype. The 1:12 physical model was a single-bay flume type model without expansions for the additional width of the gate slots. Therefore, the cross-sectional area in the gatewell in the physical model was smaller than the sectional CFD model or prototype, resulting in higher sweeping velocities.

3.4. Sectional CFD Modeling of Baseline Conditions

Following calibration and validation, the CFD model was run for unit flow conditions representing the low, medium, and high 1% efficiency unit operation as shown in Table 3-5. The runs were conducted with existing gatewell geometry to establish a hydraulic baseline for evaluation of alternatives.

Unit Flow	Bay A Flow	Bay B Flow	Bay C Flow
(cfs)	(cfs)	(cfs)	(cfs)
12,000	4,536	4,104	3,360
15,000	5,670	5,130	4,200
18,000	6,804	6,156	5,040

Table 3-5. Baseline Run Outflow Conditions

The 18,000 cfs unit flow provided a baseline for hydraulic conditions assumed to represent unfavorable flow conditions for fish passage at the high 1% efficiency range, while the 15,000 cfs unit flow provided a baseline for assumed minimally favorable hydraulic conditions for fish passage at the medium 1% efficiency range. The 12,000 cfs provided a low flow baseline for assumed favorable hydraulic conditions for fish passage at the low 1% efficiency range.

In each case, the model was run with prescribed outflow velocities at the downstream boundaries for bays A, B, and C corresponding to the flows in Table 3-5. A pressure boundary at the upstream boundary allowed for equivalent inflow into the model domain. In all runs, the left fish orifice (looking downstream) was in operation in each bay with an outflow of 11 cfs. The CFD model results were post-processed using FieldView, a CFD model post-processing software program.

3.4.1. <u>Low Unit Flow Conditions – 12,000 cfs</u>

With the existing gatewell geometry in place and a unit flow of 12,000 cfs, the CFD model-predicted bay A VBS flows are summarized in Table 3-6. Bay A has the highest flow of the three bays in each unit and therefore, the highest VBS and gatewell flow. The VBS flow for each bay was calculated from the CFD model results by converting the mass flux [kilograms per second (kg/s)] across the VBS baffle to flow (cfs). The VBS flows for the baseline CFD model runs in Table 3-6 show increasing VBS flow with increasing unit flow as expected.

Unit Flow (cfs)	Bay A VBS Flow (cfs)
12,000	219
15,000	272
18,000	328

Table 3-6. Baseline Run VBS Flow Summary

The CFD model results for the low unit flow condition are summarized in Figure 55 through Figure 60 show flow passing through the trashrack, with a portion of the flow passing up the STS to the gatewell, and the remainder passing into the intake. Flow up the STS accelerates to up to 5-6 feet per second (ft/s), with a portion of the flow returning to the intake between the gap closure device and the STS. The gatewell flow passes along the turning vane, with some separation downstream of the upstream intake roof and the turning vane, as shown by the low velocity areas in Figure 55. Baseline Conditions, Unit Q=12,000 cfs, Bay A Centerline Velocities

As the flow passes above the turning vane, the gate slot width increases abruptly above the turning vane and STS side supports and the flow can not immediately expand to fill the volume. An opposing recirculation of flow upward and then downward on either side of each bay results as the flow expands downstream of the abrupt gate slot transition (Figure 58). The CFD model results show that the recirculation is more intense on one side (generally the left side, looking upstream), likely as a result of slightly asymmetrical approach conditions generated by the different bay flows for bays A, B, and C.

Normal velocities just upstream of the VBS are generally less than the 1 ft/s criteria, with some velocities approaching 1 ft/s in the recirculation areas on either side of the VBS (Figure 58). Sweeping velocities up the VBS are generally positive (positive upward), but negative in the recirculation on either side of the VBS. The general level of turbulence in the gatewell is characterized by the turbulent kinetic energy isosurface plots in Figure 59 and Figure 60. In the isosurface plots, regions with a specified level of turbulent kinetic energy $(0.25 \text{ ft}^2/\text{s}^2 \text{ and } 0.5 \text{ ft}^2/\text{s}^2 \text{ in Figure 59}$ and Figure 60, respectively) are plotted as a 3-D surface to indicate location. For low flow conditions, regions of turbulence are present downstream of the intake roof, on the downstream face of the turning vane, and extending along either side of the VBS downstream of the gate slot expansion above the STS side supports.

3.4.2. Medium Flow Conditions – 15,000 cfs

The CFD model results for the medium unit flow condition are summarized in Figure 60. The VBS flow for the medium unit flow condition (15,000 cfs) is approximately 270 cfs (Table 3-6). The gatewell flow patterns for the 15,000 unit flow condition are generally similar to those for the low unit flow condition, but the velocity magnitudes and intensity of the turbulence in the gatewell are increased. As flow passes up the STS to the gap closure device and turning vane, velocities reach 7-8 ft/s (Figure 62) as compared to 5-6 ft/s for the low unit flow condition. The plots of VBS normal velocity show increased intensity of the recirculation regions downstream of the gate slot expansion, and VBS normal velocities as high as 1.3 to 1.5 ft/s in the "hot spots" inside the left and right recirculation zones in bay A (Figure 64). The positive sweeping velocities are concentrated to the center portion of the VBS, with negative sweeping velocities on the outer left and right portions of the VBS (Figure 64). Turbulent kinetic energy increased in the gatewell with increased unit flow as shown by the larger volume isosurfaces in Figure 65 and Figure 66.

3.4.3. High Unit Flow Conditions – 18,000 cfs

The CFD model results for the high unit flow condition are summarized in Figure 67 through Figure 72. The VBS flow for the high unit flow condition (18,000 cfs) is approximately 330 cfs (Table 3-6). The gatewell flow patterns for the 18,000 unit flow condition are generally similar to those for the low and medium unit flow condition, but the velocity magnitudes and intensity of the turbulence in the gatewell are further increased. As flow passes up the STS to the gap closure device and turning vane, velocities reach 9-10 ft/s (Figure 68) as compared to 5-6 ft/s for the low unit flow condition. The plots of VBS normal velocity show increased intensity of the recirculation regions downstream of the gate slot expansion, and VBS normal velocities as high as 1.4-1.6 ft/s in the "hot spots" inside the left and right recirculation zones in bay A (Figure 70). The positive sweeping velocities are concentrated to the center portion of the VBS, with negative sweeping velocities on the outer left and right portions of the VBS (Figure 70). Turbulent kinetic energy increased in the gatewell with increased unit flow as shown by the larger volume isosurfaces in Figure 71 and Figure 72.

It is unknown whether there is a specific threshold for tolerance of turbulence by juveniles, but the increased turbulent kinetic energy coincident with higher recirculation and normal velocities on the VBS may be a significant factor in exhaustion and subsequent injury for juveniles. Therefore, alternatives for improving FGE will focus on streamlining the sweeping velocities along the VBS, reducing turbulence in the gatewell, minimizing gatewell residence time, and reducing and evenly distributing normal velocities on the VBS.

3.4.4. Baseline Grid Sensitivity Test

After the baseline model runs were complete, the CFD model grid was refined to double the number of grid cells in the model domain, with particular attention to the STS and gatewell region. This grid sensitivity test was conducted to ensure that the baseline model results were not dependent on the grid resolution. The VBS flow increased approximately 7% over that for the calibrated grid, indicating some increased resolution of the flow field. However, results of the doubled-resolution grid showed similar flow patterns in the gatewell, including the regions of recirculation and turbulence, and do not indicate a significant change to the baseline hydraulic conditions predicted by the calibrated grid. The calibration grid was used to evaluate alternatives described in Section 4, since it provided reasonable results with practical model run times of approximately 12 hours per run. The doubled-resolution grid will be used for a final performance check of the preferred alternative during a later phase of the FGE Improvements Alternatives Study.

3.5. Sectional CFD Modeling of FGE Alternatives

The sectional CFD model was applied to support the FGE Improvements Alternatives Study. The alternatives developed during the 30% study phase were categorized into modifications for flow control, operations, and flow pattern change as described below.

Flow control alternatives included:

- A1 Adjustable Louver Flow Control Device: Construct a device to control the flow up the gatewell. The device would be placed downstream of the VBS. Similar devices have been used at John Day and McNary dams.
- A2 Sliding Plate Flow Control Device: Construct a sliding plate flow control device attached to the top of the gatewell beam.
- A3 Modify VBS Perforated Plates
- A4 Modify Turning Vane and/or Gap Closure Device

Operational alternatives included:

- B1 Operate Main Units Off 1% Peak Operating Range: Operate the main turbine units at the lower to mid 1% peak operating range during the Spring Creek juvenile fish release.
- B2 Open Second Downstream Migrant System (DSM) Orifices: Open the second DSM gatewell orifice to decrease fish retention time in the gatewell.
- B3 Horizontal Slot for DSM: Construct a horizontal slot in place of the existing orifices to decrease fish retention time in the gatewell.

Flow pattern change alternative:

• C1- Install Gate slot Fillers: Install gate slot fillers in the slots above the turning vane and STS supports to reduce turbulence in the gatewell and streamline sweeping velocities up the VBS.

Alternatives A2, A4, B1, B2, and C1 were modeled using the sectional CFD model as described in the following sections.

3.5.1. Alternative A1 – Adjustable Louver Flow Control Device

The adjustable louver flow control device alternative involves installation of a series of adjustable plates (louvers) in the opening downstream of the VBS (Figure 73). The louvers would be adjusted accordingly to meet the target flow in the gatewell. This system can be constructed of stainless or carbon steel and can be designed to vary the opening width at top and bottom. For a permanent design, opening and closing adjustments may be made from a separate device lowered into the downstream VBS slot, through a conduit cored through the existing concrete or by remote control.

This alternative was not prioritized for simulation in the CFD model as it is similar in principle to Alternative A2 – Sliding Plate Flow Control Device. If the team prioritizes this alternative for further evaluation, the CFD model will be modified to include a hydraulic representation of the louvers downstream of the VBS. The alternative would be evaluated at high flow conditions (18,000 cfs unit flow) to determine the impact on VBS velocities and flow patterns. Additional documentation runs at low and medium unit flows (12,000 and 15,000 cfs, respectively) would confirm the performance of the alternative over a range of unit flows.

3.5.2. Alternative A2 – Flow Control Device – Sliding Plate

The sliding plate flow control device alternative involves a system of two sliding plates attached to the top of the gatewell beam (Figure 74). Gatewell flow could be controlled by one plate sliding over the other to adjust the opening depending on the required velocity. Both plates can be made of carbon steel or stainless steel (with a Teflon coating to reduce friction) or aluminum. Similar to Alternative A1, a permanent design may be operated from a separate device lowered into the downstream VBS slot, through a conduit cored through the existing concrete or by remote control.

3.5.2.1. Sectional CFD Model Grid

The CFD model grid was modified to include the approximate geometric features of the sliding plate flow control device. The flow control device was modeled as a 6-inch thick plate, extending across the full width of each bay and with varied lengths in the downstream direction. The flow control device was included in the model grid in three segments representing occlusion of 25%, 50%, and 75% of the cross-sectional flow area between the gatewell beam and the emergency gate as shown in Figure 75. The grid cells inside the flow control device segments can be switched from solid to fluid cells in the CFD model to either engage them as flow control devices (solid) or treat them as an unrestricted flow path (fluid). Three CFD model runs were conducted at a unit flow of 18,000 cfs to investigate the relative change in VBS flow with the flow control device occluding 25%, 50%, and 75% of the return flow area. All other geometric conditions in the model were representative of baseline conditions.

When the model grid was modified to include the flow control device features, additional geometric features were incorporated into the grid with the flexibility to include the features as solid or fluid cells (Figure 75), including:

- Gap closure device
- Turning vane
- Slot fillers

Additional discussion about these features is provided in relevant sections below.

3.5.2.2. Sectional CFD Model Results

The VBS flows with the sliding plate flow control device occluding 25%, 50%, and 75% of the return flow area are summarized in Table 3-7. The 25% sliding plate setting results in a bay A VBS flow (272 cfs) that is comparable to the VBS flow for the baseline conditions with 15,000 cfs unit flow. The 50% sliding plate setting results in a bay A VBS flow (219 cfs) that is comparable to the bay A VBS flow for the baseline conditions for 12,000 cfs unit flow. For brevity, the results of the 25% sliding plate setting sectional CFD model run are described below.

Table 3-7. VBS Flow Control with Sliding Plate Flow Control Device

Unit Flow	Sliding Plate	Bay A VBS Flow
(cfs)	Setting	(cfs)
18,000	25%	276
18,000	50%	216
18,000	75%	86

The CFD model results for the sliding plate flow control device with 50% of the return flow area occluded are summarized in Figure 76 through Figure 78. The velocity magnitudes approaching the STS and gatewell look similar with the 50% sliding plate installed (Figure 76) to those for the baseline 18,000 cfs unit flow case (Figure 68), as expected since the unit flows are the same. As the flow enters the gatewell, the influence of the flow control device can be seen in the lower gatewell velocities in Figure 76 that are more comparable to the baseline 15,000 cfs unit flow case (Figure 79). The 50% sliding plate alternative appears to have slightly more flow up the upstream side of the turning vane and less up the downstream side of the turning vane than in the baseline 15,000 cfs unit flow case for an equivalent gatewell flow. Normal velocities and flow patterns on the VBS are similar for the 25% sliding plate alternative and the baseline 15,000 cfs unit flow case (Figure 77 and Figure 64), as expected for comparable VBS flows. Turbulent kinetic energy in the gatewell for the 50% sliding plate alternative (Figure 78) is slightly reduced from the baseline 18,000 cfs unit flow case, but not quite to the level seen in the baseline 15,000 cfs unit flow case. This may be due to the difference in velocities and flow patterns approaching the gatewell along the turning vane described above.

3.5.3. <u>Alternative A3 – Modify VBS Perforated Plates</u>

This alternative involves modifying the existing VBS perforated plates resulting in a reduction of gatewell flow. A separate, modified perforated plate would be attached to the existing perforated plate and be allowed to slide to constrict flow to meet a target flow velocity. This perforated plate can be constructed of carbon steel with a Teflon coating to reduce friction during operation. A prototype could be built that would be adjustable and locked in place by hand. A permanent design may be attached to the existing perforated plate and mechanically or remotely controlled.

This alternative has not been evaluated using hydraulic modeling to date as it is considered similar in principle to Alternative A2 – Sliding Plate Flow Control Device. If the team prioritizes this alternative for further evaluation, physical hydraulic modeling investigations will be needed. Preliminary investigation can be conducted using the CFD model to gain an initial understanding of the relative change in VBS flow from changes to the screen perforated plates. A physical hydraulic model would need to be constructed to evaluate actual required changes to prototype perforated plate porosities to maintain balanced normal velocities within criteria.

3.5.4. <u>Alternative A4 – Modify Turning Vane and Gap Closure Device</u>

This alternative involves modifying the existing turning vane and/or gap closure device to reduce the discharge flowing into the gatewell. Turning vanes direct the flow up the gate slot and are installed just above the top of the STS. The gap closure device is mounted on the intake roof just downstream of the STS to prevent fish from travelling through the turbine as well as divert more flow up the gatewell.

3.5.4.1. Sectional CFD Model Grid

The CFD model grid was modified to model the removal of the gap closure device to reduce gatewell flow in all three bays. The grid cells representing the gap closure device in the CFD model (Figure 75) were defined as fluid cells rather than solid cells to allow flow freely through the region previously occupied by the gap closure device. One CFD model run was conducted at a unit flow of 18,000 cfs to investigate the relative change in VBS flow with the gap closure device removed. All other geometric conditions in the model were representative of baseline conditions.

3.5.4.2. Sectional CFD Model Results

The CFD model results for Alternative A4 – Modify Gap Closure Device are summarized in Figure 78 through Figure 80. With the gap closure device removed, the more flow passes through the gap between the STS and the gatewell beam, resulting in lower VBS flow, approximately 110 cfs. Velocity magnitude through the gap is increased over that for the baseline condition as shown in Figure 78. The higher velocities at the upper end of the STS and through the gap result in an altered flow pattern at the base of the VBS with flow actually recirculating and passing upstream through the lower VBS panels as shown in Figure 80. It is important to note that the VBS porosity settings for this alternative were set the same as the baseline condition and no attempt was made to compensate for the backflow through the VBS in this particular model run. Turbulent kinetic energy in the gatewell is similar to baseline conditions, though some effect of the backflow through the lower VBS is apparent in the turbulence plots in Figure 80.

3.5.5. Alternative B1 – Operate Main Units Off 1% Peak Operating Range

Alternative B involves reducing the gatewell flow by operating B2 main units off the 1% peak operating range (lower to mid one percent or 12,000 cfs to 15,000 cfs, respectively) to improve fish survival. During the 2008 juvenile fish passage season, the Spring Creek National Fish Hatchery released hatchery released sub-yearlings in early spring 2008 over a period of 3 months (March, April, May). Biological testing conducted by NOAA (spring 2008) suggests that Spring Creek sub-yearling are incurring high mortality and descaling when turbine units are being operated at the upper 1% range, so the reduced unit flows are expected to improve hydraulic conditions for fish passage. Typical unit flow for this operation would be approximately 12,000 cfs to 15,000 cfs.

3.5.5.1. Sectional CFD Model Grid

This operational alternative does not involve any changes to the baseline geometry of the unit, gatewell, or screens. Therefore, the results of the baseline CFD model runs at lower unit flows (12,000 cfs and 15,000 cfs) are indicative of the hydraulic conditions in the gatewell with the unit operating in the lower-and mid-1% range.

3.5.5.2. Sectional CFD Model Results

The hydraulic conditions expected during unit operations in the lower- and mid-1% range are described in the 12,000 cfs and 15,000 cfs baseline results, respectively, in Section 2 and Figures 55 through 66.

3.5.6. Alternative B2 – Open Second DSM Orifices

The DSM system has two fish passage orifices in the gatewell slots of units 11-14. Under present operating conditions one orifice in each gatewell is typically used. This alternative involves opening the second gatewell orifice to decrease fish retention time in the gatewell.

3.5.6.1. Sectional CFD Model Grid

The operation of two fish passage orifices was incorporated into the CFD model by applying a velocity boundary condition to both of the fish passage orifices in each bay. The velocity corresponds to 11 cfs through each fish orifice. No changes to the CFD model grid were made. All other boundary conditions in the model were representative of baseline conditions. One CFD model run was conducted at a unit flow of 18,000 cfs to investigate the relative change in gatewell hydraulic conditions with the second fish orifice operating.

An existing numerical spreadsheet model may be used to analyze the hydraulics in the DSM due to opening two orifices per gatewell if this alternative requires further evaluation.

3.5.6.2. Sectional CFD Model Results

The CFD model results for Alternative B2 – Open Second DSM Orifices are summarized in Figure 81. Velocity magnitudes along the STS, past the turning vane and up the gatewell are similar for two orifice operation (Figure 82) and baseline conditions with one orifice operating (Figure 68). The VBS normal velocities are similar in magnitude with two orifices operating (Figure 83) and one orifice operating (Figure 70), but the recirculation to either side on the VBS is intensified slightly with two orifices operating. In addition, the side with the larger recirculation zone flips in bays A and B from the left side, looking upstream, during single orifice operation (Figure 70) to the right side, looking upstream, during the double operation (Figure 83). The change in the asymmetry from bay to bay is apparent in the prototype VBS data as well may indicate that the recirculation patterns in the gatewell is a relatively stable, yet transient condition that flips from side to side. Turbulent kinetic energy is slightly higher with the second orifice operating (Figure 84) as compared to baseline (Figure 72). Overall, the flow patterns on the VBS are not more uniform with the second orifice operating, but the second orifice may provide fish a second opportunity for exit from the upper portion of the gate slot.

3.5.7. <u>Alternative B3 – Horizontal Slot for DSM</u>

The DSM system has two fish passage orifices in the gatewell slots of units 11-14. Each are located toward the side walls and are about 20 feet apart. Under present operating conditions, one orifice in each gatewell is used. This alternative involves constructing additional orifices, or a slot to help facilitate faster movement of fry through the orifices and decrease fish retention time in the gatewell.

3.5.7.1. Sectional CFD Model Grid

This alternative has not been evaluated using the CFD model to date as it is similar in principle to Alternative B2 – Open Second DSM Orifices and is subject to similar considerations for the downstream migrant system. If the team prioritizes this alternative for further evaluation, the CFD model will be modified to include modified orifices or a horizontal slot leading to the downstream migrant system rather than the existing fish orifices. The alternative would be evaluated at high flow conditions (18,000 cfs unit flow) to determine the impact on VBS velocities and flow patterns. Additional documentation runs at low and medium unit flows (12,000 and 15,000 cfs, respectively) would confirm the performance of the alternative over a range of unit flows.

3.5.7.2. Sectional CFD Model Results

This alternative has not been run in the CFD model to date.

3.5.8. Alternative C1 – Install Gate Slot Fillers

In the existing configuration, the STS and turning vane side supports occupy the 4'-1" x 1'-4" gate slot on either side of each bay. Above the STS side supports, the gate slot expands abruptly and is open to flow up the gatewell. At the abrupt expansion to the gatewell slot above the STS side supports, baseline CFD model results have shown that flow can not immediately expand into the slot and an area of recirculation and higher turbulence results. Gate slot fillers are considered to eliminate the abrupt expansion into the gate slot, reduce turbulence, and streamline sweeping velocities up the VBS. The slot fillers would be

installed on each side of each of the three bays and would be dogged off to extend from the top of the STS side supports to above the gatewell water surface.

3.5.8.1. Sectional CFD Model Grid

The CFD model grid was modified to model the gate slot fillers above the STS side supports in all three bays. The CFD model grid cells inside the gate slots were isolated and defined as solid cells rather than fluid cells to simulate the presence of the slot fillers. The solid cells representing the slot fillers extended from the top of the STS side supports to the top of the model domain. One CFD model run was conducted at a unit flow of 18,000 cfs to investigate the relative change in gatewell hydraulic conditions with the slot fillers installed. All other geometric conditions in the model were representative of baseline conditions.

3.5.8.2. Sectional CFD Model Results

The CFD model results for Alternative C1 – Install Gate Slot Fillers are summarized in Figures 85 through 87. Based on the CFD model results, bay A VBS flow increased to 366 cfs with the gate slot fillers in place due to decreased turbulence in the gatewell. This is approximately an 11% increase in VBS flow. In general the velocity magnitude approaching the STS and turning vane with the gate slot fillers in place (Figure 85) is very similar to the baseline 18,000 cfs unit flow case, as expected. The influence of the gate slot fillers can be seen in the gatewell where the centerline velocity magnitude actually decreases with the gate slot fillers in place. This is due to a more even distribution of the flow up the slot, reducing the centerline sweeping velocities. The effect of the gate slot fillers can be seen in Figure 86 with the more uniform upward flow pattern and the more even distribution of normal velocities over the VBS panels. The regions of recirculation present in the baseline due to the abrupt slot expansion are significantly reduced to a small region of less intense recirculation in the upper portion of the VBS on either side (Figure 86). The turbulent kinetic energy in the gatewell is significantly reduced with the gate slot fillers in place as shown in Figure 87 by the elimination of the turbulent regions on the VBS.

4. UPDATED FOREBAY CFD MODELING

The existing B2 forebay model (PNNL 2010) was modified to include the more detailed representation of the B2 turbine intake for all eight B2 units. The modified model was validated to existing field measured forebay acoustic Doppler current profiler velocities.

The validated CFD model was used to simulate the impact to water velocities of adding a forebay BGS, a B2CC, both the BGS and B2CC, and gatewell slot fillers within the turbine units. These operational scenarios with added structures had small impacts on forebay flows. Most notable was that the addition of the BGS and B2CC reduced the lateral extent of the recirculation areas on the Washington shore and Cascade Island and reduced the flow velocity parallel to B2 in front of B2 units 11 and 12.

For these operational scenarios, at the turbine intakes across B2 there was very little difference in the flow volume into the gatewell for the forebay model with no BGS or B2CC flow, and the forebay model with the BGS in place and/or the B2CC operating. The largest differences were at units 11 to 13.

The CFD model scenarios testing the impact of having gatewell slot fillers in place showed large differences in flow within the gatewells and through the VBS, but no impact on the forebay flows. The full forebay CFD model results showed very similar performance of the slot fillers to the USACE Portland District single-unit model. With the slot fillers in place, the flow through the VBS increased at each turbine intake (average was 40, 35, and 29 cfs for bays A, B, and C, respectively) and the gap flow decreased across the powerhouse for all scenarios. The increased flow up the gatewell was further enhanced when only half of the units were operating. The flow into the gatewell slot was increased about 35 cfs for each bay of each intake across the powerhouse; this change was uniform across the powerhouse.

The updated forebay CFD model is documented in PNNL Report 21420 (2012).

5. CONCLUSIONS AND RECOMMENDATIONS

The various CFD models have provided significant insight into the hydraulic impacts of different project configurations and project operations. But the tool only provides hydraulic information and is one piece of the work needed to be done as part of the FGE Improvements Alternatives Study. To date, alternatives have been evaluated in a single turbine unit and work is ongoing to look at the full powerhouse.

6. REFERENCES

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- PNNL (Pacific Northwest National Laboratory). 2010. Bonneville Powerhouse 2 3D CFD for the Behavioral Guidance System. PNNL-19016.
- PNNL. 2010. Water Velocity Measurements on a Vertical Barrier Screen at the Bonneville Dam Second Powerhouse. Draft Final Report, November 2010.
- PNNL. 2012. Bonneville Powerhouse 2 Fish Guidance Efficiency Studies: CFD Model of the Forebay. PNNL-21420.

7. FIGURES

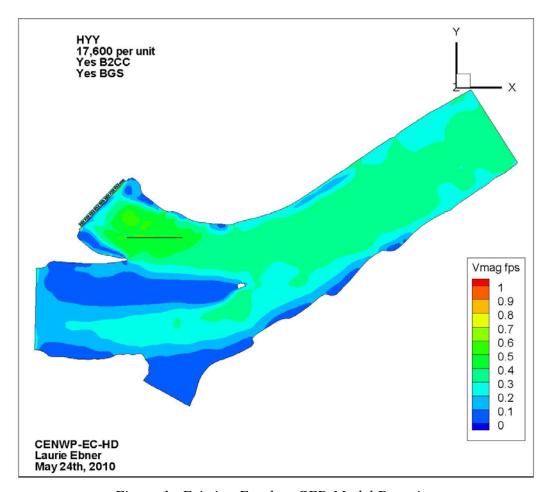


Figure 1. Existing Forebay CFD Model Domain

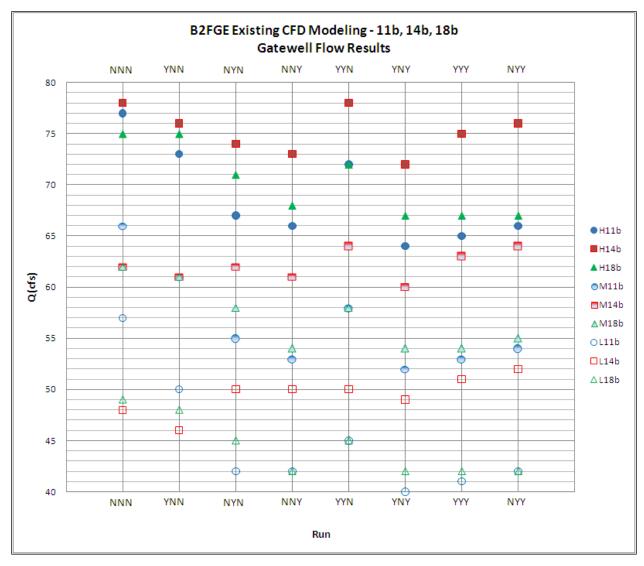


Figure 2. Plot of Vertical Gatewell Flow Results for Powerhouse Units 11b, 14b, and 18b

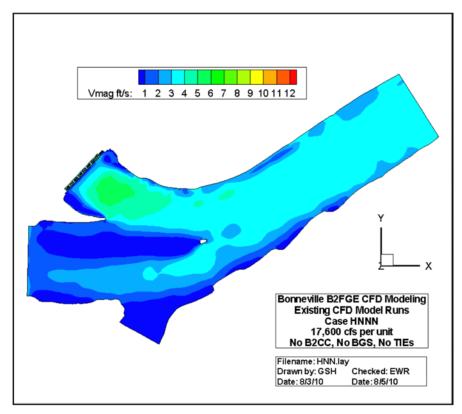


Figure 3. HNNN – Surface Velocity Magnitude, Entire Model Domain

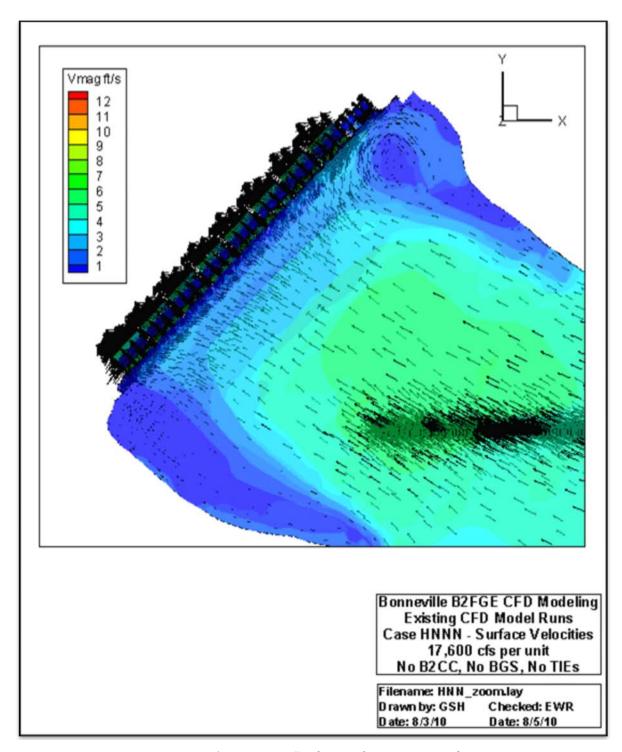


Figure 4. HNNN – Surface Velocities near B2

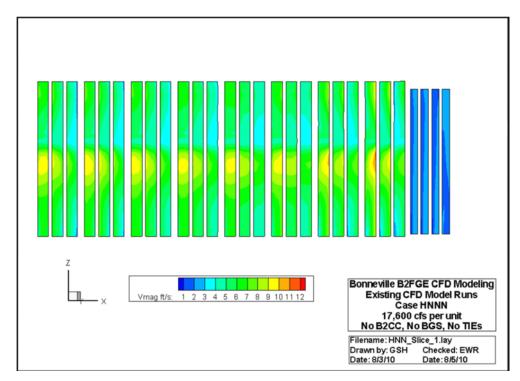


Figure 5. HNNN – Velocity Magnitude, Slice 1

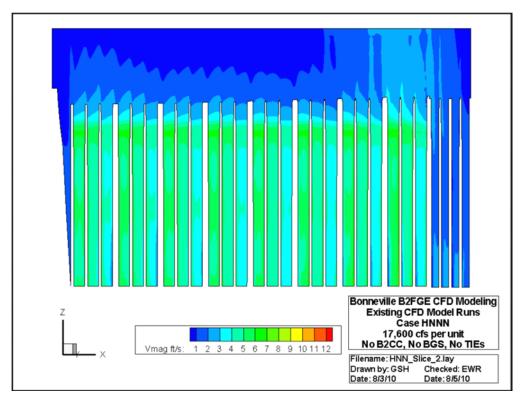


Figure 6. HNNN – Velocity Magnitude, Slice 2

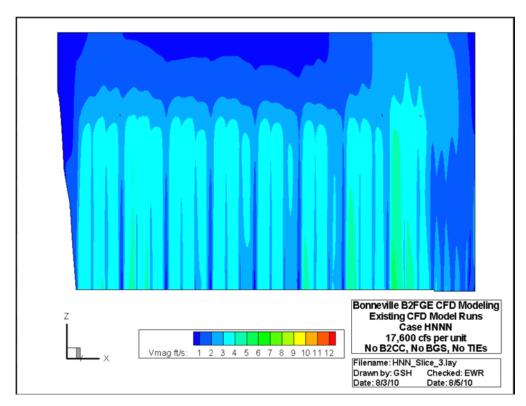


Figure 7. HNNN – Velocity Magnitude, Slice 3

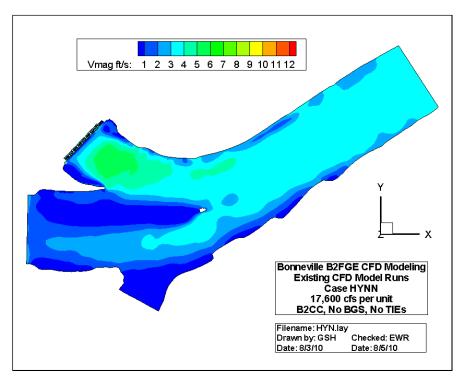


Figure 8. HYNN – Surface Velocity Magnitude, Entire Model Domain

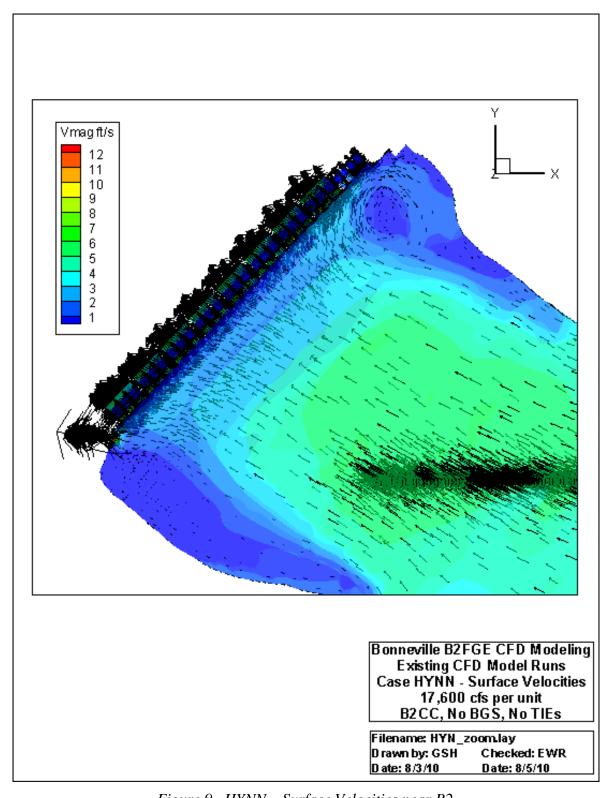


Figure 9. HYNN – Surface Velocities near B2

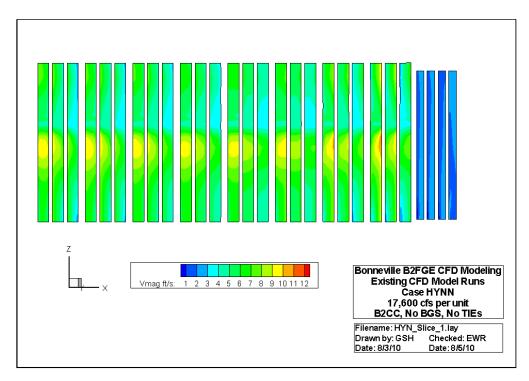


Figure 10. HYNN – Velocity Magnitude, Slice 1

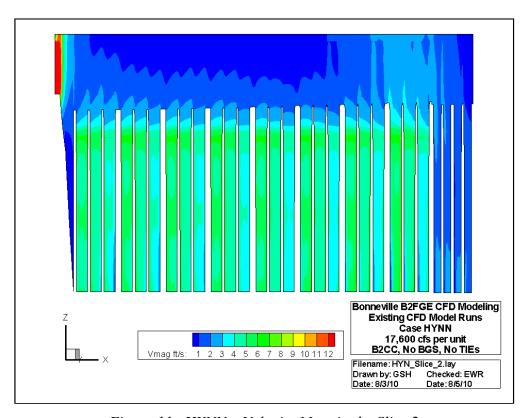


Figure 11. HYNN – Velocity Magnitude, Slice 2

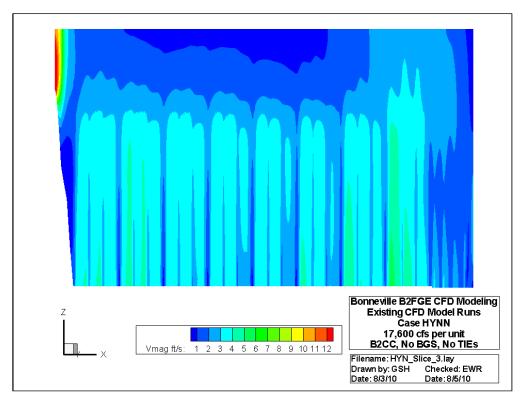


Figure 12. HYNN – Velocity Magnitude, Slice 3

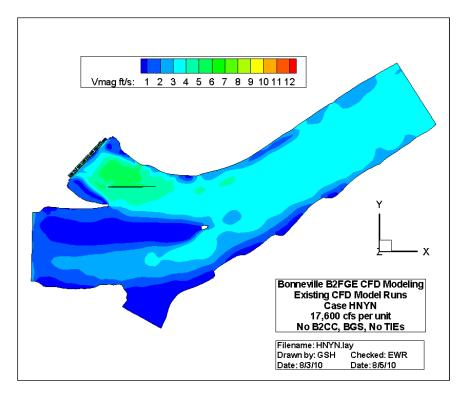


Figure 13. HNYN Surface Velocity Magnitude, Entire Model Domain

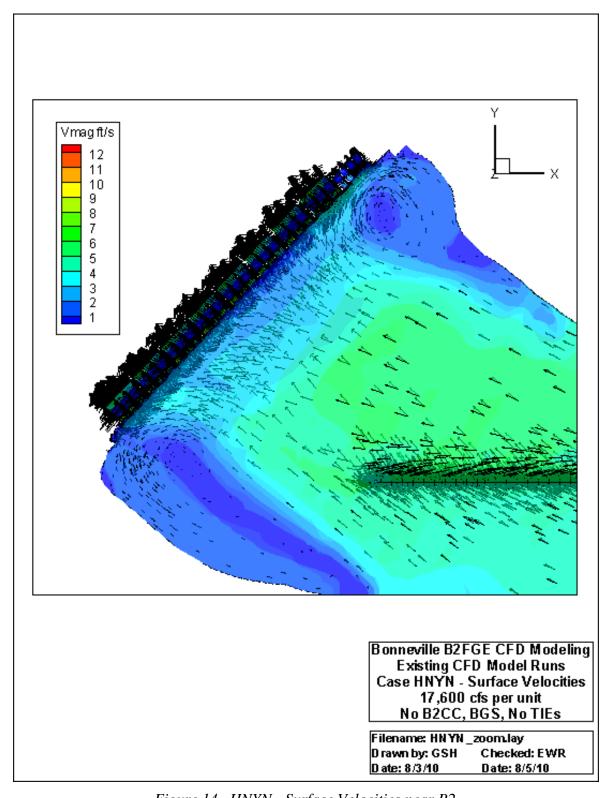


Figure 14. HNYN - Surface Velocities near B2

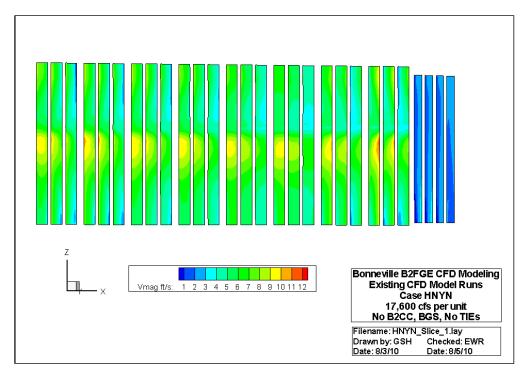


Figure 15. HNYN – Velocity Magnitude, Slice 1

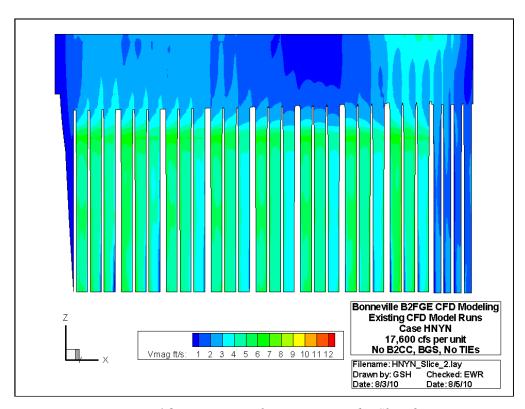


Figure 16. HNYN – Velocity Magnitude, Slice 2

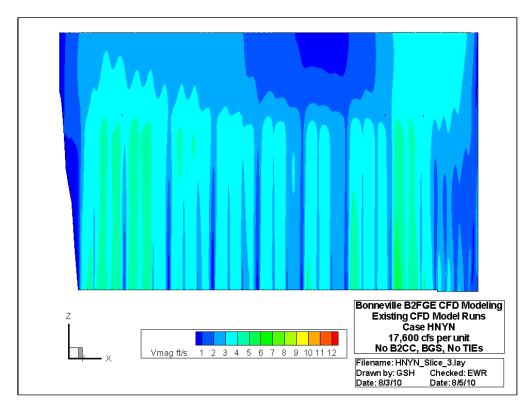


Figure 17. HNYN – Velocity Magnitude, Slice 3

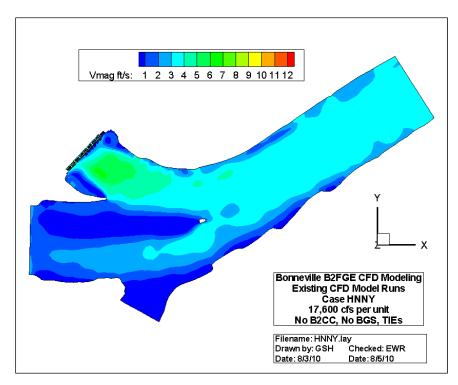


Figure 18. HNNY - Surface Velocity Magnitude, Entire Model Domain

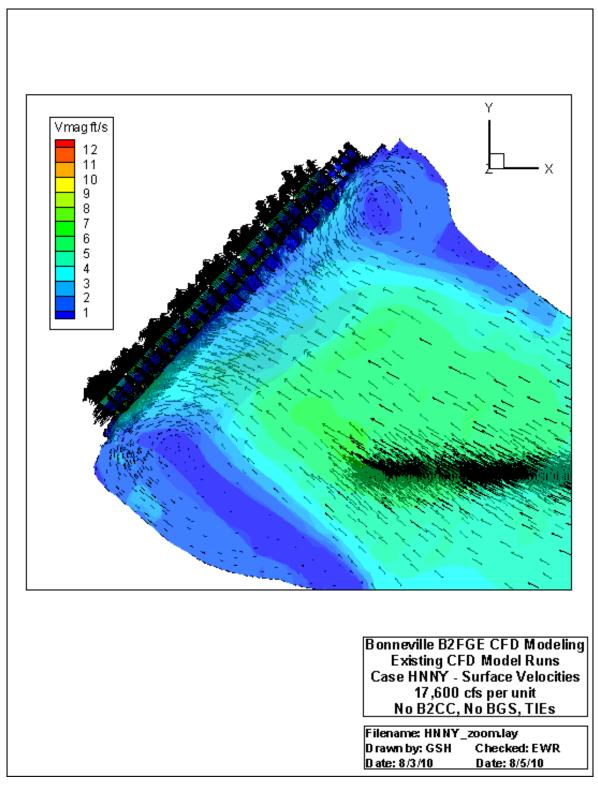


Figure 19. HNNY – Surface Velocities near B2

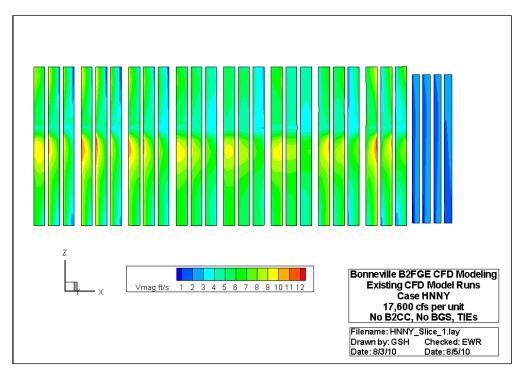


Figure 20. HNNY - Velocity Magnitude, Slice 1

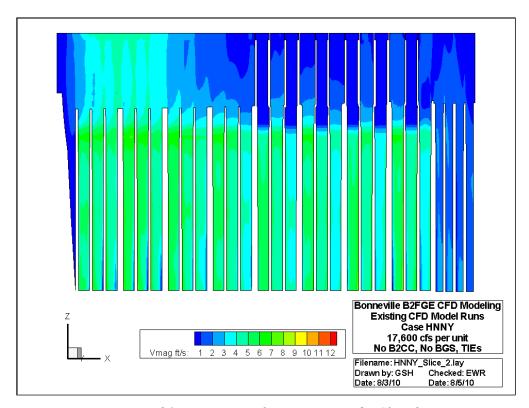


Figure 21. HNNY – Velocity Magnitude, Slice 2

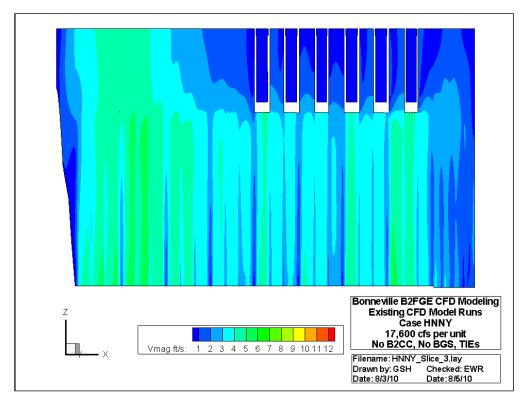


Figure 22. HNNY – Velocity Magnitude, Slice 3

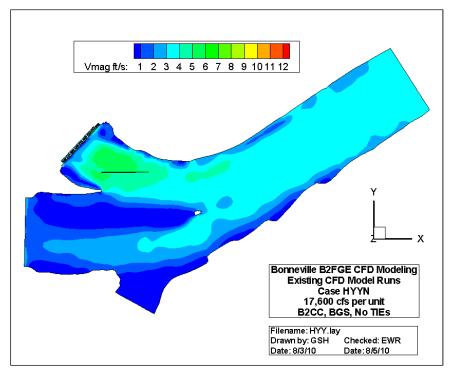


Figure 23. HYYN – Surface Velocity Magnitude, Entire Model Domain

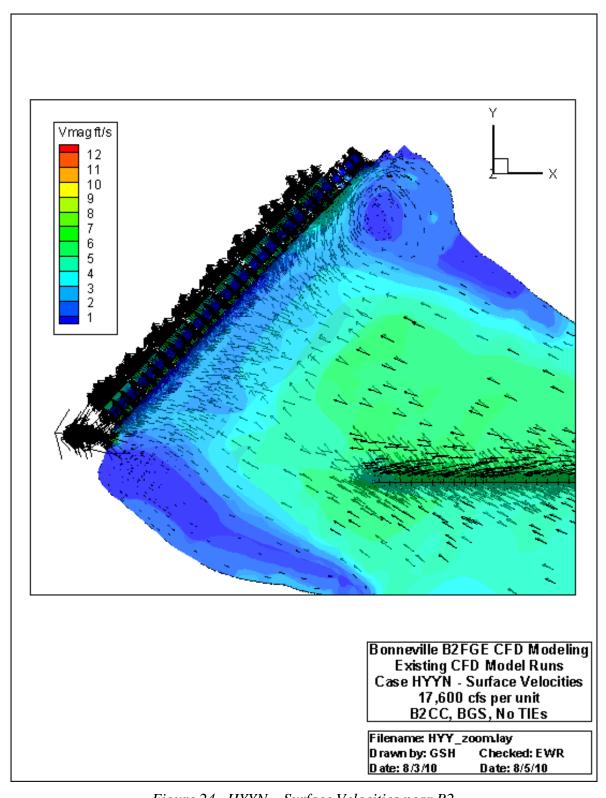


Figure 24. HYYN – Surface Velocities near B2

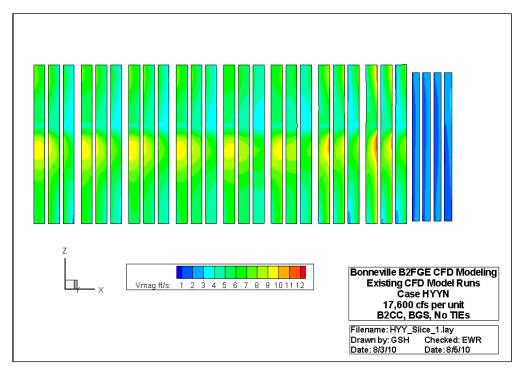


Figure 25. HYYN – Velocity Magnitude, Slice 1

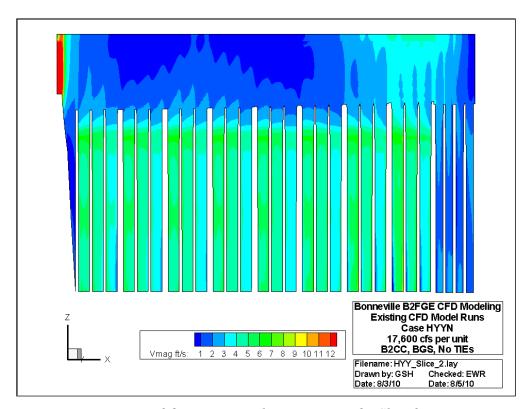


Figure 26. HYYN – Velocity Magnitude, Slice 2

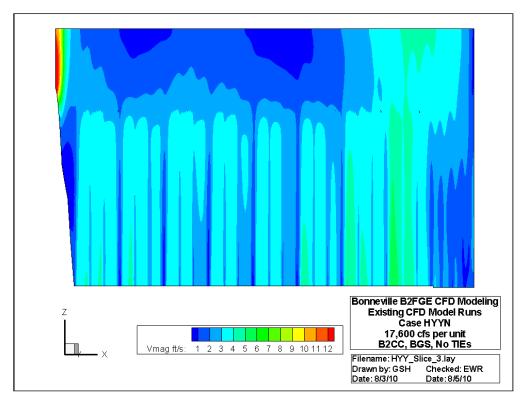


Figure 27. HYYN – Velocity Magnitude, Slice 3

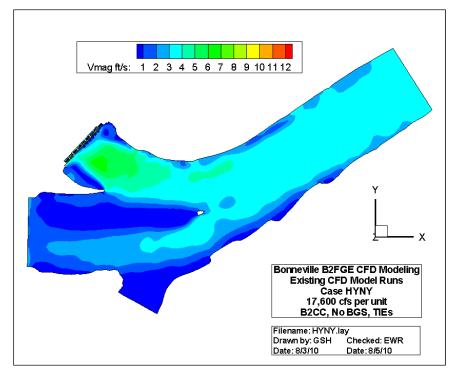


Figure 28. HYNY – Surface Velocity Magnitude, Entire Model Domain

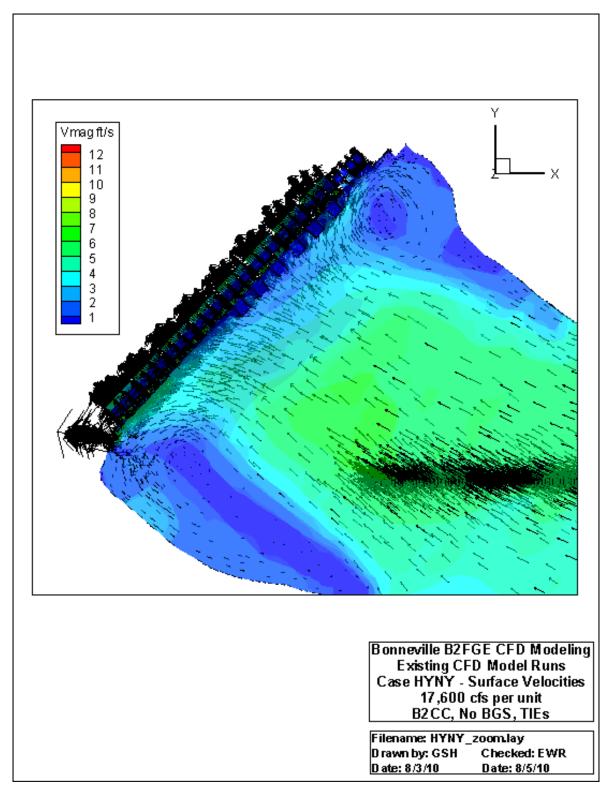


Figure 29. HYNY – Surface Velocities near B2

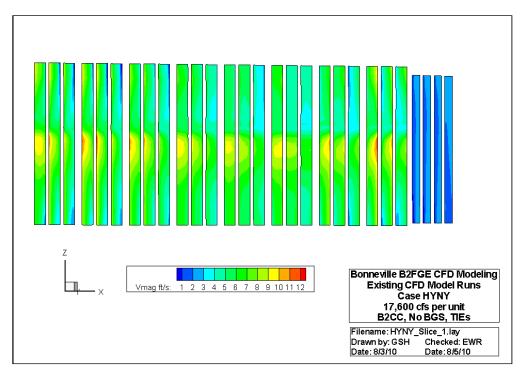


Figure 30. HYNY – Velocity Magnitude, Slice 1

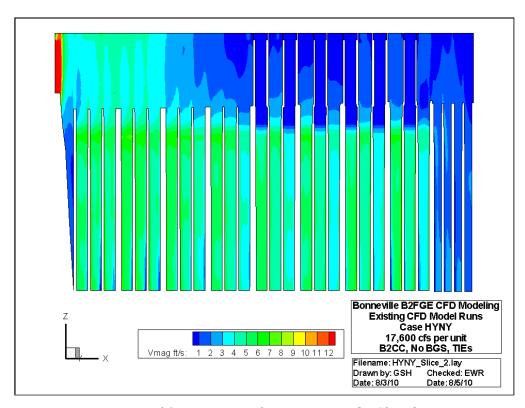


Figure 31. HYNY – Velocity Magnitude, Slice 2

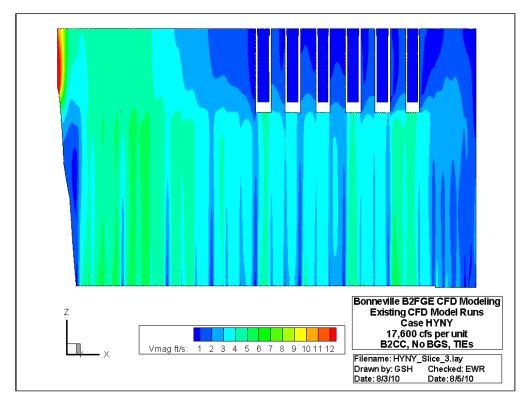


Figure 32. HYNY – Velocity Magnitude, Slice 3

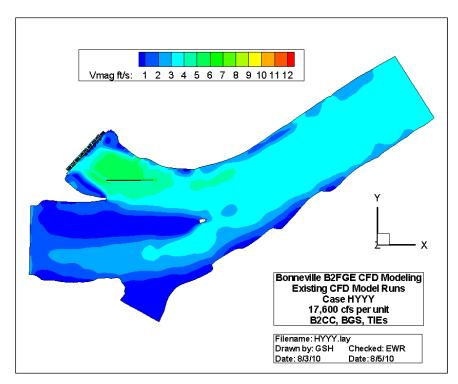


Figure 33. HYYY - Surface Velocity Magnitude, Entire Model Domain

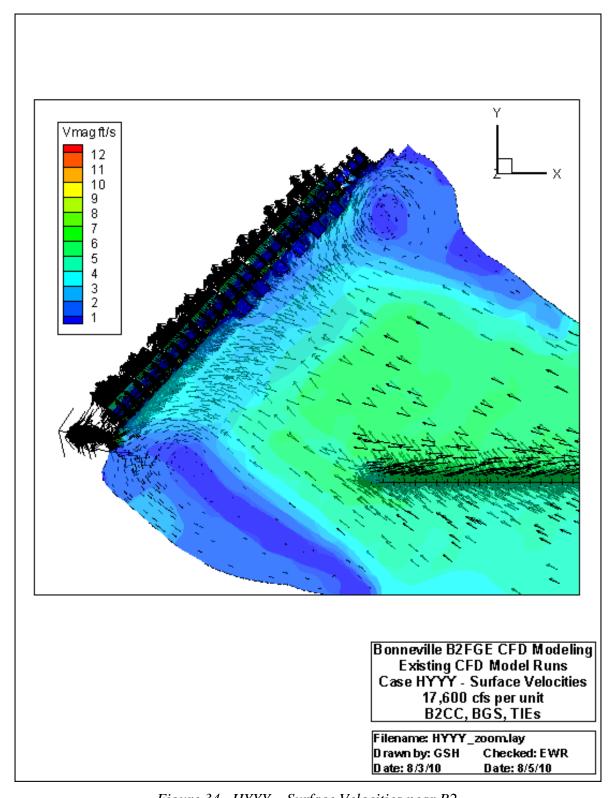


Figure 34. HYYY – Surface Velocities near B2

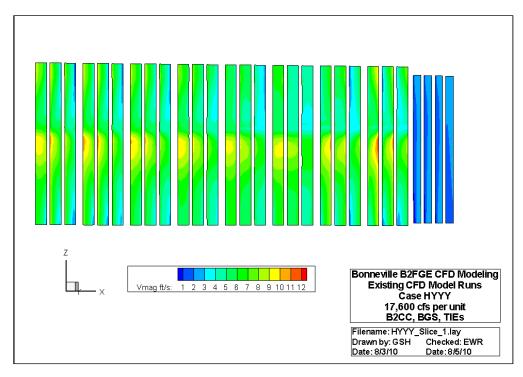


Figure 35. HYYY - Velocity Magnitude, Slice 1

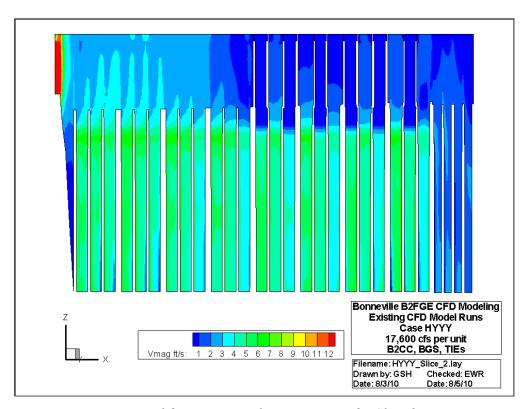


Figure 36. HYYY – Velocity Magnitude, Slice 2

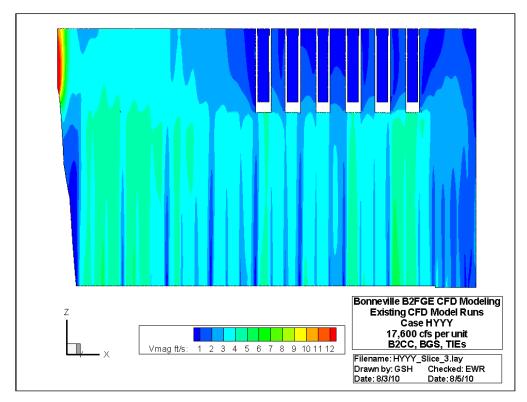


Figure 37. HYYY – Velocity Magnitude, Slice 3

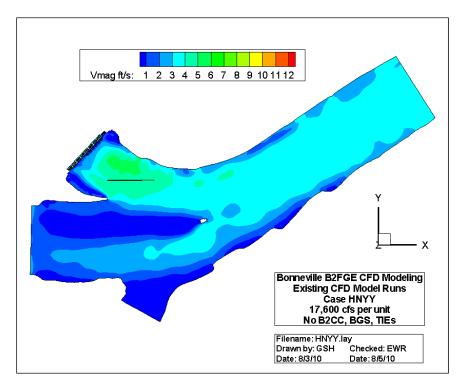


Figure 38. HNYY - Surface Velocity Magnitude, Entire Model Domain

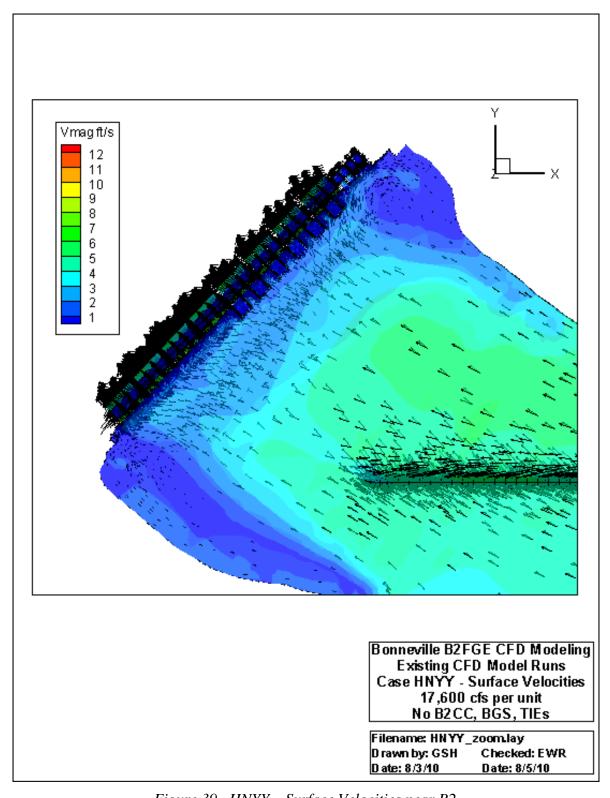


Figure 39. HNYY – Surface Velocities near B2

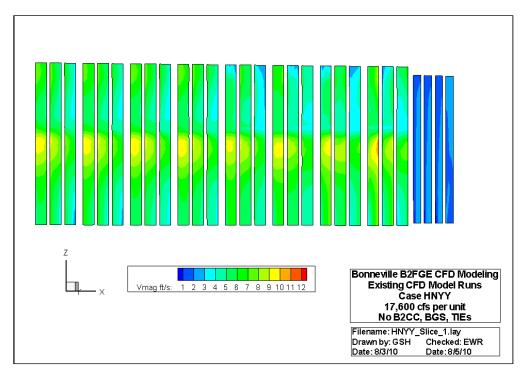


Figure 40. HNYY – Velocity Magnitude, Slice 1

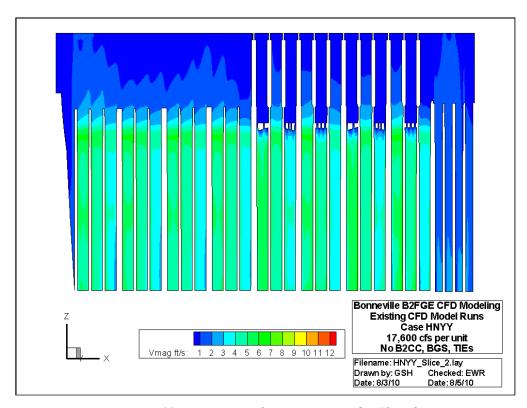


Figure 41. HNYY – Velocity Magnitude, Slice 2

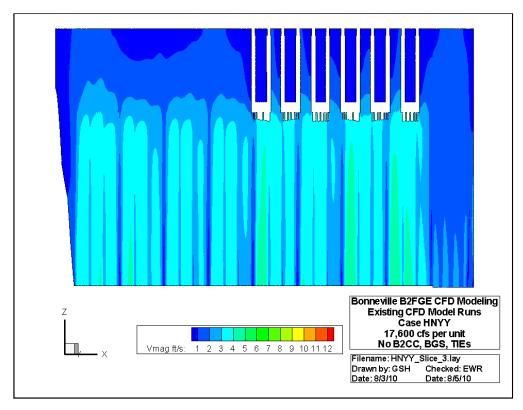


Figure 42. HNYY – Velocity Magnitude, Slice 3

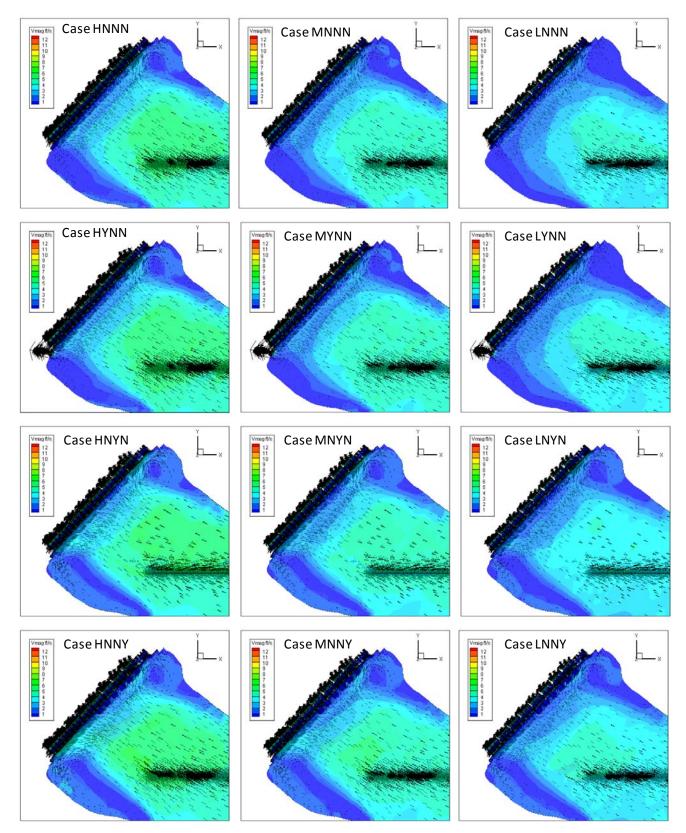


Figure 43. Comparison of Surface Velocities for High, Medium, and Low B2 Flows (1 of 2)

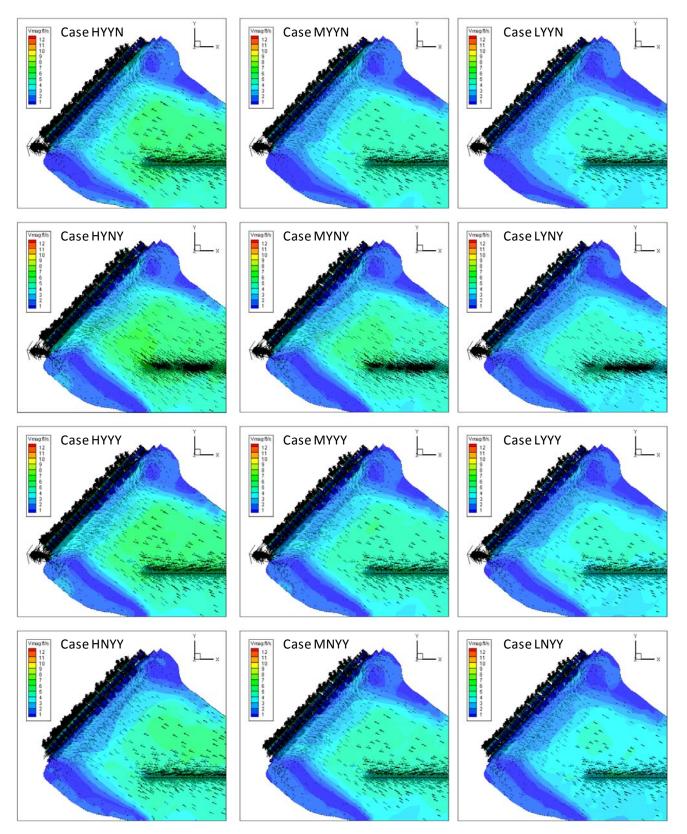


Figure 44. Comparison of Surface Velocities for High, Medium, and Low B2 Flows (2 of 2)

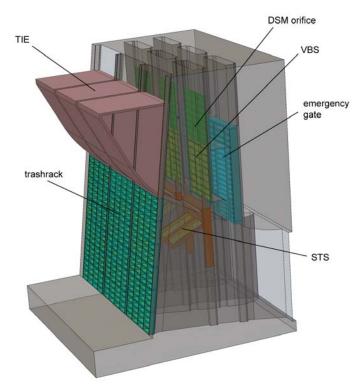


Figure 45. Isometric View of Turbine Unit

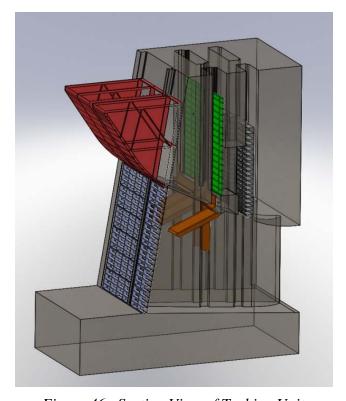


Figure 46. Section View of Turbine Unit

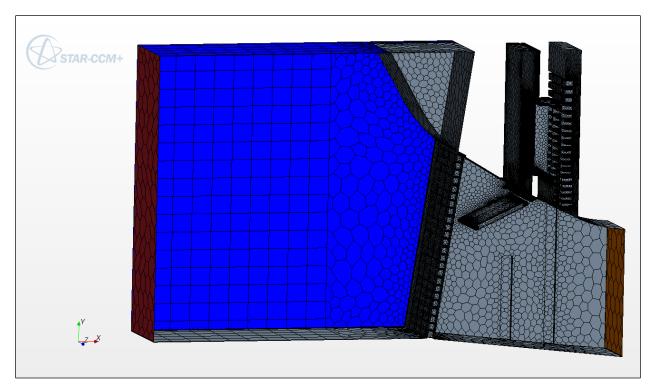


Figure 47. CFD Model Grid – Section View

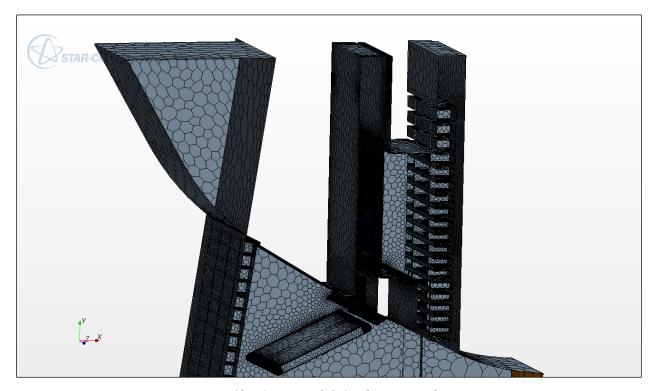


Figure 48. CFD Model Grid – Zoomed View

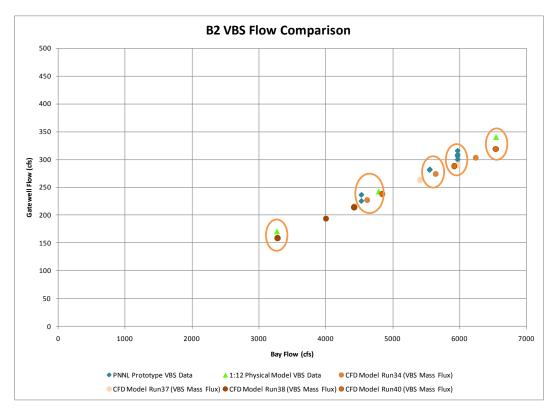


Figure 49. VBS Flow Comparison

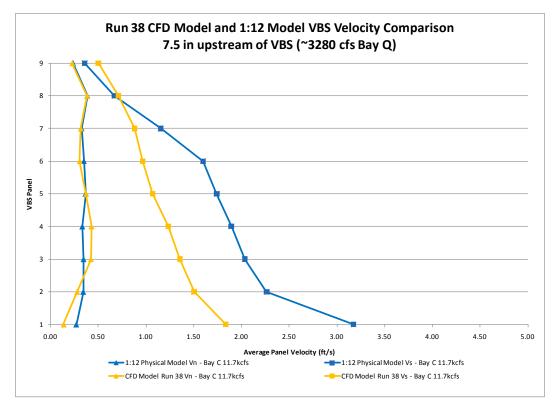


Figure 50. VBS Normal and Sweeping Velocity Comparisons (Bay Flow ~3,280 cfs)

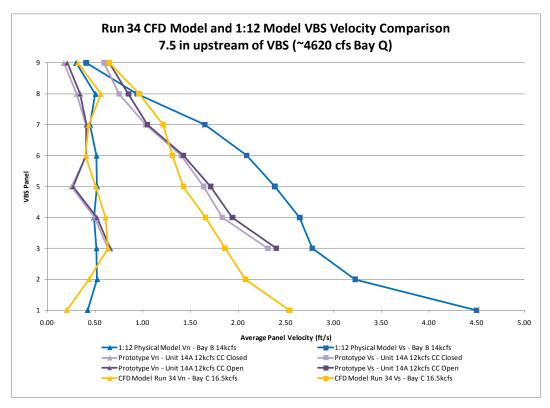


Figure 51. VBS Normal and Sweeping Velocity Comparisons (Bay Flow ~4,620 cfs)

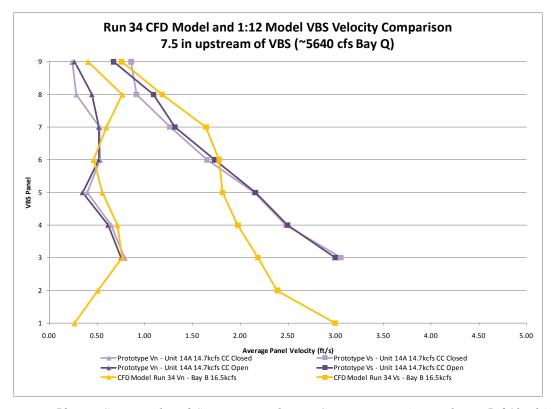


Figure 52. VBS Normal and Sweeping Velocity Comparisons (Bay Flow ~5,640 cfs)

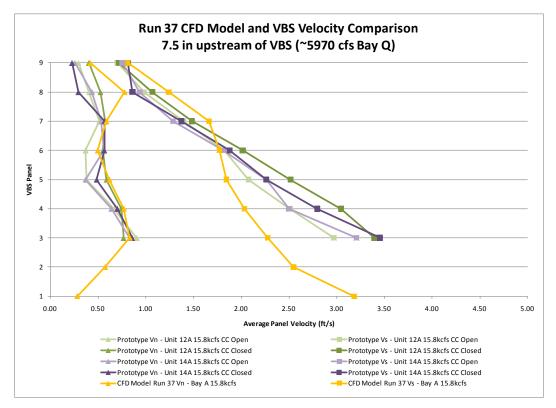


Figure 53. VBS Normal and Sweeping Velocity Comparisons (Bay Flow ~5,970 cfs)

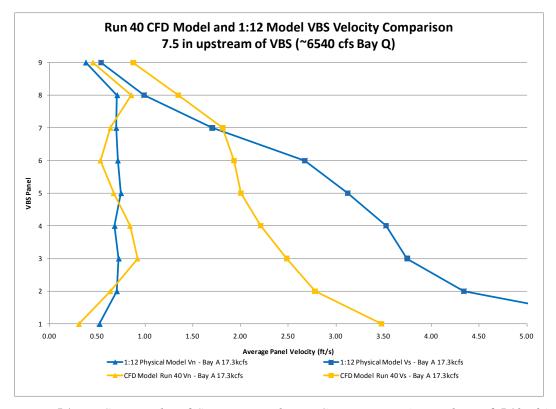


Figure 54. VBS Normal and Sweeping Velocity Comparisons (Bay Flow ~6,540 cfs)

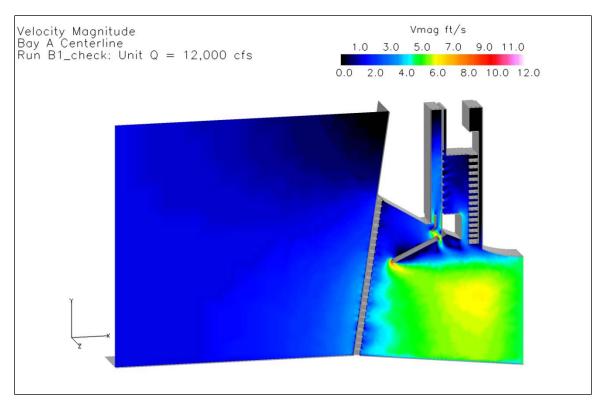


Figure 55. Baseline Conditions, Unit Q=12,000 cfs, Bay A Centerline Velocities

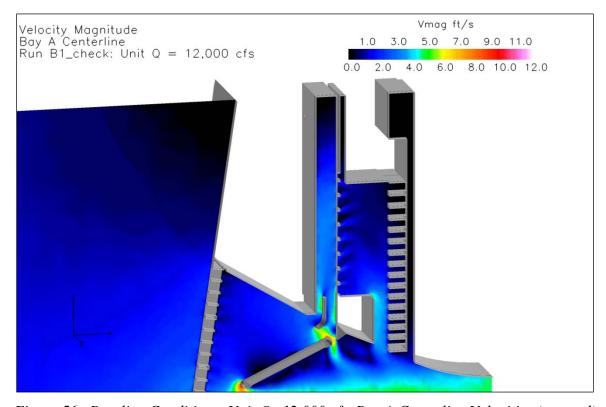


Figure 56. Baseline Conditions, Unit Q=12,000 cfs, Bay A Centerline Velocities (zoomed)

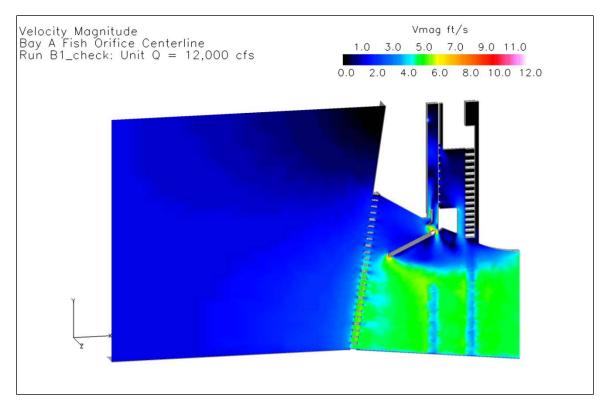


Figure 57. Baseline Conditions, Unit Q=12,000 cfs, Bay A Fish Orifice Centerline Velocities

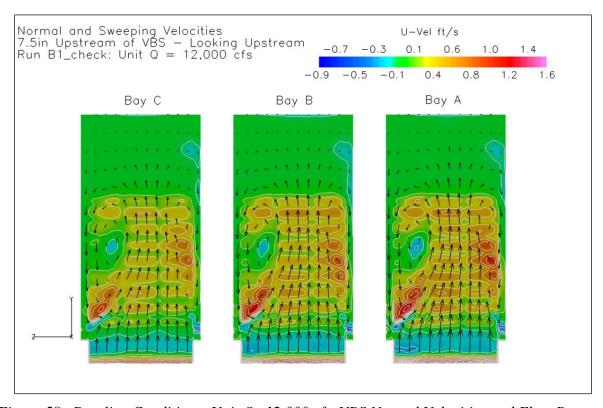


Figure 58. Baseline Conditions, Unit Q=12,000 cfs, VBS Normal Velocities and Flow Patterns

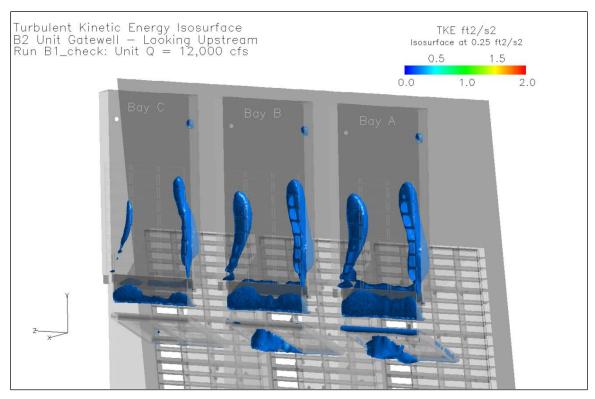


Figure 59. Baseline, Unit Q=12,000 cfs, Turbulent Kinetic Energy Isosurface $(0.25 \text{ ft}^2/\text{s}^2)$

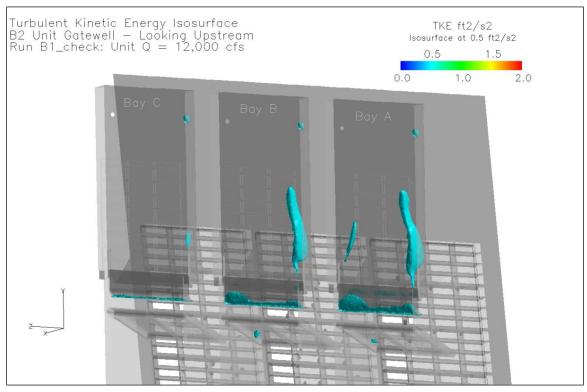


Figure 60. Baseline, Unit Q=12,000 cfs, Turbulent Kinetic Energy Isosurface $(0.5 \, ft^2/s^2)$

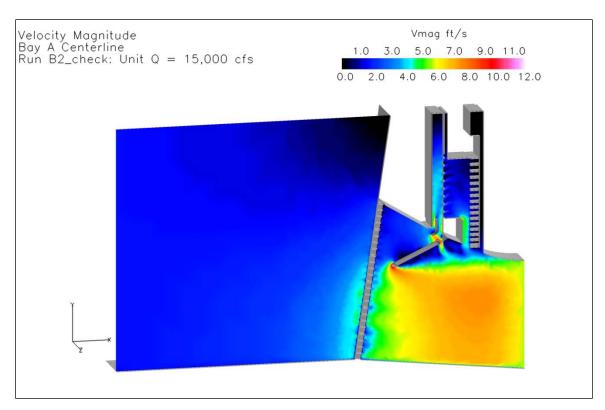


Figure 61. Baseline Conditions, Unit Q=15,000 cfs, Bay A Centerline Velocities

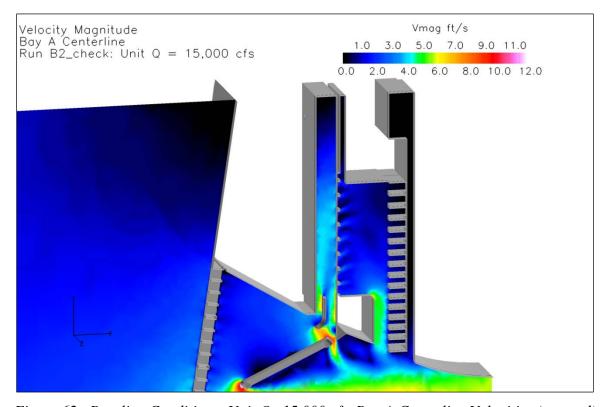


Figure 62. Baseline Conditions, Unit Q=15,000 cfs, Bay A Centerline Velocities (zoomed)

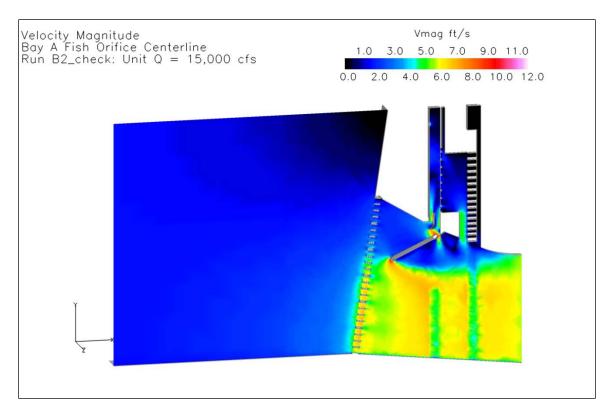


Figure 63. Baseline Conditions, Unit Q=15,000 cfs, Bay A Fish Orifice Centerline Velocities

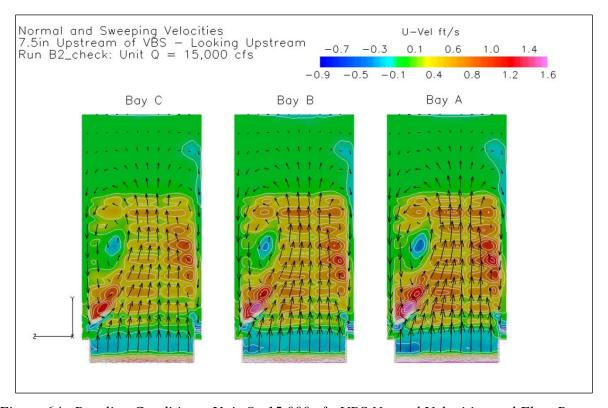


Figure 64. Baseline Conditions, Unit Q=15,000 cfs, VBS Normal Velocities and Flow Patterns

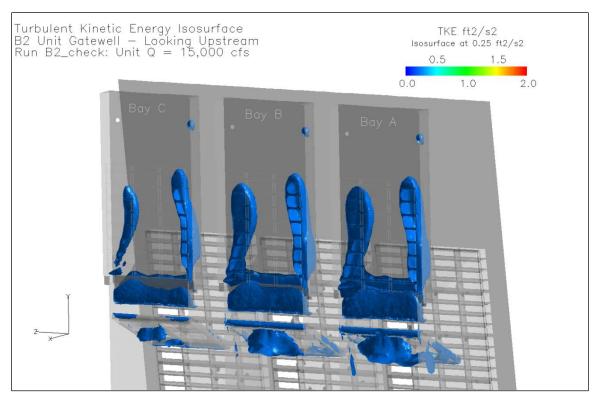


Figure 65. Baseline, Unit Q=15,000 cfs, Turbulent Kinetic Energy Isosurface $(0.25 \text{ ft}^2/\text{s}^2)$

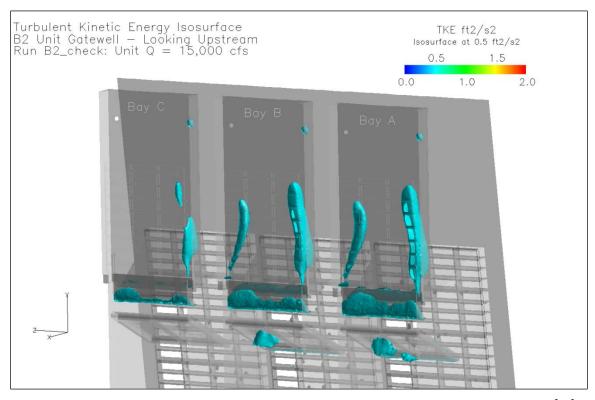


Figure 66. Baseline, Unit Q=15,000 cfs, Turbulent Kinetic Enery Isosurface $(0.5\,\mathrm{ft}^2/\mathrm{s}^2)$

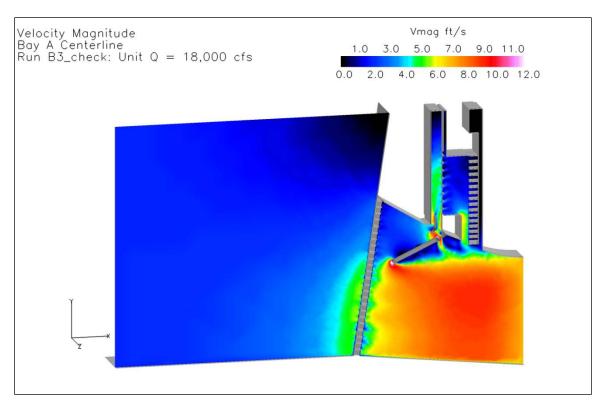


Figure 67. Baseline Conditions, Unit Q=18,000 cfs, Bay A Centerline Velocities

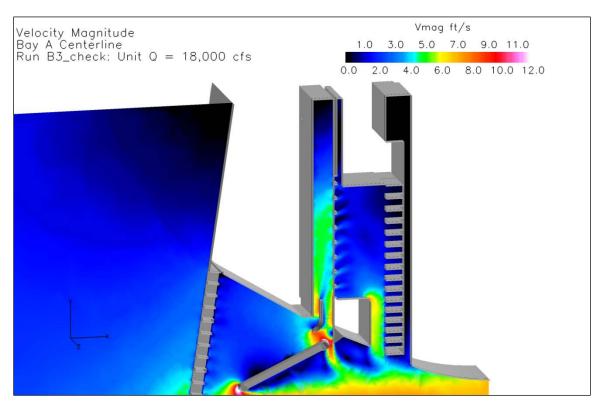


Figure 68. Baseline Conditions, Unit Q=18,000 cfs, Bay A Centerline Velocities (zoomed)

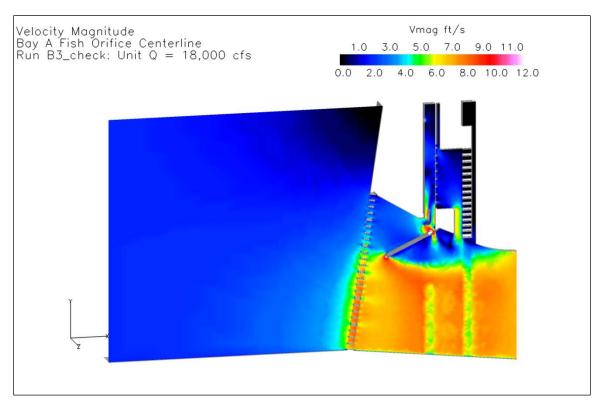


Figure 69. Baseline Conditions, Unit Q=18,000 cfs, Bay A Fish Orifice Centerline Velocities

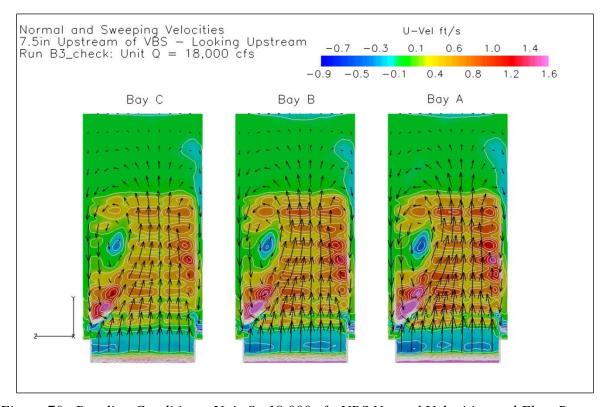


Figure 70. Baseline Conditions, Unit Q=18,000 cfs, VBS Normal Velocities and Flow Patterns

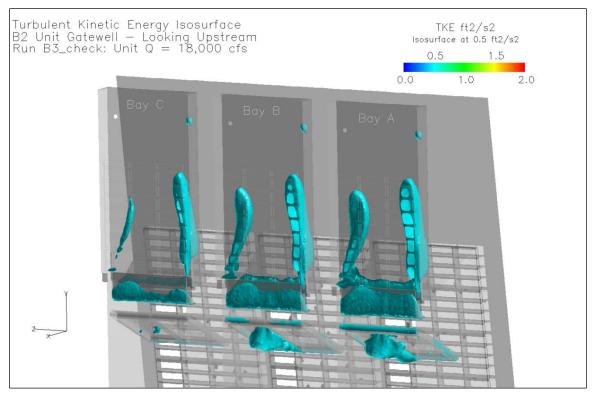


Figure 71. Baseline, Unit Q=18,000 cfs, Turbulent Kinetic Energy Isosurface $(0.25\,\mathrm{ft}^2/\mathrm{s}^2)$

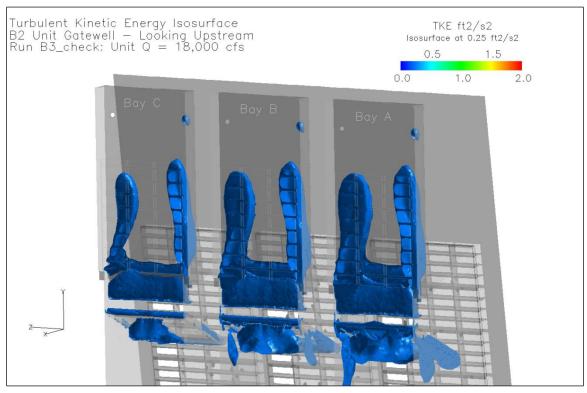


Figure 72. Baseline, Unit Q=18,000 cfs, Turbulent Kinetic Energy Isosurface $(0.5 \text{ ft}^2/\text{s}^2)$

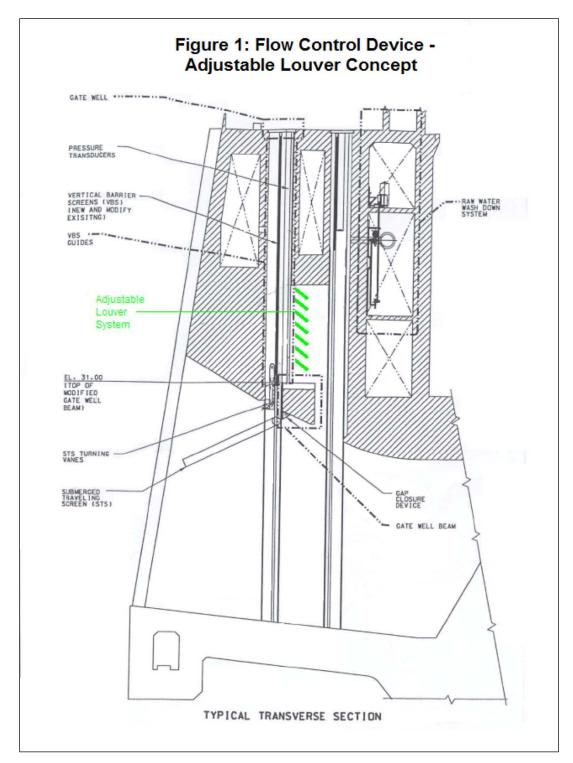


Figure 73. Alternative A1 - Adjustable Louver Flow Control Device

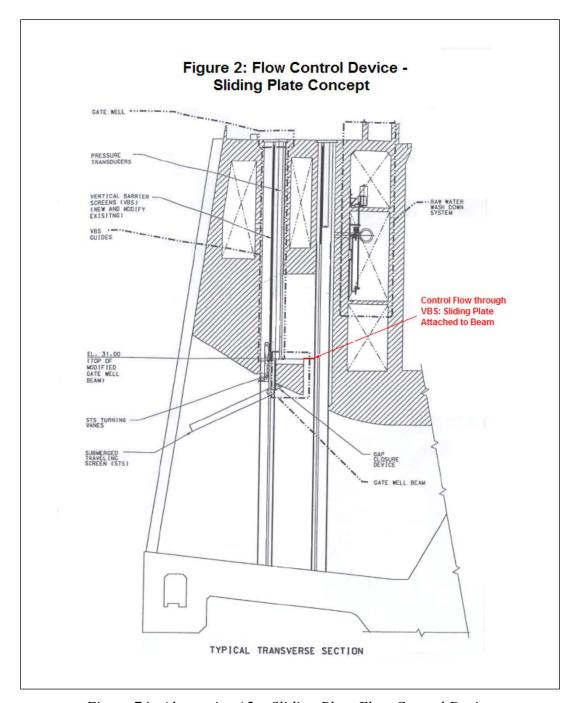


Figure 74. Alternative A2 – Sliding Plate Flow Control Device

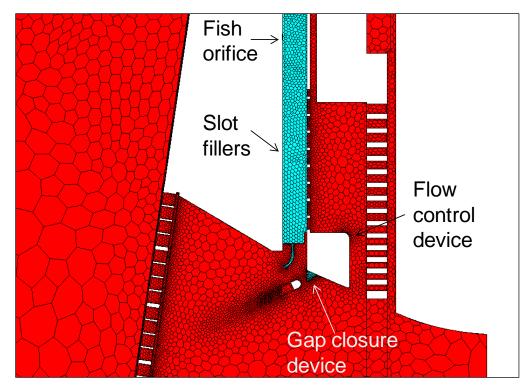


Figure 75. Alternative A2 – Sliding Plate Flow Control Device CFD Model Grid

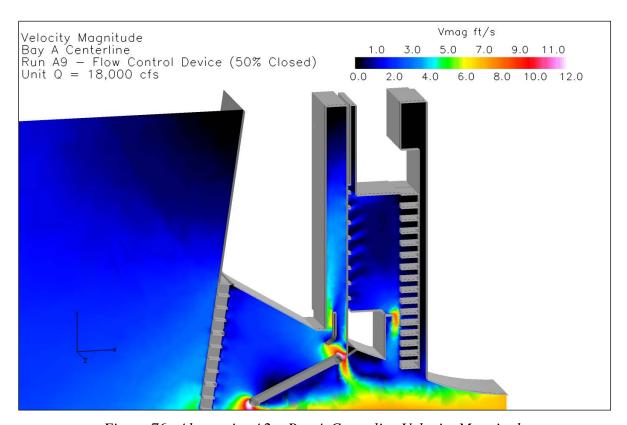


Figure 76. Alternative A2 – Bay A Centerline Velocity Magnitude

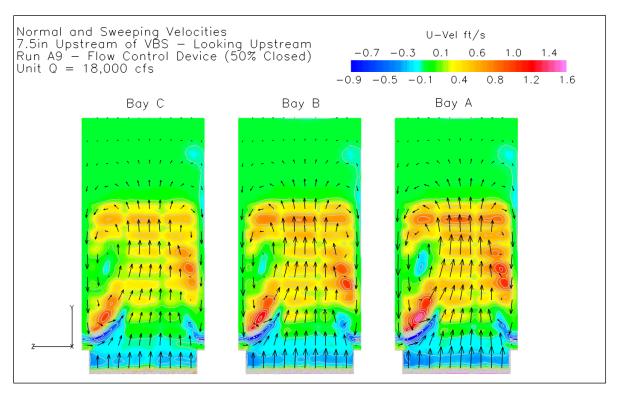


Figure 77. Alternative A2 – VBS Normal Velocities and Flow Patterns

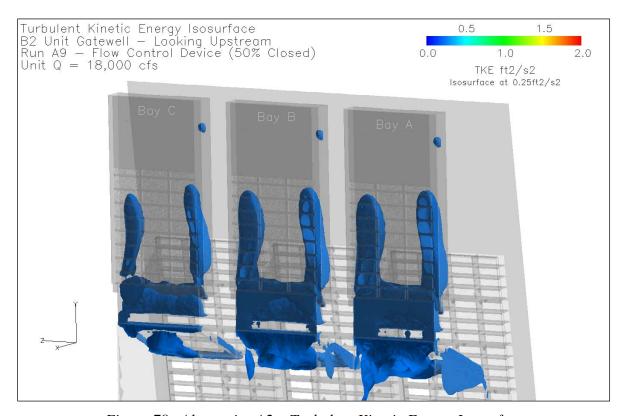


Figure 78. Alternative A2 – Turbulent Kinetic Energy Isosurface

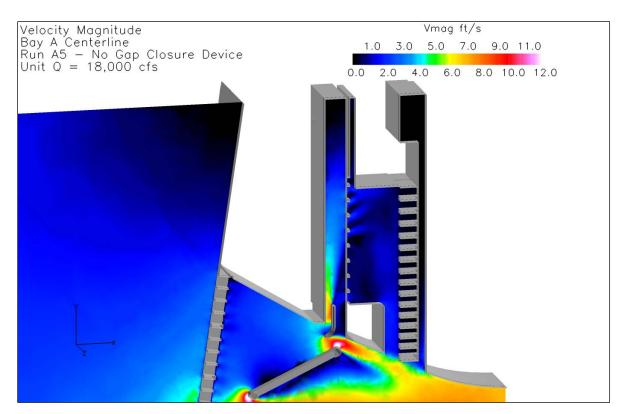


Figure 79. Alternative A4 – Bay A Centerline Velocity Magnitude

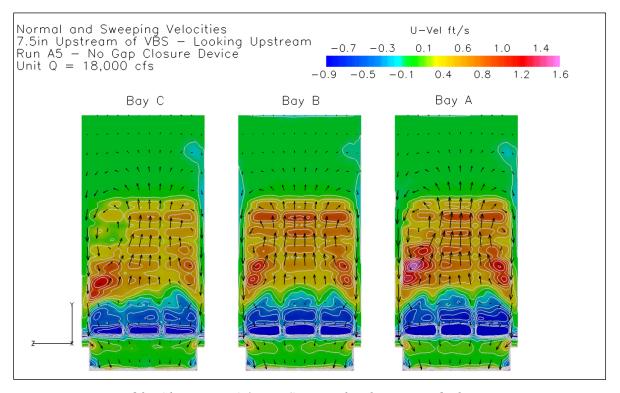


Figure 80. Alternative A4 – VBS Normal Velocities and Flow Patterns

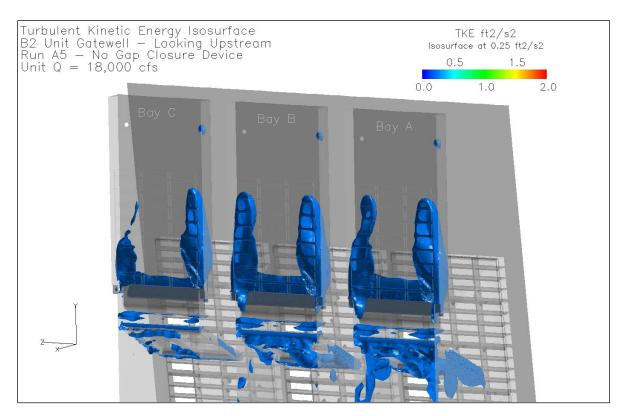


Figure 81. Alternative A4 – Turbulent Kinetic Energy Isosurface

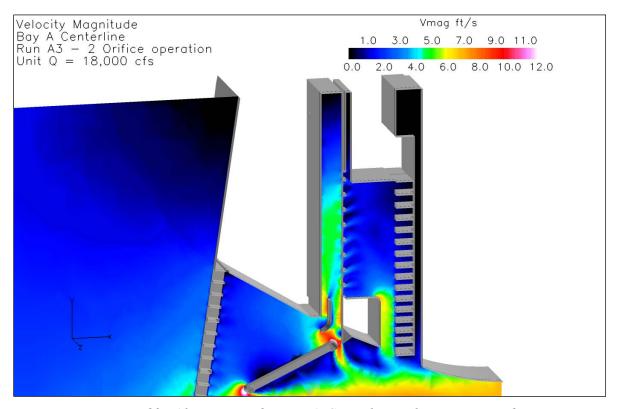


Figure 82. Alternative B2 – Bay A Centerline Velocity Magnitude

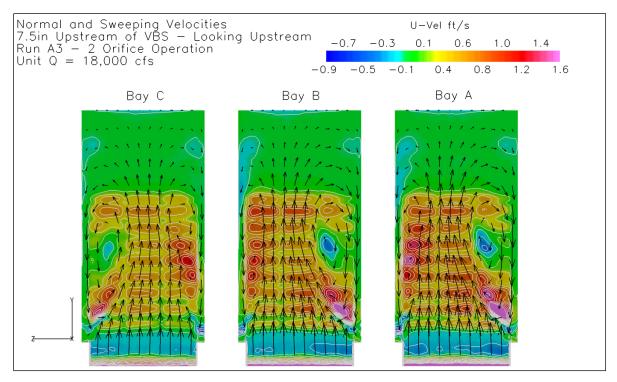


Figure 83. Alternative B2 – VBS Normal Velocities and Flow Patterns

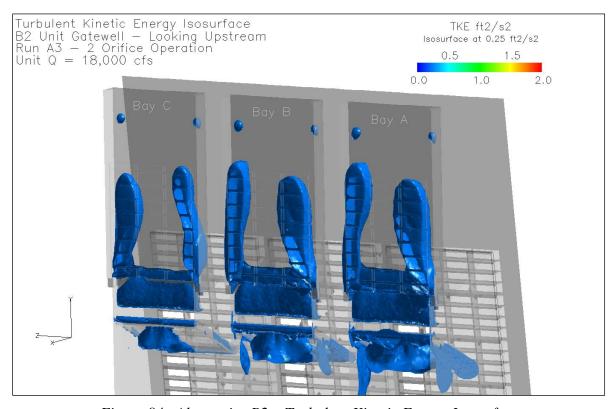


Figure 84. Alternative B2 – Turbulent Kinetic Energy Isosurface

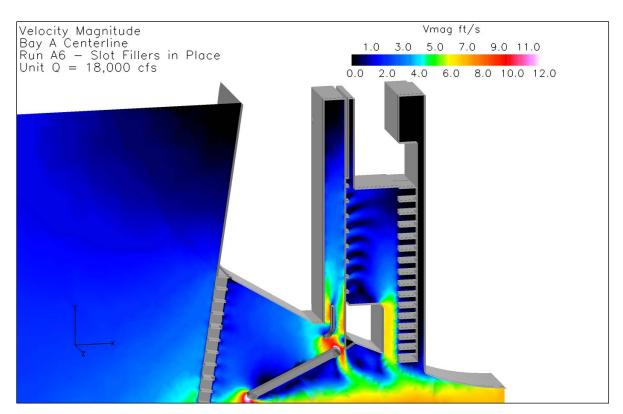


Figure 85. Alternative C1 – Bay A Centerline Velocity Magnitude

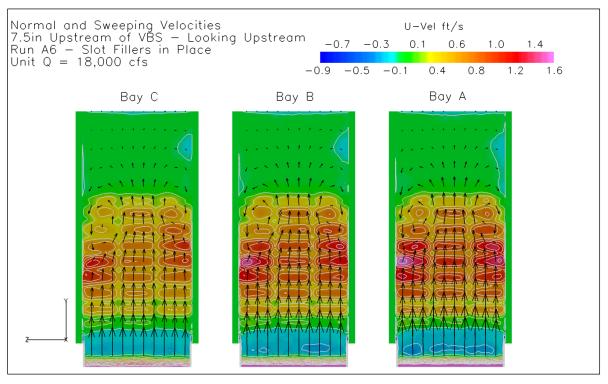


Figure 86. Alternative C1 – VBS Normal Velocities and Flow Patterns

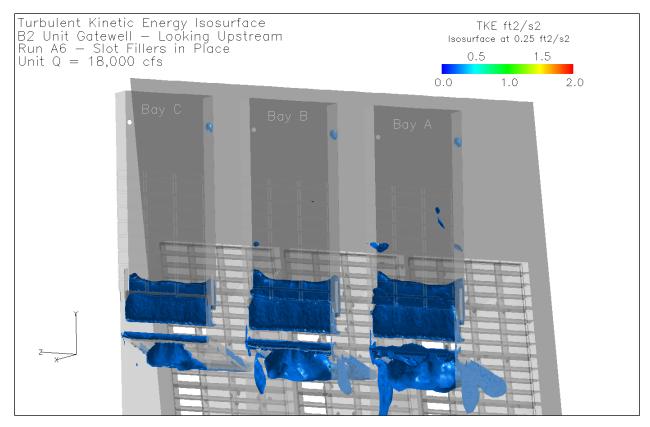


Figure 87. Alternative C1 – Turbulent Kinetic Energy Isosurface

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APPENDIX D Hydropower Impacts

Appendix D – Hydropower Impacts

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Appendix D. Hydropower Impacts

D.1. Introduction

D.1.1. Purpose and Scope

One of the alternatives (Alternative B1) under study for improving the Bonneville second powerhouse (PH2) fish guidance efficiency (FGE) during the juvenile fish passage season (March through August) involves restricting the main turbine units to operation below the upper 1% operating point (1% below peak efficiency). The purpose of this appendix is to estimate the impact to project generation output and corresponding hydropower benefits if the main turbine units are operated at peak efficiency for juvenile fish passage. These results can be used to place an upper limit on the impacts to project generation output and hydropower benefits resulting from operating the main units below the upper 1% operating point.

D.1.2. Project Description

Bonneville Dam is a run-of-river project located on the Columbia River (river mile 146.1) in the states of Oregon and Washington. Project operating purposes include hydropower, navigation, fisheries, recreation, and water quality. The first powerhouse with main turbine units 1 through 10 was completed in 1943, while PH2 with main turbine units 11 through 18 (along with two fishway units) was completed in 1982. The original per unit nameplate ratings of the main units are 43 MW for units 1-2, 54 MW for units 3-10, and 66.5 MW for units 11-18. Major rehabilitation of the first powerhouse was completed in 2010 (turbine runner replacement and generator rewind for all 10 turbine units). The per unit nameplate ratings of the rehabilitated units are 53.5 MW for units 1-2 and 62 MW for units 3-10.

D.1.3. Second Powerhouse Operation Alternatives

Analysis of the hydropower impacts of restricting PH2 turbine units to peak efficiency operation during the juvenile fish passage season involves estimating project generation output and corresponding hydropower benefits under each of two alternatives, which are briefly described below.

- 1. Base Case: Second Powerhouse Turbine Units Operate to the Upper 1% Operating Point. This alternative assumes that all first and second powerhouse turbine units operate between the peak efficiency operating point and the upper 1% operating point during the juvenile fish passage season. The project is assumed to conform to the operating requirements as summarized in the April 2009 Fish Passage Plan (FPP) and the U.S. Army Corps of Engineers (USACE) 2009-2010 Data Submittal.
- 2. **Alternative Case: Second Powerhouse Turbine Units Operate at the Peak Efficiency Operating Point.** This alternative assumes that all first powerhouse units operate between the peak efficiency operating point and the upper 1% operating point during the juvenile fish passage season, while all PH2 units operate at the peak efficiency operating point during this time period. The project is assumed to conform to the operating requirements as summarized in the April 2009 FPP and the USACE 2009-2010 Data Submittal.

D.1.4. Procedure

Analysis of the hydropower impacts of restricting Bonneville PH2 units to peak efficiency operation during the juvenile fish passage season included the following steps:

- Run the HYSSR model to obtain a sequential stream flow regulation for Bonneville for the period from August 1928 through July 1978. Determine weekly average releases and reservoir elevations for this 50-year hydrologic period of record.
- Input Bonneville operational data (including HYSSR flows and reservoir elevations, turbinegenerator performance, unit loading orders, unit maintenance schedules, spill for fish requirements, and powerhouse minimum flow requirements) into the Turbine Energy Analysis Model (TEAM).
- Run TEAM for the Base Case in order to estimate Bonneville energy generation for each year and week in the 50-year hydrologic period of record.
- Modify the Bonneville PH2 turbine-generator performance input to TEAM to require unit operation at peak efficiency during the juvenile fish passage season under the Alternative Case.
- Run TEAM for the Alternative Case in order to estimate Bonneville energy generation for each year and week in the 50-year hydrologic period of record.
- Determine average weekly power values from BPA supplied data for super-peak (SP) hours, heavy-load hours (HLH) and light-load hours (LLH) for each week in the 50-year hydrologic period of record. This serves as input to the COMPARE spreadsheet.
- Import the Bonneville 50-year hydrologic period of record energy generation tables for the Base Case and Alternative Case into the COMPARE spreadsheet.
- Use the COMPARE spreadsheet to determine the annual value of Bonneville generation under the Base Case and Alternative Case. The difference between these generation values represents the annual hydropower benefits foregone due to the requirement that PH2 units operate at the peak efficiency operating point. The hydropower benefits foregone during the juvenile fish passage season are used in the study analysis.

Some parts of the study analysis were performed using spreadsheet software. Arithmetic operations and totals were taken to full decimal accuracy within the spreadsheet. Tables found in this report have been rounded to a specified level of accuracy after the mathematical computations have been performed; therefore, rounded totals may not equal the summation of rounded values.

D.2. Energy Production

D.2.1. General

TEAM was used to estimate the energy generation output of Bonneville under the Base Case and Alterative Case. A simplified logic diagram for TEAM is shown in Figure D-1.

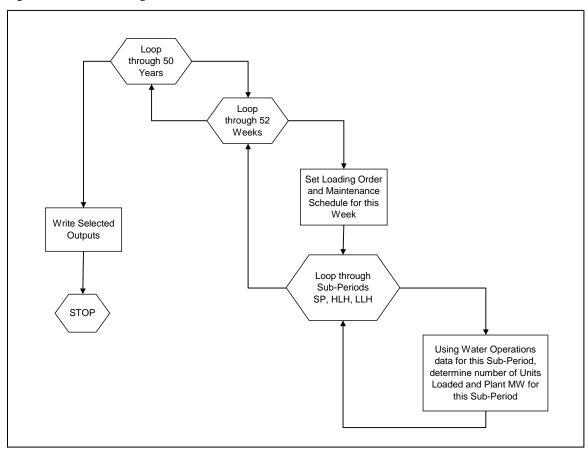


Figure D-1. TEAMLogic Flow

Briefly, TEAM is used to allocate project discharge to units at a power plant with multiple and/or different-sized generating units. When the discharge allocation has been determined for each generating unit, the power output for each unit is computed based on the head and unit efficiency specified. Using available discharges adjusted for various project flow losses, TEAM simulates the loading of generating units in a given sequence, up to the point that all discharge is utilized for generation and any excess is spilled. The unit loading order is specified for each month of the year, thereby allowing the model to reflect variations in loading order and unit availability.

D.2.2. TEAM Overview

TEAM is set up to use a weekly time step for up to a 62-year hydrologic period of record. In addition, each week is further broken into three sub-periods: (1) the 30-hour SP, the six highest value hours during 6 AM to 10 PM period on Monday through Friday; (2) the 66-hour HLH, the 6 AM to 10 PM period on Monday through Saturday (not including the SP hours); and (3) the 72-hour LLH, the remaining hours of the week. This allows energy generation output from TEAM to be valued at the appropriate price levels.

When executed, TEAM loops through all years in the long-term hydrology (50 years are used in this study); within each year TEAM then loops through each week, and within each week TEAM loops through the three sub-periods starting with SP, then HLH, and finally LLH. For each sub-period, TEAM

uses the defined flow and head for that sub-period and loops through the units based on the loading order specified for that week while checking the maintenance schedule for unit availability. It loads as many units as needed to fully use the sub-period flow. Using performance curves specified for each unit, units are first loaded at their best efficiency point and if after all units are loaded there is flow remaining, units are then loaded up to their generator limit. For the first two sub-periods (SP and HLH), if flow remains after all units have been loaded up to their maximum limit, the remaining flow is moved to the next sub-period (from SP to HLH and from HLH to LLH). For the last sub-period (LLH), if flow remains, all sub-periods are set to the weekly average flow and any unused flow (spill) is assumed to occur in all sub-periods. After all the years are completed, depending on the selected output, power generation, total flow, power flow, unused power flow, gross head, tailwater, and overall efficiency are output for each sub-period to the TEAM spreadsheet. In addition, if selected, unit-specific output is available for each sub-period. A brief description of TEAM inputs and outputs is provided below.

D.2.3. TEAM Inputs

D.2.3.1. Turbine Performance Data

TEAM requires detailed information for combined turbine-generator performance for each type of unit included in the evaluation. For each unit, TEAM requires four polynomial equations (up to 3rd order) that are each a function of gross head. These are Power (MW) at Best Gate (PBG), Power (MW) at Full Gate (PFG), Efficiency (%) at Best Gate (EBG), and Efficiency (%) at Full Gate (EFG). For each unit the generator upper limit in MW is required. In addition, four values (starting head, starting MW, ending head, and ending MW) are included to define an upper cavitation limit. This data is included in the TEAM spreadsheet on worksheet "Unit Performance." This sheet also includes the total number of units for the power plant (18 for this study) and the number of different types of units. The unit type for each unit is assigned on worksheet "Unit Operations."

Three different sets of unit performance equations (i.e., three unit types) were required as input to TEAM in order to model Bonneville existing condition unit operation. The first unit type modeled first powerhouse unit operation under the Base Case and Alternative Case, the second unit type modeled PH2 unit operation under the Base Case, and the third unit type modeled second powerhouse unit operation under the Alternative Case. Since the interest of this study is unit operation during the juvenile fish passage season, TEAM modeled first and second powerhouse unit operation with STS fish screens in place. The three sets of unit performance equations were developed by the Hydroelectric Design Center (HDC).

For the first and second unit types, performance equations representing unit operation at the upper one percent operating point were input into TEAM in place of the full gate performance equations. For the third unit type, performance equations representing unit operation at peak efficiency (best gate) were input into TEAM in place of the full gate performance equations. This forced PH2 units to operate at peak efficiency under the Alternative Case.

D.2.3.2. Loading Order

For TEAM to load units for each sub-period, it needs to know the desired loading order. TEAM allows the input of up to 14 different loading orders, which are entered into TEAM on worksheet "Unit Operations." The loading order assigned to each week of the year is also entered on worksheet "Unit Operations."

As summarized in the April 2009 FPP, the predominant unit operating priorities are:

```
First Powerhouse Unit Priority = 1, 3, 6, 2, 4, 5, 8, 10, 7, 9
Second Powerhouse Unit Priority = 11, 18, 15, 12, 17, 14, 13, 16
```

where typically PH2 units are operated ahead of first powerhouse units. In order to simplify the analysis, the loading order listed below was utilized in TEAM for each week of the year.

```
TEAM Unit Loading Order = Second Powerhouse, First Powerhouse
= [11, 18, 15, 12, 17, 14, 13, 16], [1, 3, 6, 2, 4, 5, 8, 10, 7, 9]
```

D.2.3.3. Unit Maintenance

TEAM allows up to a 5-year maintenance/unit outage cycle to be entered on a week-by-week basis specifying which units are unavailable for that week (from one to the entire plant if desired). For studies whose hydrologic period of record exceeds the number of years in the cycle (a 50-year hydrologic period of record is used in this study), TEAM repeats the cycle. The cycle data is entered into TEAM on worksheet "Unit Operations."

In order to simplify the analysis, the Bonneville study assumed a 1-year cycle so that the same cycle was applied to each of the 50 hydrologic years. The number of units to assume unavailable during each week of the cycle was determined by analyzing 10 years of Bonneville historical unit unavailability data for years 1999-2008 obtained from the USACE Operation and Maintenance Business Information Link (OMBIL). The data analyzed included both scheduled outages (categories PO, MO) and forced outages (categories U1, U2, U3, SF). Since the interest of this study was in obtaining an estimate for the average number of units unavailable by week once first powerhouse major rehabilitation is complete, most of the outages related to first powerhouse turbine runner replacements and generator rewinds were eliminated from the analysis. Based on analysis of the OMBIL data, the TEAM yearly cycle (which begins in August and ends in July) assumed the following:

TEAM weeks 01-04, 14-17	(AUG, NOV)	three units total unavailable
TEAM weeks 05-13	(SEP, OCT)	four units total unavailable
TEAM weeks 18-52	(DEC - JUL)	two units total unavailable

Units from both powerhouses were assumed to be placed on outage in the reverse of the unit loading order. To the extent possible, the units placed on outage were evenly split between the first and second powerhouse. Thus, during a week where two units were assumed unavailable, the cycle included units 9, 16; during a week where three units were assumed unavailable, the cycle included units 9, 16, 7; and during a week where four units were assumed unavailable, the cycle included units 9, 16, 7, 13.

D.2.3.4. Spill for Juvenile Fish

TEAM allows for the input of spill for fish requirements by month, which is entered into TEAM on worksheet "Water Monthly." Spill for fish is entered into TEAM using two parameters:

- Percent of project flow spilled for fish.
- Upper limit in thousands of cubic feet per second (kcfs) on project flow spilled for fish (i.e., spill cap).

The spill for fish requirements entered into TEAM are based on information contained in the April 2009 FPP and the USACE 2009-2010 Data Submittal. Based on these documents, the percent of Bonneville flow spilled for fish entered into TEAM was 100% (subject to the appropriate spill cap) over the entire fish spill season (April 10 through August 31). For some periods in the fish spill season, the documents specified separate spill caps for the daytime and nighttime spill periods. Since TEAM is not able to model separate daytime and nighttime periods, it was necessary to weight the daytime and nighttime spill caps for a given period according to the number of hours per day that each spill cap applied in order to obtain the corresponding weighted spill cap that could serve as input to TEAM for that period. Based on the spill caps specified in the documents and the weighting process just described, the upper limit on Bonneville flow spilled for fish entered into TEAM ranged from a low of 92 kcfs (during the last half of August) to a high of 98 kcfs (during the last half of April).

D.2.3.5. Water Operations/Hydrology

TEAM requires water operation data for each week for every year evaluated. The HYSSR model was used to simulate the operation of the Columbia River Basin system of projects over the 50-year hydrologic period of record from August 1928 through July 1978. The HYSSR output that served as input to TEAM for this study included Bonneville regulated flows and forebay elevations for the 50-year period. Since HYSSR uses a 14-period per year routing interval (monthly with April and August each split into two periods), TEAM converted the HYSSR monthly flows and forebay elevations into weekly equivalents. For a TEAM week that fell entirely within 1 month, TEAM used the HYSSR monthly value to represent the weekly value. For a TEAM week that crossed 2 months, TEAM used a weighted average of the two HYSSR monthly values to represent the weekly value, based on the number of days of the week that fell in each of the 2 months.

Also required as input into TEAM is data for determining the project tailwater elevation for each week for every year evaluated. This input can either be in the form of a tailwater rating table or a constant tailwater elevation to be applied to each week of each year. For this study, the Bonneville tailwater rating table that served as input to the HYSSR model was used as input to TEAM. Other project data that served as input to TEAM included:

- Project non-power discharges and flow losses such as lockages, flows through fish ladders, juvenile bypass systems, ice and trash sluiceways, the PH2 corner collector, and auxiliary water supply for fishways (not included is spill for fish requirements that are entered into TEAM separately).
- Minimum powerhouse discharge.

Project values for each of the above two data types were entered into TEAM for each of the 14 HYSSR periods. The same set of project values was used for all years evaluated by TEAM. These values are based on information contained in the April 2009 FPP and the USACE 2009-2010 Data Submittal.

The TEAM input described in this section is entered on worksheet "Water Monthly."

D.2.3.6. Sub-Periods

Section G.2.2 notes that each TEAM week is broken into three sub-periods: the 30-hour SP, the 66-hour HLH, and the 72-hour LLH. This section describes the weekly process by which project units are loaded in each of the three sub-periods.

In order to load units in each sub-period, TEAM needs to distribute the weekly flow between the three sub-periods. This is accomplished by multiplying a weekly "shaping factor" for each sub-period by the weekly flow. The shaping factors used by TEAM are stored in worksheet "Sub Period Weekly Factors." This worksheet contains a table of shaping factors for each of the three sub-periods. Each table contains a shaping factor for each week in the 50-year hydrologic period analyzed by TEAM. The weekly shaping factors are calculated by TEAM based on monthly shaping factors that are entered into worksheet "Sub-Period Monthly Factors." The monthly shaping factors were developed by the Bonneville Power Administration (BPA).

D.2.3.7. Other Inputs

TEAM run execution is controlled on worksheet "Control." The number of years included in the input data is set here, along with the number of periods (weeks in this case) in the year. The user can select the first and last year to run (anywhere from one to the total years available can be selected). The user can choose whether to run sub-periods or only use period average data. Run identifiers are also entered on this worksheet. The user can select the desired outputs here, and can also choose to have run-status messages written to this worksheet during TEAM execution. A prefix is entered for naming output worksheets. If the user decides to save the file, a unique file name based on run date and time and run identifier is created. After saving, the file name and time it was saved are written to this worksheet.

D.2.4. TEAM Outputs

Four types of output can be selected. Each type (except debug) is written to its own worksheet. Desired output and corresponding worksheet names are set in worksheet "Control."

- Detailed Unit Output: Provides period-by-period detailed unit loading information. Only for monthly data of 10 years or less.
- Quick Unit Output: Added to the Visual Basic version as an alternative to the existing detailed unit output. This provides abbreviated period-by-period output, which is much quicker than the detailed unit output.
- Table Output: User-friendly tabular output used for investment evaluations. Available for individual sub-periods and runs based on period average flows without sub-periods. A sub-period summary table is also produced.
- Debug: These were the embedded write statements used for debugging included in the original HALLO model (which was used as the starting point for the development of TEAM). Writes to a text file.

D.2.5. Bonneville Energy Production Estimates

TEAM was used to estimate the energy generation output of Bonneville under Base Case (PH2 units operate to the upper 1% operating point) and under Alternative Case (PH2 units operate at the peak efficiency operating point). TEAM output for Base Case and Alternative Case used in the study analysis consisted of energy generation for each year and week in the 50-year hydrologic period of record. Separate tables were available for each of the three weekly sub-periods: SP, HLH and LLH. For each case, the results for the three weekly sub-periods were combined to yield the project total energy generation for each year and week in the hydrologic period. The results of this process are summarized in Table D-1 in the form of juvenile fish passage season monthly and total energy generation averages in megawatt hours (MWh) over the hydrologic period. The values shown in the last column, labeled **BC** -

AC, represent the estimate of energy generation foregone due to restricting PH2 units to peak efficiency operation during this season.

		Generation (MWh)	
Month	Base Case	Alternative Case	BC - AC
MAR	482,580	474,690	7,890
APR	411,610	393,860	17,750
MAY	447,770	414,730	33,040
JUN	441,620	413,250	28,370
JUL	329,410	326,770	2,640
AUG	218,360	219,000	-640
Total	2,331,350	2,242,300	89,050

Table D-1. Bonneville 1929 to 1978 Monthly Average Energy Generation

The main factor contributing to the results shown in Table D-1 is the relationship between the flow available for energy generation and the Bonneville hydraulic capacity (first powerhouse + second powerhouse). During the months March through July there are a number of monthly periods over the 50-year hydrologic period where the flow available for energy generation exceeds the project hydraulic capacity (thus resulting in forced spill) under both the Base Case and Alternative Case. Since the hydraulic capacity of the PH2 is less under the Alternative Case than under the Base Case, there is more forced spill under the Alternative Case than under the Base Case during these monthly periods. This results in less energy generation under the Alternative Case than under the Base Case during March through July as shown in Table D-1.

During the month of August, the flow available for energy generation is less than the project hydraulic capacity over the entire 50-year hydrologic period. Thus, the flow utilized for energy generation during August is the same under the Base Case and the Alternative Case. Since PH2 units operate more efficiently under the Alternative Case than under the Base Case, there is more energy generation under the Alternative Case than under the Base Case during August as shown in Table D-1.

D.3. Valuation of Energy Output

D.3.1. Overview

The BPA developed and provided to USACE the projected hourly market-clearing prices based on the 50 years of hydrologic data used in estimating energy production. These projections were developed using

an electric energy market model called AURORA. AURORA is owned and licensed by EPIS Incorporated.

D.3.2. AURORA Production Cost Model

The hourly market-clearing price is based upon a fixed set of resources dispatched in least-cost order to meet demand. The hourly price is set equal to the variable cost of the marginal resource needed to meet the last unit of demand. A long-term resource optimization feature within the AURORA model allows generating resources to be added or retired based on economic profitability. Market-clearing price and the resource portfolio are interdependent. Market-clearing price affects the revenues any particular resource can earn and consequently will affect which resources are added or retired. Iterative solutions of resource portfolios and market-clearing prices are completed in AURORA until the difference between the last two iterations is minimal. AURORA sets the market-clearing price using assumptions of demand levels (load) and supply costs. The demand forecast implicitly includes the effect of price elasticity over time. The supply side is defined by the cost and operating characteristics of individual electric generating plants, including resource capacity, heat rate, and fuel price. AURORA incorporates the effect that transmission capacity and prices have on the system's ability to move generation output between areas. AURORA recognizes 13 areas within the Western Electricity Coordinating Council (WECC), largely defined by major transmission interconnections. For example, California is split into two market areas, north and south; Oregon, Washington, and Northern Idaho are combined while Southern Idaho is a separate market area; and British Columbia and Alberta (Canada) are combined into a single market area.

The assumptions in AURORA for determining power values include:

- Load year October 2009 September 2010 was modeled using AURORA.
- 50 water years (August 1928 through July 1978) of regional monthly generation obtained from BPA's HYDROSIM model served as input to AURORA.
- For each of the 50 water years, monthly generation was simulated for the modeled load year.
- An hourly marginal cost for each hour of the period October 2009 September 2010 was determined for each water year's generation.
- BPA provided 8,760 hourly marginal costs values for each of the 50 water years (leap years not considered).
- These values represent the Mid-Columbia trading prices.

To describe AURORA's methodology, it is helpful to distinguish between two main aspects of modeling the electric energy market: the short-term determination of the hourly market-clearing price and the long-term optimization of the resource portfolio.

D.3.2.1. Hourly Price Determination

As noted earlier, the hourly market-clearing price is based upon a fixed set of resources dispatched in least-cost order to meet demand. The hourly price is set equal to the variable cost of the marginal resource. AURORA places two restrictions on the hourly operation of generating plants. First, AURORA simulates the "must run" status of certain units. Second, AURORA recognizes that costs associated with ramping generation levels up and down will make the economic dispatch of plants on an hourly basis impractical. To account for this, AURORA commits generating plants to operate at weekly intervals. AURORA uses a weekly price forecast to determine plant profitability and to model the commitment decision.

D.3.2.2. Long-term Resource Optimization

The long-term resource optimization feature within AURORA allows generating resources to be added or retired based on economic profitability. Economic profitability is measured as the net present value (NPV) of revenue minus the NPV of costs. A potential new resource that is economically profitable will be added to the resource database. An existing resource that is not economically profitable will be retired from the resource database. In reality, the market-clearing price (hence the profitability of a resource) and the resource portfolio are interdependent. The market-clearing price will affect the revenues any particular resource can earn, and consequently, it will affect which resources are added and retired. In the same way, changes in the resource portfolio will change the supply cost structure, which will affect the market-clearing price. AURORA uses an iterative process to address this interdependency.

AURORA's iterative process uses a preliminary price forecast to evaluate existing and potential new resources in terms of their economic profitability. If an existing resource is not profitable, it becomes a candidate for retirement. Alternatively, if a potential new resource is economically profitable, it is a candidate to be added to the resource portfolio. In the first step of the iterative process, a small set of new resources is drawn from those with the greatest profitability and added to the resource base. Similarly, a small set of the most unprofitable existing resources is retired. This modified resource portfolio is used in the next step in the iterative process to derive a revised market-clearing price forecast. The modified price will then drive a new iteration of resource changes. AURORA will continue the iterative solution of the resources portfolio and the market-clearing price until the difference in price between the last two iterations reaches a minimum and the iterations converge on a stable solution.

D.3.3. Energy Values Used in Evaluation

The hourly AURORA energy values cannot be directly used in the evaluation since TEAM is calculating average weekly generation. To derive average weekly prices, the hourly AURORA prices were grouped into three weekly sub-periods: SP, HLH, and LLH for each of the weeks in the 50-year period of record. The following assumptions were used:

- SP will be defined as the highest price 6 hours per day during the traditional HLH period (6 AM to 10 PM or 0600 to 2200) on Monday through Friday for a total of 30 hours per week.
- HLH are usually the 16 hours per day for the period 6 AM to 10 PM (0600 to 2200) for Monday through Saturday for a total of 96 hours per week. Since this includes SP hours, which are a subset of HLH, the HLH were limited to 66 hours per week. This is based on 96 hours minus the 30 SP hours (highest 6 hours per day on Monday through Friday).
- LLH are 8 hours per day on Monday through Saturday and all day Sunday for a total of 72 hours per week. Although certain holidays are considered LLH for the entire day, they are not included in the breakdown used here.
- Holidays and Daylight Savings are not accounted for.
- Days used to break down sub-periods are based on the August 2009 through July 2010 period for all water years.
- Each week has 7 days except for week 52, which has 8 days. Based on the assumed year for prices, this extra day is a Saturday, so the last week has 192 hours, but only 30 SP hours.

Hourly prices were converted to weekly averages for each water year. The result was a 50-water year by 52-week table of power values for each sub-period. The average weekly prices are shown in Figure D-2.

D.3.4. Bonneville Energy Benefits Estimates

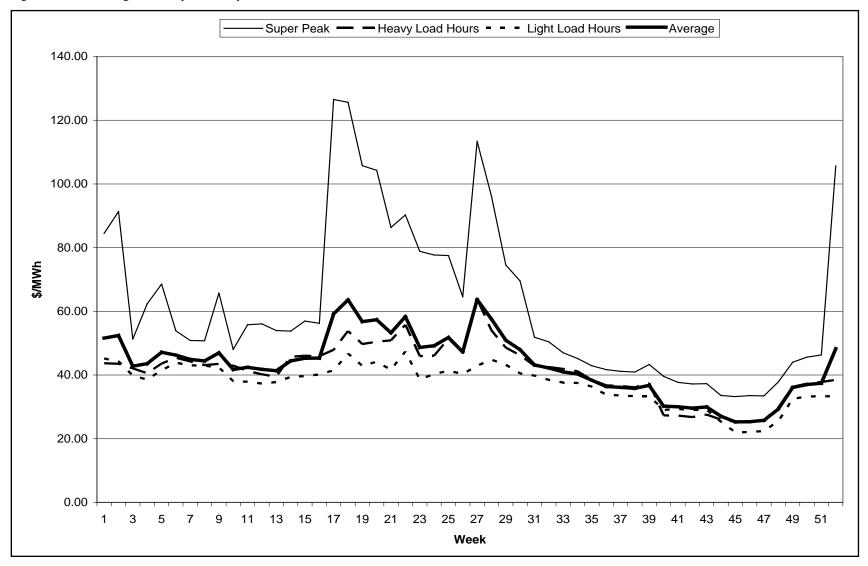
To determine the energy benefits associated with the Bonneville Base Case and Alternative Case, an Excel spreadsheet called COMPARE was developed that utilized as input TEAM output for each case, along with the weekly energy values described in Section G.3.3. The Bonneville output imported into COMPARE for each case consisted of a worksheet summarizing project weekly generation for each of the three sub-periods (SP, HLH and LLH) over the 50-year hydrologic period of record. Weekly \$/MWh energy values for all years in the hydrologic period were also imported into COMPARE. With the generation worksheets and weekly energy values as input, COMPARE estimated the energy benefits for the Base Case and Alternative Case, as well as the difference in energy benefits between the two cases. The results of this process are summarized in Table D-2 in the form of juvenile fish passage season monthly and total energy benefits averages in \$1,000 over the hydrologic period. The values shown in the last column, labeled BC - AC, represent the estimate of energy benefits foregone due to restricting PH2 units to peak efficiency operation during this season.

The energy benefits estimates summarized in Table D-2 are consistent with the energy generation estimates summarized in Table D-1. The last column of each table shows losses during the months March through July and gains during the month of August.

Table D-2. Bonneville 1929 to 1978 Monthly Average Energy Benefits

		Benefits (\$1,000)			
Month	Base Case	Alternative Case	BC - AC		
MAR	19,670	19,390	280		
APR	14,670	14,090	580		
MAY	12,760	11,950	810		
JUN	11,170	10,650	520		
JUL	12,490	12,430	60		
AUG	10,770	10,800	-30		
Total	81,530	79,310	2,220		

Figure D-2. Average Weekly Price by Sub-Period



Final Report, October 2013

APPENDIX E

Construction Cost Estimate

B2 FGE Post Construction Alternative Report 2012 Preliminary Cost Estimate (Rounded to 100,000\$)

Prepared by: RLR

11/27/2012

	-		V2
Description	ALTERNATIVE Alt B2 (open 2		Alt C1 Gate Slot
(costs rounded to \$100k)	orifices)	Alt B3 (Horz Slot)	Filler
Direct Costs	\$28,500,000	\$3,500,000	\$3,390,000
Markups (Overhead, Profits, Bond, tax, OT)	\$15,400,000	\$1,900,000	\$1,800,000
SUBTOTAL COSTS	\$43,900,000	\$5,400,000	\$5,190,000
CONTENGENCY PERCENT	36%	27%	28%
CONTINGENCY AMOUNT	\$15,900,000	\$1,500,000	\$1,400,000
TOTAL ESTIMATE CONSTRUCTION			
COST	\$59,800,000	\$6,900,000	\$6,590,000

NOTES

- 1 Escalation & Inflation NOT included
- 2 Engineering, Supervision, Admin, etc costs NOT included
- 3 Alternative B2: Open Second DSM Orifices
- 4 Alternative B3: Horizontal Slot For DSM
- 5 Alternative C1: Gate Slot Filler
- 6 Markup assumptions base on experience of previous 10 yrs of estimates JOOH 20%, HOOH 15%, Profit 10%, Bond 1.5%

Assumptions for costs B2 FGE Post Construction Alternative Report 2012 Preliminary Cost Estimate RLR 11/27/2012

V1

12/16/2011 Only Alt B1, B2, B3, and C will be have cost estimates

Other Alternatives not studied for cost due to unfavorable biological evaluations.

Alt A1 is Adj Louver Flow Control Device Eliminated by Matrix: Thus NO Cost Estimate

Alt A2 is Sliding Plate Flow Control Device Eliminated by Matrix: Thus NO Cost Estimate

Alt A3 is Modify VBS Perf Plates Eliminated by Matrix: Thus NO Cost Estimate

Alt A4 is Modify Turning Vane Eliminated by Matrix: Thus NO Cost Estimate

Alt B1 is Operate Main Units off 1% peak

No Construction costs ONLY Lost Power Costs for LCC

Alt B2 is Open Second DSM Orifices Alt B3 is Horizontal Slot For DSM

Alt C1 is Install Gate Slot Fillers

V2

8/1/2012 Revised TRD type for C1 w/ 25ft Ht to Gateslot Filler with 60ft height

11/27/2012 Input Contingency based on Abbreviated Risk Analyses

		B2 FGE Post Construction Preliminary Cost Estimate					<u>Crews</u> GenCrew				are link/formula	Verified						
		Prepared by: RLR	6/25/12				Labor or i	Crew or Sub-Bid		Material			Quantities	nor It	am.			
		Direct Costs Alt B2 Open Seco				Production	Labor or	Rate	L-Cr-SB	Wateriai	Mati	-	zuanililes	perm	3111		1	
cation	alts	Item	RLR Notes	Unit	Quantity	Qs/Unit	Crew	\$/Unit	Direct Cost Subtotal (Rnd)	\$/Unit	Direct Cost Subtotal (Rnd)		х	Y	z T	s	Q (product xyzts)	NOTE
	1	Mob Demob	See Light ring below	LS	-	1	See calcs	\$4,730	\$0	\$ -	\$0						0	Α
ŀ		Dewater & Prep	Included in Light Ring work					V 1,1 00		·	***						Ů	,
	2	20114101 4 1 100	Below	Hrs	64.0	1	GenCrew	\$400	\$26,000		\$0		16	4			64	В
-	3	Scaffolding Main units	Ditto	ea	-	1	See calc.	\$7.000	\$0		\$0			1			0	В
ā	4	scaffolding at fish units	Ditto	ea	-	1	See calc	\$7,000	\$0		\$0						0	В
orifice Mod Criteria	5	Demo existing orifice tube	Ditto	hr	_	1	StruCr	\$162	\$0		\$0						0	C = Col "Matl" only D = Col "L-Cr-SB" or
ΜO	6	Core drill for 13" dia Tube	Ditto	hr	24.0	1	Core	\$1,104	\$27,000		\$0		6	4			24	ditto
ice	7	Install 13" Tube	Ditto	hr	80.0	1	StruCr	\$162	\$13,000		\$0		2	10	4		80	ditto
out	8	Matl costs for new tubes	Ditto	ea	4.0	1	n/a		\$0	\$ 3,600	\$15,000		4				4	ditto
oben	9	Install New Gate	Ditto	hrs	180.0	1	MechElCr	\$194	\$35,000		\$0		1	10	18		180	ditto
o o	10	Install New Actuator	Ditto	hr	216.0	1	MechElCr	\$194	\$42,000		\$0		1	12	18		216	ditto
more	11	Matl Cost for Mech	Ditto	ea	18.0	1	n/a		\$0	\$ 10,000.00	\$180,000		18				18	ditto
//	12	Modify DSM Grating	Ditto	hr	144.0	1	StruCr	\$162	\$24,000		\$0		8	18			144	ditto
Flow	13	Redo Orifice Opening Controls HMI	Ditto	hr	-	1	Ctrl	\$51	\$0		\$0						0	ditto
Increase DSM	14	Redo Air Flush System Controls	Ditto	hr	_	1	Ctrl	\$51	\$0		\$0						0	ditto
eas	15	New SS Retainer Ring (alt 4)	from report text	ea	-	1			\$0	\$ 400.00	\$0						0	ditto
nci		Adjustments to weirs and	Assume 3 weeks of each crew				GenCrew,			.,								
			to modify for adjustment of weirs				Core,											
Alt Ab	16	to handle increased flows	or perf plates or sensors or				StruCr,											
<.			gates or controls				MechEICr,		****									
		AA II (DAA(A II)	ŭ	hr	180.0	1	Ctrl	\$1,911	\$344,000		\$0		3	60			180	ditto
	17	Malt for D/W Adjustments	Assume \$50000 per year for the			1				4 =0 000 00	\$150,000		3					n.,
-	40		3 years of work		3.0	1			\$0 \$0	\$ 50,000.00	\$150,000		3	1			3	ditto
	18 19	* Light Ding	LEDs			1			\$0		\$0			1			0	
-	19	* Light Ring	Assume trips 1 crane, 1			- '-			\$0		\$0					-	U	
			access/skiffs, 2 office/storage,															
	20		2 sm equip, 3 misc needs to be															
		Mob Demob	done 3 times (3 years)	LS	27.0	1	See calcs	\$4,730	\$128,000	\$ -	\$0		9	3			27	3% Min. A
		Dewater & Prep	Assume 5 days (10 hrs ea) 10	Lo	27.0	<u> </u>	See caics	\$4,730	\$120,000	\$ -	\$0		9	3		-	21	3% IVIIII. A
	21	Dewater & Prep	units (8 main, 2 fish)	hr		1	GenCrew	\$400	\$0		\$0		0	10	10		0	D
-		Scaffolding Main units	Assume 2 days to install 1 day	"		'	Genciew	\$400	ΨΟ		ΨΟ		U	10	10		U	ь
		Scarloiding Main units	remove (10 hr days) 8 units															
_	22		with 3 slots per unit plus 4 slots				Gen Crew											
ing Sing			at fish units	hr		1	+ SturCr	\$562	\$0		\$0		3	10	0 3		0	R
Light Ring	23	scaffolding at fish units	ditto	hr	-	1	ditto	\$562	\$0		\$0		3	10			0	B
ij		Chip Gatewell Face for flush	Assume Struc Crew 20 hrs each					7002			**			10	0		0	C = Col "Matl" only
Alt 13	24	fit, install ring, grout smooth	/ todamo di do di do mo dadi.	hr	840.0	1	StruCr	\$162	\$137,000		\$0		42	20			840	D = Col "L-Cr-SB" or
₹		Matl Struc Costs for Light ring	Matl Struc Costs from report text		0.0.0				, , , , , , , , , , , , , , , , , , , ,		, .						0.0	
	25	work	for anchors, patching, etc	ea	42.0	1	n/a		\$0	\$ 650.00	\$28,000		42				42	ditto
•		Install Power through Light	Assume 20 hrs to install,															
	26	tube	connect power, secure, test,															
			trouble shoot, transformer etc.	hr	840.0	1	MechElCr	\$194	\$163,000		\$0		42	20			840	ditto
	27	Matl costs mech Elec	From text report	ea	42.0				\$0	\$ 1,500.00	\$63,000		42				42	ditto
		Grout Old Light Tube Closes	Assume 6" dia x 6 ft each 2 per							, , , , , , , , , , , , , , , , , , , ,								
	28	3	orifice for 2.4cf per orifice at															
			150\$/cf	cf	100.8	1			\$0	\$ 150.00	\$16,000		42	2.4			100.8	ditto
	29		27.7			1			\$0		\$0			1			0	
		** Reduce Orifice Tube																
	30	Length				1			\$0		\$0						0	1
€	0.	Chip Face @ valve	Assume 10 hrs per orifice											1			-	C = Col "Matl" only
eng	31		TELEVISION OF THE POT OFFICE	hr	420.0	1	StruCr	\$162	\$69,000		\$0		42	10			420	D = Col "L-Cr-SB" or
ube Length	32	Install Structural Frame	Assume 20 hrs ea	hr	840.0	1	StruCr	\$162	\$137,000		\$0		42	20			840	ditto
Q	33	Matl cost for frame	from rpt text	ea	42.0	1	na/		\$0	\$ 700.00	\$30,000		42			T	42	ditto

B2 FGE Followon Alt Cost Estimate 100 121127.xlsx AltB2

		B2 FGE Post Construction A Preliminary Cost Estimate (GenCrew				link/formula	Verified						
			(Rounded to 1000\$)			1		0.1.5:1		Material		0.	antities p					
1/4		Direct Costs Alt B2 Open Seco				Production		rew or Sub-Bid Rate	L-Cr-SB	Materiai	Mati	QL	iantities p	per item	1			T
V1		Direct Costs Alt B2 Open Seco	ind DSM Offices			Production		Rate		<u> </u>							Q (product	
Location	alts	Item	RLR Notes	Unit	Quantity	Qs/Unit	Crew	\$/Unit	Direct Cost Subtotal (Rnd)	\$/Unit	Direct Cost Subtotal (Rnd)		х	Υ	z T	s	xyzts)	NOTE
Reduce Orifice	34	Redo Piping to Actuator	Assume 20 hrs to customize at each	hr	840.0	1	MechElCr	\$194	\$163,000		\$0		42	20			840	ditto
0		Remove Actuator Valve	Assume 4 hrs to remove & save		040.0				4 100,000		**		72	20			040	ditto
nc	35	Tromove Addador varve	ea	hr	168.0	1	MechElCr	\$194	\$33.000		\$0		42	4			168	ditto
Rec	36	Install Actuator Valve	Assume 12 hrs each	hr	504.0		MechElCr	\$194	\$98,000		\$0		42	12			504	ditto
			Assume average of \$500 per		30				400,000		**						001	ditto
Alt 12	37		Orifice	ea	42.0	1	StruCr	\$162	\$7,000	\$ 500.00	\$21,000		42				42	ditto
			Assume 120 hrs of Programmer		12.0		000	****	V-1,000	\$ 500.00	421,000							ditto
	38	redo Controlo	Assume 120 his of 1 logianime	hr	120.0	1	Ctrl	\$51	\$7,000		\$0		120				120	ditto
-		Mob Demob if not other alts		- 111	120.0	'	Out	ΨΟΊ	Ψ1,000		ΨΟ		120				120	ditto
	39	done			-	1			\$0		\$0						0	^
	40	done			-	1			\$0		\$0						0	^
	41				-				\$0		\$0						0	
		Misc Matl	Say 20% ea Matl		-				ΨΟ		ΨΟ						U	C = Col "Matl" only
	42		•	%	100,600.0	1		\$0	\$0			5	03,000	0.2			100600	D = Col "L-Cr-SB" only
	43	Misc Labor etc	Say 20%	%	290,600.0	1		\$1	\$291,000	\$ -	\$0	1,	453,000	0.2			290600	ditto
		Subtotal Direct Cost Added	\$2,348,000															
		Orifices							\$1,744,000		\$604,000							
		Dewatering Stage 1 Structure	See assumption text, next Tab "AltB2assum"		1.0	1		\$13,100,000	\$13,100,000		\$0		1				1	F
		Dewatering Stage 2 Structure	See assumption text, next Tab "AltB2assum"		1.0	1		\$13,100,000	\$13,100,000		\$0		1				1	F
		Subtotal Direct Cost Added																
		Orifices	\$28,548,000						\$27,944,000		\$604,000							
	lote: Th	nis alternative modifies orifice units curre	ently in use (42) plus the maximum num	her of a	ditional orifice	units that h	ave been drilled	hut not gated	(18) plus additio	onal units that	need to be							
		nd gated for a total of 60 working orifice:			adicional or mee	annes enacin	are been armed	. Dut Hot gateu	(10), plus dudici	onar annes enae	1							
		, g									1							
٧	/alues ir	red depict the items that are affected b	by the additional orifice units included ar	nd/or the	total quantity of	of orifice uni	ts.				1							
											1							
														1				
		Notes: In the NOTE Columns: A to D																
		denotes category of costs used in the Risk Analysis																
		"A" Denotes Mob Costs								-	1							1
		"B" Denotes Mod Costs								-	1							
		"C" Denotes Access to Work			l					L				\Box				l
		"D" Denotes Install	1															
		D Denotes install	1															

B2 FGE Followon Alt Cost Estimate 100 121127.xlsx AltB2

"F" Denotes D/W Structures

Bonneville Second Powerhouse Fish Guidance Efficiency (FGE) Program Post Construction

5/30/12

Alt B2

This Alternative is to open 2 orifices in every Gate slot. It is reported from observations that the slots with 2 orifices operating seemed to have less problems. Operating 2 orifices requires significant changes in the Downstream Migrant System, DSM.

Current DSM: The dewatering screens have the capacity to dewater flows from 465 cfs to 486 cfs. This maintains velocities on the screen within criteria. (< 0.4 fps) and Channel Velocity of 2-5 fps. However the forebay elevation can change from elev. 71.5 to 76.5 feet with a corresponding change in flow from each orifice. Each orifice, 13 inch diameter opening, has a flow of 10.4 cfs to 14.7 cfs from the low to high forebay range. Therefore the number of operating orifice is adjusted to the forebay elevation to maintain the DSM flow within the capacity of the dewatering structure. Currently there are 40 operating orifices. The dewater structure is at the maximum size that can fit at its currently location in the powerhouse structure.

In addition to the criteria on the dewatering screen, the velocities along the DSM channel, where the orifices discharge, the channel flow must be between 2 to 5 fps. At the upstream end of the channel, 60 cfs add-in water provides the beginning 2 fps. As the forebay elevation lowers, additional orifices are opened starting at the upstream end to provide more flow and even channel velocities.

Cost Assumptions.

In 1997, the B2 DSM, Orifices, and Dewatering structure were improved; See "Supplement No. 6 to Design Memorandum No. 9, Bonneville Second Powerhouse Downstream Migrant System Improvements" dated August 1997. Assume for Alternative B2, a 2 stage dewatering structure. Stage 1, would be a rebuild of the existing dewatering structure to "control" the flow fluctuations in the DSM with a *dewatering capacity range* of 209 cfs to 450 cfs and a constant 433 cfs exiting to the stage 2 dewatering structure, located outside of the powerhouse. Assume each stage would have similar costs to the 1997 rehab.

The 1997 Total Project cost, which includes construction, markups, engineering, supervision, contingency of the contract was \$10,813,000. Assume the contingency and markups represents the costs of details not yet determined in the 1997 DDR, reinforced by the experience that those estimates were commonly below (sometimes by a factor 1/2x the contract costs after P&S were developed and contractor bid on the project not to mention cost growth due to modifications during construction. Using EM-1110-2-1304, Civil Works Construction Cost Index System, the Cost Index Composite for Oct 1997 is 476.72. The index for June 2012 is 778.18 for an inflation factor of 1.63x. For a 2012 estimated cost of \$17,600,00 for each stage.

Construction ONLY.

 $$8,018,000 \times 1.63 = $13,100,000$ each stage.

B2 FGE Post Construction Alternative Report 2012

Preliminary Cost Estimate (Rounded to 1000\$)

Prepared by: RLR

Total costs with Markups from Summary sheet (for Risk Analysis)

Category for Risk Analysis Costs Alt B2 Open Second DSM Orifices

	Risk Areas	Direct Cost	Markup	Subtotal
Α	Mobilization	\$128,000	\$70,000	\$200,000
В	Access to Work	\$26,000	\$10,000	\$40,000
С	Materials	\$604,000	\$330,000	\$930,000
D	Install	\$1,590,000	\$860,000	\$2,450,000
F	Dewatering Structures	\$26,200,000	\$14,150,000	\$40,350,000
Ε	Rounding Adj		(\$20,000)	(\$70,000)
	total	\$28,548,000	\$15,400,000	\$43,900,000

Note: Line "E" Rounding Adj is to remove rounding error due to rounding subtotals up to \$10k

AltB2Sums Page 6 of 13

	B2 FGE Post Construction	Alternative Report 2012				Crews GenCrew				are link/formula	Verified							
	Preliminary Cost Estimate	(Rounded to 1000\$)									1							
	Prepared by: RLR	6/25/12				Labor or (Crew or Sub-Bid		Material			Quantities	per Ite	em				
	Direct Costs Alt B3 Horizont	al Slot for DSM			Production		Rate	L-Cr-SB		Matl						<u> </u>		
Tag t	Item	RLR Notes	Unit	Quantity	Qs/Unit	Crew	\$/Unit	Direct Cost Subtotal (Rnd)	\$/Unit	Direct Cost Subtotal (Rnd)		х	Υ	z	т	s	Q (product xyzts)	NOTE
i	Mob Demob See MII info following	Assume trips of 8hrs Rnd for 1 crane, 1 access/skiffs, 2 office/storage, 2 sm equip, 3 misc, needs to be done 3 times (3 yrs)	LS	27.0	1		\$4,731	\$128,000		\$0		9	3				27.00	A
ii	Prep & Dewater Units	Assume 5 days (10 hrs ea) 10 units	hr	500.0	1	GenCrew	\$400	\$200,000		\$0		5	10	10			500.00	В
iii	Scaffolding/work platform to work on slot area	Assume 2 days to install 1 day remove at 28 gate slots	hr	840.0	1	GenCrew+ StruCr	\$562	\$473,000		\$0		30	28				840.00	В
1	Penetration in Gate Slot u/s wall all labor, sawcutting & removal	2' x 10' opening to install weir (historical cost from 2 proj)	CF	1,120.0	1	Hist	\$75	\$84,000		\$0		2	2	10	28		1,120.00	C = Col "Mati" only D = Col "L-Cr-SB" o
2	Install Track	Assume 30 hrs ea for drilling & installing ea side, and grouting	hr	1,680.0	1	StruCre	\$162	\$273,000		\$0		30	28	2			1,680.00	ditto
	" Anchors SS 1/2" x 6.5"	Assume 22 Anchors per side. From RSM2012 05 05 23.15 adj Matl from 8.55\$ for 3/4" x 9.5 to say \$6 ea x 3.5 for SS	ea	1,232.0	1			\$0	\$ 21.00	\$26,000		22	2	28			1,232.00	ditto
	" Track SS	ea side Say angle/Pls 1/2" x 12" plus HSS 2x2x1/4 all 23 ft long plus 20 misc details	lbs	34,137.6				\$0				30.48	2		20	П	34,137.60	
3	Broad Crest weir of Polished SS	Assume 5/8" SS Plate 24" x 13' plus 30% for stiffeners, misc details, seals, etc	lbs	23,186.8				\$0	\$ 8	\$186,000		31.85	2		28		23,186.80	
	н	Assume MechElCr 8 hr to install & Fit ea crest	hr	224.0	1	MechElCr	\$194	\$44,000		\$0		8	28				224.00	ditto
	Cover in DSM over Actuator	Assume 1/4" SS plate x 2' x 10' and 4 hrs ea to install adj etc. Or 50lbs/hr	lb	5,600.0	50	StruCr	\$162	\$19,000	\$ 5.00	\$28,000		10	2	10	28		5,600.00	ditto
4	Lintel Beam Above Opening	Assume \$500 demo & 20 hr Str Crew at ea	hr	560.0		StruCr	\$162	\$91,000	,	\$0		20	28		20		560.00	
	" Demo	П	ea	56.0			\$500	\$28,000	,	\$0		2	28				56.00	
	SS Beam & Misc Matl	HSS8x4x5/8 x 3' x2 at each Place 30% misc	lb	10,264.8	1			\$0	\$ 7.00	\$72,000		47	1.3	2	3	28	10,264.80	ditto
5	Control system	Guess \$300k for Forebay sensors, 28 crest sensors & limit switches, CPU, Wiring, etc	LS	300,000.0	1		\$0.67	\$200,000	\$ 0.33	\$100,000		300,000					300,000.00	ditto
6	Remove Existing Piping, Air, Elec at existing orifices since it is in the way	Assume 40 working orifices, 24 hrs ea for MechElcr plus \$25/hr misc material to refurbish to abandon or mothball	HR	960.0	1	MechElCr	\$194	\$187,000	\$ 25.00	\$24,000		40	24				960.00	ditto
7	Remove DSM Channel floor at Adjusting Crest for bottom of elevation 54	Say chip out 5' L x 1.5'w x 3'd into floor at 6 units x 3 weirs/unit Say 10x more difficult than demo above in #1	cf	517.0	1	Hist & judg	\$750	\$388,000		\$0		517					517.00	ditto
8	New Hydraulic Power system	From discussion with Mech Eng	LS	1.0		, ,		\$0	\$80,000	\$80,000		1					1.00	
	" Fluid for system	Say \$25/gal "	gal	1,500.0	1			\$0	\$ 25.00	\$38,000		1500					1,500.00	ditto
	Actuators say 3"dia x 11' stroke	say \$5000 ea "	ea	28.0	1			\$0	\$ 5,000.00	\$140,000		28					28.00	ditto
_	Hyd Pwr System Install	Say 3 wks	hr	150.0	1	MechElCr	\$194	\$30,000		\$0		150					150.00	ditto
9	Modify deck grating in DSM	Say 4' all sides \$50/sf demo+ \$70/sf custom new matl for Labor say 10 ea loc is 0.25Hr/sf	sf	1,120.0	4	StruCr	\$162	\$46,000	\$ 120.00	\$135,000		28	40				1,120.00	ditto
10	Shroud for flow from weir into DSM	Say 1/4" t SS 10't x 6'w ea	lb	16,800.0	1			\$0	\$ 5.00	\$84,000		10	10	6	28		16,800.00	ditto

B2 FGE Followon Alt Cost Estimate 100 121127.xlsx AltB3

		B2 FGE Post Construction A	Iternative Report 2012				Crews GenCrew				Green Cells are link/formula	Verified					
		Preliminary Cost Estimate (F					ciiciew				mileronnaia	_					
			6/25/12			·	Labor or 0	Crew or Sub-Bid		Material			Quantities	ner Ite	em		
/1		Direct Costs Alt B3 Horizonta	I Slot for DSM			Production		Rate	L-Cr-SB		Matl		Quantitioo		J		
ocation	Tag to text	Item	RLR Notes	Unit	Quantity	Qs/Unit	Crew	\$/Unit	Direct Cost Subtotal (Rnd)	\$/Unit	Direct Cost Subtotal (Rnd)		x	Y	z T	Q (product s xyzts)	NOTE
		" Install	Say 20 hrs ea	hr	560.0	1	StruCr	\$162	\$91,000		\$0		28	20		560.00	ditto
	11	Commissioning	Say 1 week	hr	50.0	1	StruCr+M echElCr+ GenCr	\$756	\$38,000)	\$0		50			50.00	ditto
		Misc			28.0					\$ 1,000.00	\$28,000		28			28.00	
					-	1			\$0)	\$0 \$0					-	ditto
					-	1 1			\$0 \$0		\$0 \$0					-	
		Subtotal Direct Cost Added Orifices	\$3,500,000						\$2,320,000)	\$1,180,000						
					-	1			\$0		\$0					-	
		Subtotal Direct Cost Added Orifices	\$3,500,000		-	1			\$2,320,000		\$0 \$1,180,000					-	
		Notes: In the NOTE Columns: A to D denotes category of costs used in the Risk Analysis															
		"A" Denotes Mob Costs "B" Denotes Access to Work															
		"C" Denotes Materials "D" Denotes Install															

B2 FGE Followon Alt Cost Estimate 100 121127.xlsx AltB3

B2 FGE Post Construction Alternative Report 2012

Preliminary Cost Estimate (Rounded to 1000\$)

Prepared by: RLR

Total costs with Markups from Summary sheet (for Risk Analysis)

Category for Risk Analysis Costs Alt B3 Horizontal Slot for DSM

	Risk Areas	Direct Cost	Markup	Subtotal
Α	Mobilization	\$128,000	\$70,000	\$200,000
В	Access to Work	\$673,000	\$360,000	\$1,030,000
С	Materials	\$1,180,000	\$640,000	\$1,820,000
D	Install	\$1,519,000	\$820,000	\$2,340,000
Е	Rounding Adj		\$10,000	\$10,000
	total	\$3,500,000	\$1,900,000	\$5,400,000

Note: Line "E" Rounding Adj is to remove rounding error due to rounding subtotals up to \$10k

			<u> </u>								Green Cells	О					-		
							Crews				are	Verified							
		B2 FGE Post Construction A					GenCrew				link/formula	9							
		Preliminary Cost Estimate (
		Prepared by: RLR	08/01/12 V2				Labor or 0	Crew or Sub-Bid		Material		Q	uantities p	er Ite	m	,			,
V1		Direct Costs Alt C1 GateSlot F	iller			Production		Rate	L-Cr-SB		Mati								
Location	Tag to text	Item	RLR Notes	Unit	Quantity	Qs/Unit	Crew	\$/Unit	Direct Cost Subtotal (Rnd)	\$/Unit	Direct Cost Subtotal (Rnd)		x	Y	z	т	s	Q (product xyzts)	NOTE
	1	Mob Demob See MII info	Assume trips of 8hrs Rnd for 1 crane,																
		(altB3)	1 access/skiffs, 2 office/storage, 2 sm									1					- 1		
			equip, 3 misc, needs to be done 3																
			times (3 yrs)	LS	27.0			\$4,731	\$128,000		\$0		9	3				27.00	A
	2	Prep & Dewater Units	Assume 5 days (10 hrs ea) 10 units	hr	500.0	1	GenCrew	\$400	\$200,000		\$0		5	10	10			500.00	В
	3	Scaffolding/work platform to	Assume 1 days to install 1/2 day			•	GenCrew+												
		work on slot area	remove at 28 gate slots	hr	420.0		StruCr	\$562	\$237,000		\$0		15	28	ļ			420.00	В
					-	1			\$0		\$0				-			-	
	4	Install Track	Assume 1 hr ea for anchor bolt drilling									1							
			& installing ea side, and grouting. 9									1							V2,
			per track, 4 tracks per GateSlot									1			١				C = Col "Matl" only
				hr	2,419.2	1	StruCre	\$162	\$392,000		\$0		36	28	2.4			2,419.20	D = Col "L-Cr-SB" only
	5	" Anchors SS 1/2" x 6.5"	From RSM2012 05 05 23.15 adj Matl																V2,
			from 8.55\$ for 3/4" x 9.5 to say \$6 ea						\$0		\$51,000	1			١				C = Col "Matl" only D = Col "L-Cr-SB" only
	6	Slot filler fabrication	x 3.5 for SS	ea	2,419.2	1		ļ	\$0	\$ 21.00	\$51,000		36	28	2.4	-		2,419.20	V2.
	0	Slot filler fabrication	A36 painted steel																V2, C = Col "Mati" only
		1		lbs	767,200.0	1			\$0	\$ 3.04	\$2,333,000	1	13700	2	28		- 1		D = Col "L-Cr-SB" only
	7	Dogging	Say \$200 for dogging beam & dog		,	:			•	7	. , , ,				1				
		999	each side																C = Col "Mati" only
	_	ļ		lbs	56.0	1	guess		\$0	\$ 200	\$12,000		28	2	ļ	-		56.00	D = Col "L-Cr-SB" only
	8	Install Fillers & Move existing	Say 4 hr per Gate slot																
		cables, controls, sensers etc in		hr	28.0	1	GenCrew+ StruCr	\$562	\$16,000		\$0		28	1				00.00	C = Col "Matl" only D = Col "L-Cr-SB" only
		the slots		nr	28.0	<u> </u>	Siluci	\$302	\$16,000		\$0		28	1	-	-			C = Col "Matl" only
					_	1			\$0		\$0							-	D = Col "L-Cr-SB" only
		Misc															_		C = Col "Matl" only
					21.0	1				\$ 1,000.00	\$21,000		21					21.00	D = Col "L-Cr-SB" only
					-	1			\$0		\$0							-	
		Subtotal Direct Cost Added	\$3,390,000			1													
		Orifices				1			\$973,000		\$2,417,000								
					-	1			\$0		\$0							-	
					-	1			\$0		\$0							-	
		Subtotal Direct Cost Added				:													
		Orifices	\$3,390,000			1			\$973,000		\$2,417,000								
						!													
						:													
		Notes: In the NOTE Columns: A to D												I					
		denotes category of costs used in the				į													
		Risk Analysis				<u> </u>													
		"A" Denotes Mob Costs																	
		"B" Denotes Access to Work																	
		"C" Denotes Materials				<u> </u>													
		"D" Denotes Install																	
						<u> </u>									ļ				
						;	-					\vdash		-	-		-		
						:						\vdash		-		\vdash			
1						:		1	1	1	1			1					

82 FGE Followon Alt Cost Estimate 100 121127.xlsx AltC1

B2 FGE Post Construction Alternative Report 2012

Preliminary Cost Estimate (Rounded to 1000\$)

Prepared by: RLR

Total costs with Markups from Summary sheet (for Risk Analysis)

Category for Risk Analysis Costs Alt C1 GateSlot Filler

	Risk Areas	Direct Cost	Markup	Subtotal
Α	Mobilization	\$128,000	\$70,000	\$200,000
В	Access to Work	\$437,000	\$240,000	\$680,000
С	Materials	\$2,417,000	\$1,310,000	\$3,730,000
D	Install	\$408,000	\$220,000	\$630,000
E	Rounding Adj		(\$40,000)	(\$50,000)
	total	\$3,390,000	\$1,800,000	\$5,190,000

Note: Line "E" Rounding Adj is to remove rounding error due to rounding subtotals up to \$10k

Costs Alt C1 GateSlot Filler

Quan	tity Take-off of B2 Gate Slot Filler	An and an and an										
Mater	ial Quantity	40.8 psf	f of 1"t pla	te								
8/1/201	2											
by Rick	Russell							lbs		TONS		
	TOTAL WT 1 Side of Slot Filler							13,702		6.9		
	Description	L (ft)	W (ft)	Т	" x "	Quant.	Unit	type	Unit Wt	Subtotal WT		
	One Side of gate slot										13,702	
	Skin plate 1/2"	60	4	1	1	1	SF		20.4	4,896		0.36
	Side Plates	60	1.2	1	1	2	SF		20.4	2,938		
3	Top & Bottom plate	3.6	0.7	1	1	2	SF		20.4	103		
4	Long angles L2.5x2.5x3/8	60	1	1	1	2	LF		5.3	636		
5	Transvers Angles L4x4x 3/8	4	1	1	4	10	LF		9.8	1,568		
6	Backing bar 1x1/4 1/S-402	4	1	1	1	3	LF		1.06	13		
7	track section M-501 3/8" x 8"	60	0.67	1	1	3	SF		15.3	1,845		
8	Guide bar Support 1 L2.5 x 2.5 x 3/8	18	1	1	1	3	LF		5.3	286		
9	Guide Bar Support 2 Pl 2.5" x 3/8"	18	1	1	1	3	LF		3.19	172	_	
10										-		
11										-		
12										-	_	
13									~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	-		
14										-	-	
15					***************************************				·····	-		
16										_		
17					***************************************					-		
18										_	-	
19										_	-	
20										_		
	Misc at 10%	1	1	12,457	0.1	1	%		1	1,246	-	
22			-	,		-	SF			-		
23										_		
24											-	
											una di	
<u> </u>		1				<u> </u>				L		

Assur	nptions for costs			
B2 FG	SE Post Construction	on Alternative	e Report 20	12
Prelim	ninary Cost Estima	te		
RLR 6	6/25/12			
	Crews_	\$/hr	Cellname	NOTE
	GenCrew			GenCrew to perform Dewatering support, Scaffolding install, Demolition, General Deck Support see MII Unit cost Includes 2 oper, 3 Laborers, 1 foreman, 1 Misc pwr tools, 1 40T crane, 1 FIBd 15T truck
	Labor	277		
	Equip	123		
	Total	400	GenCrew	
	Coring Crew			Performs: Coring new orifices see MII unit cost. Includes 1 Skilled Worker, 1 Laborer, 1 drill (D20Z2800) and \$1000/hr for diamond drill bit wear
	Labor	95		
	Equip	9		
	Wear	1000		
	Total	1104	Core	
	Structural Install	ers Crew		Performs: chipping/removing concrete. Gratings, etc. See MII unit costs includes: 3 Laborers, 1 PwrTools, 1 truck (3/4Ton)
	Labor	129		
	Equip	33		
	Total	162	StruCr	
	MECH ELECTRI		LERS	MechElCr) Assumes same cost for millwright and electrician and same cost for their required equipment. Performs: Installing Valves, Actuators, SS weir, fitting, Redo Piping, sensors, power d/s "guide sheath" for water into DSM. Includes 2Millrights, 1 pwrTools, 1 truck
	Labor	149		Sub MU 15%, 10%, 10%, 0.5% excise
	Equip	45		1.40
	Total	194	MechElCr	
	Controllers Labor	49		Performs: Changing programming of controls. See Calc p. 60-8
	Equip	2		
1	Total	51	Ctrl	

Crews Page 13

Abbreviated Risk Analysis

B2 FGE Post Constr Alt B2 – Open Second DSM Orifices Alternatives Report

PDT Members

Project Management: GJM
Technical Lead: RTL
Structual Design DWP
Mechanical Design SWH
Cost Engineering: RLR
Construction: RLR

Note:

NWP Command Policy Memo 15 Personally Identifying Information on the District Internet Web Site Names of Employees should NOT be published due to privacy and security policies

Abbreviated Risk Analysis

Project (less than \$40M): B2 FGE Post Constr Alt B2 – Open Second DSM Orifices

Project Development Stage: Alternatives Report

Note: Although this Alternative is estimated greater than \$40 million, the Abbreviated Risk Analysis is used because A) this report is at the alternative comparison phase and the other alternatives (less than \$10 million each) use this method. B) due to this alternative's cost being many times greater than the others considered, the non-abbreviated risk analysis would not change the conclusions of the alternative study. If this alternative is recommended as the preferred alternative, the full Cost Schedule Risk Analysis would be done for that recommendation.

		Total Construction Contract Cost =	\$	43,900,000					
	<u>WBS</u>	Potential Risk Areas	<u>C</u>	ontract Cost		% Contingency	<u>\$</u>	Contingency	<u>Total</u>
	1								
1	06 FISH AND WILDLIFE FACILITIES	Mobilization (size, equipment dura)	\$	200,000		19%	\$	37,500 \$	237,500
2	06 FISH AND WILDLIFE FACILITIES	Access to work (d/w schaf, etc)	\$	40,000		19%	\$	7,500 \$	47,500
3	06 FISH AND WILDLIFE FACILITIES	Materials	\$	930,000		17%	\$	155,000 \$	1,085,000
4	06 FISH AND WILDLIFE FACILITIES	Install (crews, equipment, production)	\$	2,450,000		25%	\$	612,500 \$	3,062,500
5	06 FISH AND WILDLIFE FACILITIES	Dewatering Structures	\$	40,350,000		38%	\$	15,131,250 \$	55,481,250
6		Item Name	\$	-		0%	\$	- \$	-
7		Item Name	\$	-		0%	\$	- \$	-
8		Item Name	\$	-		0%	\$	- \$	-
9		Item Name	\$	-		0%	\$	- \$	-
10		Item Name	\$	-		0%	\$	- \$	-
11		Item Name	\$	-		0%	\$	- \$	-
12		Remaining Construction Items	\$	(70,000)	0.0%	0%	\$	- \$	(70,000)
13	30 PLANNING, ENGINEERING, AND DESIGN	Planning, Engineering, & Design (15%)	\$	6,590,000		25%	\$	1,647,500 \$	8,237,500
14	31 CONSTRUCTION MANAGEMENT	Construction Management (10%)	\$	4,390,000		19%	\$	823,125 \$	5,213,125
		Totals							
		Total Construction Estimate	*	43,900,000		36%	\$	15,943,750 \$	59,843,750
		Total Planning, Engineering & Design Total Construction Management		6,590,000 4,390,000		25% 19%	\$ \$	1,647,500 \$ 823,125 \$	8,237,500 5,213,125
		Total		54,880,000		34%	\$	18,414,375 \$	73,294,375

B2 FGE Post Constr Alt B2 - Open Second DSM Orifices

Alternatives Report Abbreviated Risk Analysis

Meeting Date: 7/25/2012 Risk Register Date: 11/26/2012

Risk Level

 Very Likely
 2
 3
 4
 5
 5

 Likely
 1
 2
 4
 5
 5

 Unlikely
 0
 1
 3
 3
 4

 Very Unlikely
 0
 0
 1
 2
 4

 Negligible
 Marginal
 Significant
 Critical
 Crisis

Risk Element	Potential Risk Areas	Concerns	PDT Discussions & Conclusions (Include logic & justification for choice of Likelihood & Impact)	Likelihood	Impact	Risk Level
Project Sc	cope					
PS-1	Mobilization (size, equipment dura)	2 or 3 seasons are required as modifying/adding work on 2nd orifices needs to occur during the IWWP because the JBS must be dewatered and inoperatable durign this work, and the corresponding turbine units dewatered to below the orifices.		Unlikely	Marginal	1
PS-2	Access to work (d/w schaf, etc)	Ditto	Since the work involves the JBS being off line, it will be a busy worksite during the IWWP. Access will be a limiting factor so changes in the scope could have a marginal impact on costs and are likely to happen on that scale.	LIKELY	Marginal	2
PS-3	Materials	LED lights for orifices is not yet typical for all projects, and changes during design could impact the orifice work. Dewatering structures are more set in the materials needs as several of these have been operating for several years.	It is UNLIKELY the project scope would change the cost of materials, and if they did it would have a NEGLIGIBLE effect on costs.	Unlikely	Negligible	0
PS-4	Install (crews, equipment, production)	Work is typical remod type work that has been preformed, similar to much work lately that has been performed at B2, however the work area are tight considering the amount of work in the limited IWWP time	Similar to PS-2	LIKELY	Marginal	2
PS-5	Dewatering Structures	No design work has been done concerning adding dewatering capacity.	The assumptions of the cost estimator are likely rather broad based and there could be Significant impacts in the costs.	LIKELY	Significant	4
PS-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
PS-13	Planning, Engineering, & Design (15%)	Priorities could change	Design report could start over requiring additional effort, however the process is in place to minimize this, and decision are usually made before final design effort, as cost of scope change is negligible.	LIKELY	Negligible	1
PS-14	Construction Management (10%)	Work is typical remod type work that has been preformed, similar to much work lately that has been performed at B2	Weather and coordination with others in the work area could have impacts. Change in duration would have the greatest impact and would be similar to Mob.	Unlikely	Marginal	1
Acquisitio	on Strategy		,	I		
AS-1	Mobilization (size, equipment dura)	Acquisition strategy will have not affect the contractor's mob costs/methods	It is very unlikely that mob costs would be impacted more than a negligible amount	Very Unlikely	Negligible	0
AS-2	Access to work (d/w schaf, etc)	Acquisition strategy will have not affect the contractor's costs/methods for this feature	It is very unlikely that these costs would be impacted more than a negligible amount	Very Unlikely	Negligible	0
AS-3	Materials	ditto	Material price not impacted	Unlikely	Negligible	0
AS-4	Install (crews, equipment, production)	ditto	The work is estimated to be large enough that small inexperience contractors would not be able to bid and whatever acquisition strategy will result in contractor's with adequate work forces.	Very Unlikely	Negligible	0
AS-5	Dewatering Structures	Since there is no design yet for this design build could be a cost risk since the COE probably has the greatest expereince in design these type features	It is likely the Acquistion strategy could impact the cost marginally.	LIKELY	Marginal	2
AS-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
AS-13	Planning, Engineering, & Design (15%)	Since there is no design yet for the Dewatering structures, design build could be a cost risk since the COE probably has the greatest expereince in design these type features	The tight spaces increase the difficulty and tolerances. For this element, there is a likely likelyhood with significat cost impact to this.	LIKELY	Significant	4
AS-14	Construction Management (10%)	ditto	The tight spaces increase the difficulty and tolerances. For this element, there is a Unlikely likelyhood with Negligible cost impact to this.	Unlikely	Negligible	0

Alternatives Report Abbreviated Risk Analysis

Meeting Date: 7/25/2012 Risk Register Date: 11/26/2012

Risk Level

 Very Likely
 2
 3
 4
 5
 5

 Likely
 1
 2
 4
 5
 5

 Unlikely
 0
 1
 3
 3
 4

 Very Unlikely
 0
 0
 1
 2
 4

 Negligible
 Marginal
 Significant
 Critical
 Crisis

Risk Element	Potential Risk Areas	Concerns	PDT Discussions & Conclusions (Include logic & justification for choice of Likelihood & Impact)	Likelihood	Impact	Risk Level
Construct	ion Complexity					
CC-1	Mobilization (size, equipment dura)	Normal	Good road access to the site, equipment avail in PDX area, but may need custom build platforms. Unlike to change, and if did impact marginal	Unlikely	Marginal	1
CC-2	Access to work (d/w schaf, etc)	Requires coordination of powerhouse operations, which could restrict areas of the intake deck. Potential for delays.	Access is more difficult than normal for installing (not fabrications)	Unlikely	Negligible	0
CC-3	Materials	Materials could change, but would still use standard methods for fabrication and installation.	construction methods would have negligible changes. Cost Impacts/Risk of materials changing captured in Project Scope Section	Very Unlikely	Negligible	0
CC-4	Install (crews, equipment, production)	Fabrication is typical but access in the slot is not an ordinary situation	Clever custom platforms and hoist could be an advantage lessening the impact. Judged unlikely since the site constraints are already considered in the estimate			
CC-5	Dewatering Structures	No design work has been done concerning adding dewatering capacity.	The assumptions of the cost estimator are likely rather broad based and there could be Marginal impacts in the costs.	LIKELY	Marginal	2
CC-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
CC-13	Planning, Engineering, & Design (15%)	Remodeling / remaking the dewatering structure adds a level of complixity to match with the existing	Although not as "straight forward" as design of a new struture, remodelling is typcial of this type of work	Unlikely	Negligible	0
CC-14	Construction Management (10%)	Normal, but with tight conditions and schedules considering the amount of work.	ditto, but cost impact could be marginal due to the schedule	Unlikely	Marginal	1
Volatile Co	ommodities	,	,	,		
VC-1	Mobilization (size, equipment dura)	Crane Size	Required crane (<75T) is common in the are	Very Unlikely	Negligible	0
VC-2	Access to work (d/w schaf, etc)	Custom built platforms	Common construction will be used for custom builts	Very Unlikely	Negligible	0
VC-3	Materials	Prices could increase from suppliers	Standard construction materials expected. Steel, concrete anchors. Available from many suppliers. Economic situation is not changing rapidly in last 2 years.	Unlikely	Marginal	1
VC-4	Install (crews, equipment, production)	Labor rates change?	Recent Labor rates have been stable. Trades needed are not unusual	Very Unlikely	Negligible	0
VC-5	Dewatering Structures	Uses rather specialized items (wedge wire, perplate, lots of Stainless steel)	See VC-3	Unlikely	Marginal	1
VC-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
VC-13	Planning, Engineering, & Design (15%)	n/a		Very Unlikely	Negligible	0
VC-14	Construction Management (10%)	n/a		Very Unlikely	Negligible	0

Alternatives Report Abbreviated Risk Analysis

Meeting Date: 7/25/2012 Risk Register Date: 11/26/2012

Risk Level

 Very Likely
 2
 3
 4
 5
 5

 Likely
 1
 2
 4
 5
 5

 Unlikely
 0
 1
 3
 3
 4

 Very Unlikely
 0
 0
 1
 2
 4

 Negligible
 Marginal
 Significant
 Critical
 Crisis

Risk Element		Concerns	PDT Discussions & Conclusions (Include logic & justification for choice of Likelihood & Impact)	Likelihood	Impact	Risk Level		
Quantities								
Q-1	Mobilization (size, equipment dura)	Amount of Equipment? Number of Season?(see PS-1)	Similar to previous work in the slot. If add'l season would require more mob with a marginal impact on cost	Unlikely	Marginal	11		
Q-2	Access to work (d/w schaf, etc)	N/a	Change in quantity would have little to no effect of access	Very Unlikely	Negligible	0		
Q-3	Materials	Change in quantity has direct change on cost	Unlikely that quantities would change beyond what is already captured in Project Scope section above, but would be critical is they did	Very Unlikely	Critical	2		
Q-4	Install (crews, equipment, production)	ditto	ditto	Very Unlikely	Critical	2		
Q-5	Dewatering Structures	No design work has been done concerning adding dewatering capacity.	The assumptions of the cost estimator are likely rather broad based and there could be Significant impacts in the costs.	LIKELY	Significant	4		
Q-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0		
Q-13	Planning, Engineering, & Design (15%)	Not impacted by quantities		Very Unlikely	Negligible	0		
Q-14	Construction Management (10%)	ditto		Very Unlikely	Negligible	0		
Fabrication	n & Project Installed Equipment							
FI-1	Mobilization (size, equipment dura)	Change in scope could require add'l or different equipment	different equipment would affect costs	Unlikely	Marginal	1		
FI-2	Access to work (d/w schaf, etc)	Assumes units will be dewatered by project	Bulkhead is used often by Project which will perform the dewatering. Coordination required. Change here would have critical impacts	Very Unlikely	Critical	2		
FI-3	Materials	Materials could change, but would still use standard methods for fabrication and installation.	construction methods would have negligible changes. Cost Impacts/Risk of materials changing captured in Project Scope Section	Very Unlikely	Negligible	0		
FI-4	Install (crews, equipment, production)	Fabrication is typical but access in the slot is not an ordinary situation	Clever custom platforms and hoist could be an advantage lessening the impact. Judged unlikely since the site constraints are already considered in the estimate. However if The Proj is unable to d/w Ktr use of alternate methods would have critical impact. Additionally, tolerance of existing dimension be greater than expected requiring custom fitting.	Unlikely	Critical	3		
FI-5	Dewatering Structures	Fabrication of rather special parts, but included in cost estimate	change from assumptions very unlikely, dewaterings parts mostly set	Very Unlikely	Negligible	0		
FI-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.	n/a	Very Unlikely	Negligible	0		
FI-13	Planning, Engineering, & Design (15%)	No design work has been done concerning adding dewatering capacity.	The assumptions of the cost estimator are rather broad based, But the likelyhood of changed from assumed existing d/w structures is UNLIKELY with NEGLIBLE cost impact (that has not been captured in the Quantities area)	Unlikely	Negligible	0		
FI-14	Construction Management (10%)	ditto	DITTO	Unlikely	Negligible	0		

Alternatives Report Abbreviated Risk Analysis

Meeting Date: 7/25/2012 **Risk Register Date**: 11/26/2012

Very Likely	2	3	4	5	5
Likely	1	2	4	5	5
Unlikely	0	1	3	3	4
Very Unlikely	0	0	1	2	4
	Negligible	Marginal	Significant	Critical	Crisis

Risk Element	Potential Risk Areas	Concerns	PDT Discussions & Conclusions (Include logic & justification for choice of Likelihood & Impact)	Likelihood	Impact	Risk Level
Cost Estir	mating Method					
CE-1	Mobilization (size, equipment dura)	Based on similar projects, but most concerns above would impact costs	Unrealistic to account for all elements above in cost estimate but some likely to occur	LIKELY	Marginal	2
CE-2	Access to work (d/w schaf, etc)	Assumption base of previous work, but contract may not have same experience	Ditto and Ktr could have different better ideas or be restricted by other requirements	LIKELY	Marginal	2
CE-3	Materials	Based on similar projects, but most concerns above would impact costs	Unrealistic to account for all elements above in cost estimate but some likely to occur	LIKELY	Marginal	2
CE-4	Install (crews, equipment, production)	Based on similar projects, but most concerns above would impact costs	Unrealistic to account for all elements above in cost estimate but some likely to occur	LIKELY	Marginal	2
CE-5	Dewatering Structures	ing Structures ditto ditto		LIKELY	Marginal	2
CE-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
CE-13	Planning, Engineering, & Design (15%)	Percentage basis may not capture effort correctly	Project is custom heavy construction with custom type project. Range of Costs likely greater than averages based on	LIKELY	Significant	4
CE-14	Construction Management (10%)	Percentage basis may not capture effort correctly	Project is custom heavy construction with custom type project. Range of Costs likely greater than averages based on	LIKELY	Significant	4
LAternari	Toject Kisks					
EX-1	Mobilization (size, equipment dura)	Funding Priorities, Biological Focus could change	could have large impact is focus changes. Some mention that some agencies not fully agree with this approach	Unlikely	Critical	3
EX-2	Access to work (d/w schaf, etc)	Ditto	Ditto	Unlikely	Critical	3
EX-3	Materials	Ditto	Ditto	Unlikely	Critical	3
EX-4	Install (crews, equipment, production)	Ditto	Ditto	Unlikely	Critical	3
EX-5	Dewatering Structures	Ditto	Ditto	Unlikely	Critical	3
EX-12	Remaining Construction Items			Very Unlikely	Negligible	0
EX-13	Planning, Engineering, & Design (15%)	Ditto	Ditto	Unlikely	Critical	3
EX-14	Construction Management (10%)	Ditto	Ditto	Unlikely	Critical	3

Alternatives Report Abbreviated Risk Analysis

								Potential	Risk Areas	<u> </u>					
		Mobilization (size, equipment dura)	Access to work (d/w	Materials	Install (crews, equipment, Productio	Dewatering Structures	^{Item} Name	^{Item} Name	^{nem} Name	^{hem} Name	^{Item} Nam _e	^{Item} Nam _e	Remaining Construction Item	Planning, Engineering, Design (17,0), &	Construction Management (102.)
	Project Scope	1	2	-	2	4	-	-	-	-	-	-	-	1	1
ŞĮ	Acquisition Strategy	-	-	-	-	2	-	-	-	-	-	-	-	4	-
	Construction Complexity	1	-	-	-	2	-	-	-	-	-	-	-	-	1
k Elemen	Volatile Commodities	-	-	1	-	1	-	-	-	-	-	-	-	-	-
Typical Risk Elements	Quantities	1	-	2	2	4	-	-	-	-	-	-	-	-	-
\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	Fabrication & Project Installed Equipment	1	2	-	3	-	-	-	-	-	-	-	-	-	-
	Cost Estimating Method	2	2	2	2	2	-	-	-	-	-	-	-	4	4
	External Project Risks	3	3	3	3	3	-	-	-	-	-	-	-	3	3
	Weighted Summation Weighted %	9 18.8%	9 18.8%	8 16.7%	12 25.0%	18 37.5%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	12 25.0%	9 18.8%

Abbreviated Risk Analysis

B2 FGE Post Constr Alt B3 Horizontal Slots Alternatives Report

Meeting Date: 25-Jul-12

PDT Members

Project Management:	GJM
Technical Lead:	RTL
Structural Design	DWP
Mechanical Design	SWH
Cost Engineering:	RLR
Construction:	RLR

Note:

NWP Command Policy Memo 15 Personally Identifying Information on the District Internet Web Site Names of Employees should NOT be published due to privacy and security policies

Abbreviated Risk Analysis

Project (less than \$40M): **B2 FGE Post Constr Alt B3 Horizontal Slots**

Project Development Stage: Alternatives Report

Total Construction Contract Cost = \$	5,400,000
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	<u>WBS</u>	Potential Risk Areas	Contract Cost			% Contingency		Contingency	<u>Total</u>	
	1									
1	06 FISH AND WILDLIFE FACILITIES	Mobilization (size, equipment dura)	\$	200,000		29%	\$	58,333 \$	258,333	
2		Access to work (d/w schaf, etc)	\$	1,030,000		27%	\$	278,958 \$	1,308,958	
3		Materials	\$	1,820,000		19%	\$	341,250 \$	2,161,250	
4		Install (crews, equipment, production)	\$	2,340,000		33%	\$	780,000 \$	3,120,000	
5		Item Name	\$	-		0%	\$	- \$		
6		Item Name	\$	-		0%	\$	- \$		
7		Item Name	\$	_		0%	\$	- \$	-	
8		Item Name	\$	-		0%	\$	- \$	-	
9		Item Name	\$	-		0%	\$	- \$	-	
10		Item Name	\$	-		0%	\$	- \$		
11		Item Name	\$	_		0%	\$	- \$	-	
12		Remaining Construction Items	\$	10,000	0.2%	0%	\$	- \$	10,000	
13	30 PLANNING, ENGINEERING, AND DESIGN	Planning, Engineering, & Design (15%)	\$	810,000		23%	\$	185,625 \$	995,625	
14	31 CONSTRUCTION MANAGEMENT	Construction Management (10%)	\$	540,000		25%	\$	135,000 \$	675,000	
		Totals							1	
		Total Construction Estimate		5,400,000		27%	\$	1,458,542 \$	6,858,542	
		Total Planning, Engineering & Design Total Construction Management		810,000 540,000		23% 25%	\$ \$	185,625 \$ 135,000 \$	995,625 675,000	
		Total		6,750,000		26%	\$	1,779,167 \$	8,529,167	

Alternatives Report Abbreviated Risk Analysis

Meeting Date: 7/25/2012 **Risk Register Date:** 11/27/2012

Risk Level

 Very Likely
 2
 3
 4
 5
 5

 Likely
 1
 2
 4
 5
 5

 Unlikely
 0
 1
 3
 3
 4

 Very Unlikely
 0
 0
 1
 2
 4

 Negligible
 Marginal
 Significant
 Critical
 Crisis

Risk Element	Potential Risk Areas	Concerns	PDT Discussions & Conclusions (Include logic & justification for choice of Likelihood & Impact)	Likelihood	Impact	Risk Level			
Project Sc	cone								
r roject ot	Г					1			
		2 or 3 seasons are required as modifying for Horz Slot needs to occur during							
	Mobilization (size, equipment	the IWWP because the JBS must be dewatered and inoperatable during this	Since Multi mobilizations are planned the cost impact would be marginal, and						
PS-1	dura)	work, and the corresponding turbine units dewatered to below the orifices.	it is unlikely to affect the mob as the contract can plan for 3 years on site. Since the work involves the JBS being off line, it will be a busy worksite during	Unlikely	Marginal	1			
			the IWWP. Access will be a limiting factor so changes in the scope could						
PS-2	Access to work (d/w schaf, etc)	Ditto	have a marginal impact on costs and are likely to happen on that scale.	Unlikely	Marginal	1			
DO 0	Materiala	Materials not like to be different from the work at Lower Granite which this is	It is UNLIKELY the project scope would change the cost of materials, and if	I Indiana.	Mantheta	0			
PS-3	Materials	based on. Work is typical remod type work that has been preformed, similar to much	they did it would have a NEGLIGIBLE effect on costs.	Unlikely	Negligible	0			
	Install (crews, equipment,	work lately that has been performed at B2, however the work area are tight							
PS-4	production)	considering the amount of work in the limited IWWP time, and demo required	Similar to PS-2	LIKELY	Marginal	2			
PS-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0			
	J • • • • • • • • • • • • • • • • • • •		Design report could start over requiring additional effort, however the process	Tary Crimical	11099				
	Planning, Engineering, & Design		is in place to minimize this, and decision are usually made before final design						
PS-13	(15%)	Priorities could change	effort, as cost of scope change is negligible.	LIKELY	Negligible	1			
	Construction Management	Work is typical remod type work that has been preformed, similar to much	Weather and coordination with others in the work area could have impacts. Change in duration would have the greatest impact and would be similar to						
PS-14	(10%)	work lately that has been performed at B2	Mob.	Unlikely	Marginal	1			
	on Strategy								
		8a likely which is typically smaller contractor on program to develop expertise							
A C 1	Mobilization (size, equipment dura)	for heavy construction. Methods may not be fully developed, more a learning curve to overcome, proficiency using or managing all equipment can improve.	Cost est can only adjust assuming higher end of ranges of typical costs, since IGE is to be fair and reasonable	LIKELY	Significant	4			
AS-1	duia)	curve to overcome, proficiency using of managing all equipment can improve.	IGE is to be fall and reasonable	LINELT	Significant	4			
AS-2	Access to work (d/w schaf, etc)	ditto	ditto	LIKELY	Significant	4			
AS-3	Materials	ditto	Material price not impacted by 8a	Unlikely	Negligible	0			
			Cost est can only adjust assuming higher end of ranges of typical costs, since	·					
10.4	Install (crews, equipment,	2012	IGE is to be fair and reasonable, but most cost is Matl so impact here is lessened	LUZELV	Manainal				
AS-4	production)	ditto	lessened	LIKELY	Marginal	2			
AS-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0			
·	Planning, Engineering, & Design		Design does not change due to likely Acquisition strategy unless it goes						
AS-13	(15%)	ditto	Design does not change due to likely Acquisition strategy unless it goes Design build	Very Unlikely	Negligible	0			
	1 /			. s.y c.m.c.y		Ť			
	Construction Management								
AS-14	(10%)	ditto	Effort to assist ktr could add some costs	LIKELY	Marginal	2			

Alternatives Report Abbreviated Risk Analysis

Meeting Date: 7/25/2012 **Risk Register Date:** 11/27/2012

Very Likely	2	3	4	5	5
Likely	1	2	4	5	5
Unlikely	0	1	3	3	4
Very Unlikely	0	0	1	2	4
•	Negligible	Marginal	Significant	Critical	Crisis

Risk Element	Potential Risk Areas	Concerns	PDT Discussions & Conclusions (Include logic & justification for choice of Likelihood & Impact)	Likelihood	Impact	Risk Level
	tion Complexity		(include logic & justification for choice of Likeliflood & impact)			Level
	lion complexity					
	Mobilization (size, equipment		Good road access to the site, equipment avail in PDX area, but may need			
CC-1	dura)	Normal	custom build platforms. Unlike to change, and if did impact marginal	Unlikely	Marginal	1
		Requires coordination of powerhouse operations, which could restrict areas of				
CC-2	Access to work (d/w schaf, etc)	the intake deck. Potential for delays. Diving not planned but could be used.	Access is more difficult than normal for installing (not fabrications)	Unlikely	Negligible	0
				-		
	Managara	Materials could change, but would still use standard methods for fabrication	construction methods would have negligible changes. Cost Impacts/Risk of	Manual Latter	NiP - P - I	
CC-3	Materials	and installation.	materials changing captured in Project Scope Section Clever custom platforms and hoist could be an advantage lessening the	Very Unlikely	Negligible	0
	Install (crews, equipment,	Fabrication is typical but access in the slot is not an ordinary situation. With no				
CC-4	production)	direct design being done, amount of work is subject to change	the estimate. However amount of work could impact cost marginally	Unlikely	Marginal	1
	,		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	,		
CC-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
	Planning Engineering & Design	Remodeling / remaking the slots adds a level of complexity to match with the	Although not as "straight forward" as new design, and remodeling is typical of			
CC-13	(15%)	existing	this type of work, the type of demo could have some cost impact	Unlikely	Marginal	1
			Alexander Alexander and Alexander Alexander and Alexander Alexander and			
	Construction Management					
CC-14	(10%)	Normal	Similar to many of projects at same location	Very Unlikely	Negligible	0
Volatile C	commodities	T	1			
	Mobilization (size, equipment					
VC-1	dura)	Crane Size	Required crane (<75T) is common in the are	Very Unlikely	Negligible	0
				Tany arminary		
VC-2	Access to work (d/w schaf, etc)	Custom built platforms	Common construction will be used for custom builts	Very Unlikely	Negligible	0
			Standard construction materials expected. Steel, concrete anchors. Available from many suppliers. Economic situation is not changing rapidly in last 2			
VC-3	Materials	Prices could increase from suppliers	years.	Unlikely	Marginal	1
VO 3	Waterials	1 Hood could more door norm suppliers	youro.	Offinicity	Wargina	
	Install (crews, equipment,					
VC-4	production)	Labor rates change?	Recent Labor rates have been stable. Trades needed are not unusual	Very Unlikely	Negligible	0
VC-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
VC-12	Tremaining Construction Items	ina paranoe or rounding errors vs significant digits.		very Utilikely	rvegligible	U
	Planning, Engineering, & Design					
VC-13	(15%)	n/a		Very Unlikely	Negligible	0
	Construction Management			ManufilePlat	Ni santani	
VC-14	(10%)	n/a		Very Unlikely	Negligible	0

Alternatives Report Abbreviated Risk Analysis

Meeting Date: 7/25/2012 **Risk Register Date:** 11/27/2012

Very Likely	2	3	4	5	5
Likely	1	2	4	5	5
Unlikely	0	1	3	3	4
Very Unlikely	0	0	1	2	4
	Modligible	Marginal	Cignificant	Critical	Cricic

Risk Element	Potential Risk Areas	Concerns	PDT Discussions & Conclusions (Include logic & justification for choice of Likelihood & Impact)	Likelihood	Impact	Risk Level
Quantities	1		,			
Q-1	Mobilization (size, equipment dura)	Amount of Equipment? Number of Season?(see PS-1)	Similar to previous work in the slot. If add'l season would require more mob with a marginal impact on cost	Unlikely	Marginal	1
Q-2	Access to work (d/w schaf, etc)	N/a	Change in quantity would have little to no effect of access	Very Unlikely	Negligible	0
Q-3	Materials	Change in quantity has direct change on cost	Unlikely that quantities would change beyond what is already captured in Project Scope section above, but would be critical is they did	Very Unlikely	Critical	2
Q-4 Q-5	Install (crews, equipment, production)	ditto	ditto	Very Unlikely	Critical	2
Q-5	Item Name			Very Unlikely	Negligible	0
Q-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
Q-13	Planning, Engineering, & Design (15%)	Not impacted by quantities		Very Unlikely	Negligible	0
Q-14	Construction Management (10%)	ditto		Very Unlikely	Negligible	0
Fabricatio	n & Project Installed Equipment	'	1			
FI-1	Mobilization (size, equipment dura)	Change in scope could require add'l or different equipment	different equipment would affect costs	Unlikely	Marginal	1
FI-2	Access to work (d/w schaf, etc)	Assumes units will be dewatered by project	Bulkhead is used often by Project which will perform the dewatering. Coordination required. Change here would have critical impacts	Very Unlikely	Critical	2
FI-3	Materials	Materials could change, but would still use standard methods for fabrication and installation.	construction methods would have negligible changes. Cost Impacts/Risk of materials changing captured in Project Scope Section	Very Unlikely	Negligible	0
FI-4	Install (crews, equipment, production)	Fabrication is typical but access in the slot is not an ordinary situation	Clever custom platforms and hoist could be an advantage lessening the impact. Judged unlikely since the site constraints are already considered in the estimate. However if The Proj is unable to d/w Ktr use of alternate methods would have critical impact. Additionally, tolerance of existing dimension be greater than expected requiring custom fitting.	Unlikely	Critical	3
FI-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.	n/a	Very Unlikely	Negligible	0
FI-13	Planning, Engineering, & Design (15%)	Normal	Assumptions based on rule of thumb	Unlikely	Marginal	1
FI-14	Construction Management (10%)	ditto	Safety requirement are always changing	Unlikely	Marginal	1

Alternatives Report Abbreviated Risk Analysis

Meeting Date: 7/25/2012 **Risk Register Date:** 11/27/2012

Very Likely	2	3	4	5	5
Likely	1	2	4	5	5
Unlikely	0	1	3	3	4
Very Unlikely	0	0	1	2	4
•	Negligible	Marginal	Significant	Critical	Crisis

Risk Element	Potential Risk Areas	Concerns	PDT Discussions & Conclusions (Include logic & justification for choice of Likelihood & Impact)	Likelihood	Impact	Risk Level
Cost Estir	nating Method					
CE-1	Mobilization (size, equipment dura)	Based on similar projects, but most concerns above would impact costs	Unrealistic to account for all elements above in cost estimate but some likely to occur	LIKELY	Marginal	2
CE-2	Access to work (d/w schaf, etc)	Assumption base of previous work, but contract may not have same experience	Ditto and Ktr could have different better ideas or be restricted by other requirements	LIKELY	Marginal	2
CE-3	Materials	Based on similar projects, but most concerns above would impact costs	Unrealistic to account for all elements above in cost estimate but some likely to occur	LIKELY	Marginal	2
CE-4	Install (crews, equipment, production)	Based on similar projects, but most concerns above would impact costs	Unrealistic to account for all elements above in cost estimate but some likely to occur	LIKELY	Marginal	2
CE-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
CE-13	Planning, Engineering, & Design (15%)	Percentage basis may not capture effort correctly	Project is custom heavy construction with custom type project. Range of Costs likely greater than averages based on	LIKELY	Significant	4
CE-14	Construction Management (10%)	Percentage basis may not capture effort correctly	Project is custom heavy construction with custom type project. Range of Costs likely greater than averages based on	LIKELY	Significant	4
External F	Project Risks		1			
EX-1	Mobilization (size, equipment dura)	Funding Priorities, Biological Focus could change	could have large impact is focus changes. Some mention that some agencies not fully agree with this approach	Unlikely	Crisis	4
EX-2	Access to work (d/w schaf, etc)	Ditto	Ditto	Unlikely	Crisis	4
EX-3	Materials	Ditto	Ditto	Unlikely	Crisis	4
EX-4	Install (crews, equipment, production)	Ditto	Ditto	Unlikely	Crisis	4
EX-12	Remaining Construction Items			Very Unlikely	Negligible	0
EX-13	Planning, Engineering, & Design (15%)	Ditto	Ditto	Unlikely	Crisis	4
EX-14	Construction Management (10%)	Ditto	Ditto	Unlikely	Crisis	4

Alternatives Report Abbreviated Risk Analysis

								Potential	Risk Areas	3					
		Mobilization (size, equipment dura)	Access to work low	Materials	Install (crews, equipment, Productio	^{Item} Nam _e	^{Item} Name	^{Item} Name	^{Иел} Nа _{Ме}	^{rtem} Name	^{Item} Name	^{Item} Nam _e	Remaining Construction Item	Planning, Engineering, Design (17,9, &	Construction Management (10 _% ,
	Project Scope	1	1	-	2	-	-	-	-	-	-	-	-	1	1
	Acquisition Strategy	4	4	-	2	-	-	-	-	-	-	-	-	1	2
lts	Construction Complexity	1	-	-	1	-	-	-	-	-	-	-	-	1	-
k Elemen	Volatile Commodities	-	-	1	-	-	-	-	-	-	-	-	-	-	-
Typical Risk Elements	Quantities	1	-	2	2	-	-	-	-	-	-	-	-	-	-
	Fabrication & Project Installed Equipment	1	2	-	3	-	-	-	-	-	-	-	-	1	1
	Cost Estimating Method	2	2	2	2	-	-	-	-	-	-	-	-	4	4
	External Project Risks	4	4	4	4	-	-	-	-	-	-	-	-	4	4
	Weighted Summation Weighted %		13 27.1%	9 18.8%	16 33.3%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	11 22.9%	12 25.0%

Abbreviated Risk Analysis

B2 FGE Post Constr Alt C1 Gateslot Filler Alternatives Report

Meeting Date: 25-Jul-12

PDT Members

Project Management:	GJM
Technical Lead:	RTL
Structual Design	DWP
Mechanical Design	SWH
Cost Engineering:	RLR
Construction:	RLR

Note:

NWP Command Policy Memo 15 Personally Identifying Information on the District Internet Web Site Names of Employees should NOT be published due to privacy and security policies

Abbreviated Risk Analysis

Project (less than \$40M): B2 FGE Post Constr Alt C1 Gateslot Filler

Project Development Stage: Alternatives Report

Total Construction Contract Cost = \$	5,190,000
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	<u>WBS</u>	Potential Risk Areas	Contract Cost			% Contingency	<u>\$</u>	Contingency	<u>Total</u>
1_	06 FISH AND WILDLIFE FACILITIES	Mobilization (size, equipment dura)	\$	200,000		33%	\$	66,667 \$	266,667
2		Access to work (d/w schaf, etc)	\$	680,000		25%	\$	170,000 \$	850,000
3		Materials	\$	3,730,000		27%	\$	1,010,208 \$	4,740,208
4		Install (crews, equipment, production)	\$	630,000		29%	\$	183,750 \$	813,750
5		Item Name	\$	_		0%	\$	- \$	
6		Item Name	\$	-		0%	\$	- \$	
7		Item Name	\$	-		0%	\$	- \$	_
8		Item Name	\$	-		0%	\$	- \$	_
9		Item Name	\$	-		0%	\$	- \$	_
10		Item Name	\$	-		0%	\$	- \$	
11		Item Name	\$	-		0%	\$	- \$	_
12		Remaining Construction Items	\$	(50,000)	0.0%	0%	\$	- \$	(50,000)
13	30 PLANNING, ENGINEERING, AND DESIGN	Planning, Engineering, & Design (15%)	\$	780,000		21%	\$	162,500 \$	942,500
14	31 CONSTRUCTION MANAGEMENT	Construction Management (10%)	\$	520,000		29%	\$	151,667 \$	671,667
		Totals	Φ.	5.400.000		000/	Φ.	4 400 005 *	0.000.005
		Total Construction Estimate Total Planning, Engineering & Design		5,190,000 780,000		28% 21%	\$ \$	1,430,625 \$ 162,500 \$	6,620,625 942,500
		Total Construction Management		520,000		29%	\$	151,667 \$	671,667
		Total	\$	6,490,000		27%	\$	1,744,792 \$	8,234,792

Alternatives Report Abbreviated Risk Analysis

Meeting Date: 7/25/2012 Risk Register Date: 11/27/2012

Risk Level

 Very Likely
 2
 3
 4
 5
 5

 Likely
 1
 2
 4
 5
 5

 Unlikely
 0
 1
 3
 3
 4

 Very Unlikely
 0
 0
 1
 2
 4

 Negligible
 Marginal
 Significant
 Critical
 Crisis

Risk Element	Potential Risk Areas	Concerns	PDT Discussions & Conclusions (Include logic & justification for choice of Likelihood & Impact)	Likelihood	Impact	Risk Level
Project Sc	ope					
PS-1	Mobilization (size, equipment dura)	One Season if coordinate w/ BPA	High flow or operations constraints (unplanned outage of too many units) could limit timing of dewatering units to install devices. With 18 units at Bonneville, it is unlikely to occur since there is usual extra capacity (total of ~ 300kcfs) for the powerhouses and average flows are less than ~200kcfs?. But would double mob cost if occurred with is significant impact to the element.	Unlikely	Significant	3
PS-2	Access to work (d/w schaf, etc)	Ditto	Work can occur outside of IWWP since it is the structure. Changes of Scale of work can rather independent of access, so impact to access is negligible.	Unlikely	Negligible	0
PS-3	Materials	Material used could change, Have not specifically coordinated with agencies.	If material must be stainless steel (and there seems to be lots of SS related to fish work although not needed for engineering requirements) it would significantly impact the cost or it devices become too heavy to handle on deck,	LIKELY	Significant	4
PS-4	Install (crews, equipment, production)	Work is typical remod type work that has been preformed, similar to much work lately that has been performed at B2	Weather and coordination with others in the work area could have impacts	Unlikely	Marginal	1
PS-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
PS-13	Planning, Engineering, & Design (15%)	Priorities could change	Design report could start over requiring additional effort, however the process is in place to minimize this, and decision are usually made before final design effort, as cost of scope change is negligible.	LIKELY	Negligible	1
PS-14	Construction Management (10%)	Work is typical remod type work that has been preformed, similar to much work lately that has been performed at B2	Weather and coordination with others in the work area could have impacts. Change in duration would have the greatest impact and would be similar to Mob.	Unlikely	Significant	3
Acquisitio	n Strategy					
AS-1	Mobilization (size, equipment dura)	8a likely which is typically smaller contractor on program to develop expertise for heavy construction. Methods may not be fully developed, more a learning curve to overcome, proficiency using or managing all equipment can improve.	Cost est can only adjust assuming higher end of ranges of typical costs, since IGE is to be fair and reasonable	LIKELY	Significant	4
AS-2	Access to work (d/w schaf, etc)	ditto	ditto	LIKELY	Significant	4
AS-3	Materials	ditto	Material price not impacted by 8a Cost est can only adjust assuming higher end of ranges of typical costs, since	Unlikely	Negligible	0
AS-4	Install (crews, equipment, production)	ditto	IGE is to be fair and reasonable, but most cost is Matl so impact here is lessened	LIKELY	Marginal	2
AS-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
AS-13	Planning, Engineering, & Design (15%)	ditto	Design does not change due to likely Acquisition strategy unless it goes Design build	Very Unlikely	Negligible	0
AS-14	Construction Management (10%)	ditto	Effort to assist ktr could add some costs	LIKELY	Marginal	2

Alternatives Report Abbreviated Risk Analysis

Meeting Date: 7/25/2012 Risk Register Date: 11/27/2012

Very Likely	2	3	4	5	5
Likely	1	2	4	5	5
Unlikely	0	1	3	3	4
Very Unlikely	0	0	1	2	4
	Negligible	Marginal	Significant	Critical	Crisis

Risk Element	Potential Risk Areas	Concerns	PDT Discussions & Conclusions (Include logic & justification for choice of Likelihood & Impact)	Likelihood	Impact	Risk Level
Construct	ion Complexity					
CC-1	Mobilization (size, equipment dura)	Normal	Good road access to the site, equipment avail in PDX area, but may need custom build platforms. Unlike to change, and if did impact marginal	Unlikely	Marginal	1
CC-2	Access to work (d/w schaf, etc)	Requires coordination of powerhouse operations, which could restrict areas of the intake deck. Potential for delays. Diving not planned but could be used.	Access is more difficult than normal for installing (not fabrications)	Unlikely	Negligible	0
CC-3	Materials	Materials could change, but would still use standard methods for fabrication and installation.	construction methods would have negligible changes. Cost Impacts/Risk of materials changing captured in Project Scope Section	Very Unlikely	Negligible	0
CC-4	Install (crews, equipment, production)	Fabrication is typical but access in the slot is not an ordinary situation	Clever custom platforms and hoist could be an advantage lessening the impact. Judged unlikely since the site constraints are already considered in the estimate	Unlikely	Negligible	0
CC-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
CC-13	Planning, Engineering, & Design (15%)	Normal	Standard design	Very Unlikely	Negligible	0
CC-14	Construction Management (10%)	Normal	Similar to many of projects at same location	Very Unlikely	Negligible	0
voiatile C	ommodities					
VC-1	Mobilization (size, equipment dura)	Crane Size	Required crane (<75T) is common in the are	Very Unlikely	Negligible	0
VC-2	Access to work (d/w schaf, etc)	Custom built platforms	Common construction will be used for custom builts	Very Unlikely	Negligible	0
VC-3	Materials	Prices could increase from suppliers	Standard construction materials expected. Steel, concrete anchors. Available from many suppliers. Economic situation is not changing rapidly in last 2 years.	Unlikely	Marginal	1
VC-4	Install (crews, equipment, production)	Labor rates change?	Recent Labor rates have been stable. Trades needed are not unusual	Very Unlikely	Negligible	0
VC-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
VC-13	Planning, Engineering, & Design (15%)	n/a		Very Unlikely	Negligible	0
VC-14	Construction Management (10%)	n/a		Very Unlikely	Negligible	0

Alternatives Report Abbreviated Risk Analysis

Meeting Date: 7/25/2012 **Risk Register Date**: 11/27/2012

Very Likely	2	3	4	5	5
Likely	1	2	4	5	5
Unlikely	0	1	3	3	4
Very Unlikely	0	0	1	2	4
	Negligible	Marginal	Significant	Critical	Crisis

Risk Element	Potential Risk Areas	Concerns	PDT Discussions & Conclusions (Include logic & justification for choice of Likelihood & Impact)	Likelihood	Impact	Risk Level
Quantities			,			
Q-1	Mobilization (size, equipment dura)	Amount of Equipment? One Season?(see PS-1)	Similar to previous work in the slot. If add'l season would require more mob with a marginal impact on cost	Unlikely	Marginal	1
Q-2	Access to work (d/w schaf, etc)	N/a	Change in quantity would have little to no effect of access	Very Unlikely	Negligible	0
Q-3	Materials	Change in quantity has direct change on cost	Unlikely that quantities would change beyond what is already captured in Project Scope section above, but would be critical is they did	Very Unlikely	Critical	2
Q-4	Install (crews, equipment, production)	ditto	ditto	Very Unlikely	Critical	2
Q-4 Q-5	Item Name			Very Unlikely	Negligible	0
Q-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
Q-13	Planning, Engineering, & Design (15%)	Not impacted by quantities		Very Unlikely	Negligible	0
Q-14	Construction Management (10%)	ditto		Very Unlikely	Negligible	0
Fabricatio	n & Project Installed Equipment					
FI-1	Mobilization (size, equipment dura)	Change in scope could require add'l or different equipment	different equipment would affect costs	Unlikely	Marginal	1
Fl-2	Access to work (d/w schaf, etc)	Assumes units will be dewatered by project	Bulkhead is used often by Project which will perform the dewatering. Coordination required. Change here would have critical impacts	Very Unlikely	Critical	2
FI-3	Materials	Materials could change, but would still use standard methods for fabrication and installation.	construction methods would have negligible changes. Cost Impacts/Risk of materials changing captured in Project Scope Section	Very Unlikely	Negligible	0
FI-4	Install (crews, equipment, production)	Fabrication is typical but access in the slot is not an ordinary situation	Clever custom platforms and hoist could be an advantage lessening the impact. Judged unlikely since the site constraints are already considered in the estimate. However if The Proj is unable to d/w Ktr use of alternate methods would have critical impact. Additionally, tolerance of existing dimension be greater than expected requiring custom fitting.	Unlikely	Critical	3
				-		
FI-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
FI-13	Planning, Engineering, & Design (15%)	Normal	Code updates could affect design time	Unlikely	Marginal	1
FI-14	Construction Management (10%)	ditto	Safety requirement are always changing	Unlikely	Marginal	1

Alternatives Report Abbreviated Risk Analysis

Meeting Date: 7/25/2012 **Risk Register Date**: 11/27/2012

Very Likely	2	3	4	5	5
Likely	1	2	4	5	5
Unlikely	0	1	3	3	4
Very Unlikely	0	0	1	2	4
	Modigible	Marginal	Cignificant	Critical	Cricic

Risk Element	Potential Risk Areas	Concerns	PDT Discussions & Conclusions (Include logic & justification for choice of Likelihood & Impact)	Likelihood	Impact	Risk Level
Cost Estir	nating Method					
CE-1	Mobilization (size, equipment dura)	Based on similar projects, but most concerns above would impact costs	Unrealistic to account for all elements above in cost estimate but some likely to occur	LIKELY	Marginal	2
CE-2	Access to work (d/w schaf, etc)	Assumption base of previous work, but contract may not have same experience	Ditto and Ktr could have different better ideas or be restricted by other requirements	LIKELY	Marginal	2
CE-3	Materials	Based on similar projects, but most concerns above would impact costs	Unrealistic to account for all elements above in cost estimate but some likely to occur	LIKELY	Marginal	2
CE-4	Install (crews, equipment, production)	Based on similar projects, but most concerns above would impact costs	Unrealistic to account for all elements above in cost estimate but some likely to occur	LIKELY	Marginal	2
CE-12	Remaining Construction Items	n/a balance of rounding errors vs significant digits.		Very Unlikely	Negligible	0
CE-13	Planning, Engineering, & Design (15%)	Percentage basis may not capture effort correctly	Project is custom heavy construction with custom type project. Range of Costs likely greater than averages based on	LIKELY	Significant	4
CE-14	Construction Management (10%) Project Risks	Percentage basis may not capture effort correctly	Project is custom heavy construction with custom type project. Range of Costs likely greater than averages based on	LIKELY	Significant	4
EX-1	Mobilization (size, equipment dura)	Funding Priorities, Biological Focus could change	could have large impact is focus changes. Some mention that some agencies not fully agree with this approach	Unlikely	Crisis	4
EX-2	Access to work (d/w schaf, etc)	Ditto	Ditto	Unlikely	Crisis	4
EX-3	Materials	Ditto	Ditto	Unlikely	Crisis	4
EX-4	Install (crews, equipment, production)	Ditto	Ditto	Unlikely	Crisis	4
EX-12	Remaining Construction Items			Very Unlikely	Negligible	0
EX-13	Planning, Engineering, & Design (15%)	Ditto	Ditto	Unlikely	Crisis	4
EX-14	Construction Management (10%)	Ditto	Ditto	Unlikely	Crisis	4

Alternatives Report Abbreviated Risk Analysis

								Potential	Risk Areas	3					
		Mobilization (size, equipment dura)	Access to work (div	Materials	Install (crews, Production	^{Item} Name	^{Item} Name	^{Item} Name	^{Иел}	^{rtem} Name	^{Item} Nam _e	^{Item} Nam _e	Remaining Construction Item	Planning, Engineering, Design (11,5), &	Construction Management (10%)
	Project Scope	3	-	4	1	-	-	-	-	-	-	-	-	1	3
	Acquisition Strategy	4	4	-	2	-	-	-	-	-	-	-	-	1	2
lts	Construction Complexity	1	-	-	-	-	-	-	-	-	-	-	-	-	-
k Elemen	Volatile Commodities	-	-	1	-	-	-	-	-	-	-	-	-	-	-
Typical Risk Elements	Quantities	1	-	2	2	-	-	-	-	-	-	-	-	-	-
4	Fabrication & Project Installed Equipment	1	2	-	3	-	-	-	-	-	-	-	-	1	1
	Cost Estimating Method	2	2	2	2	-	-	-	-	-	-	-	-	4	4
	External Project Risks	4	4	4	4	-	-	-	-	-	-	-	-	4	4
	Weighted Summation Weighted %		12 25.0%	13 27.1%	14 29.2%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	10 20.8%	14 29.2%

Life cycle cost Analysis B2 Fish Guidance Efficiency (FGE) Program Post Construction Alternative Study RLR 11/27/2012

CRITERIA

Requirements for Life Cycle Costs analysis is provided by ER 1110-2-8159, Life Cycle Design and Performance; and OPB Circular A-94 (revised 1992), Guidelines and Discount Rates for Benefit-Cost Analysis of Federal Programs.

Alternative B2 2nd Orifice

Assume Engineering Costs from data from Abbreviated Risk Analysis

Total Construction \$59,840,000

Construction manage \$5,210,000

for MidPt Const Cost of \$65,050,000

Planning, Eng, Design Costs \$8,240,000

O&M Assume extra O&M for the 2nd stage Dewatering Facility of 6M crew + equip = \$700/hr 80 hrs per year.

Alternative B3 Horizontal Slot

Assume Engineering Costs from data from Abbreviated Risk Analysis

Total Construction \$6,860,000

Construction manage \$680,000

for MidPt Const Cost of \$7,540,000

Planning, Eng, Design Costs \$1,000,000

Assume addl O&M of 8hr of 6M crew (\$700/hr) for each of 28 weirs ea year

Alternative C Gate slot Fillers

Assume Engineering Costs from data from Abbreviated Risk Analysis

Total Construction \$6,620,000

Construction manage \$670,000

for MidPt Const Cost of \$7,290,000

Planning, Eng, Design Costs \$940, 000

Assume Addl O&M of 6M crew (\$700/hr) to remove & place back Slot Fillers an average of 2x per year for

Work on STS, dewatering units, etc. on all 28 slots. Say 4 hrs per slot each time

Summary

B2 FGE Gate Slot Impromenent Alt	erntive Study	
RLR 11/29/2012		
AltB2 – Open Second DSM Orifice		
AltB3 – Horizontal Slot		
AltC – Gateslot Fillers		
Avera	ge Annual Life Cycle	Costs
		Factor of min.
Alternative	Expected	cost
AltB2 – Open Second DSM Orifice	\$2,304,478	5.761
AltB3 – Horizontal Slot	\$409,565	1.024
AltC – Gateslot Fillers	\$400,008	1.000
Rounded to 2 sign digits		
AltB2 – Open Second DSM Orif	\$2,300,000	5.80
AltB3 – Horizontal Slot	\$410,000	1.00
AltC - Gateslot Fillers	\$400,000	1.00

11/29/2	te Slot Impromenent Alterntive Study								
11/29/	2012								
2 – One	n Second DSM Orifice								
2 - Ope	TI Second DSIVI Offlice								
							PV	PV	PV
		Capital Cost			Inflated cost to				
		in 2012	O&M Cost in		dollars year		Present Value		
Project	Description	dollars	2012 dollars	FV Factors	expended	PV	Total Life Cycle	Capital	O&N
Year	Costs	Costs	Costs	Costs	Costs	<u>Factors</u>	Cost Stream	Cost Stream	Cost Str
100.	<u> </u>		00000	0.0000	000.0		(int.rate)	Oct Orioani	00000
							(,		
0	Engineering Costs	\$8,240,000		1.0000	\$8,240,000	1.0000	\$8,240,000	\$8,240,000	
1	3	, , , , , , , , , , , , , , , , , , , ,		1.0000	\$0	0.9804	\$0	\$0	
	MidPt Construction costs	\$65,050,000		1.0000	\$65,050,000	0.9612	\$62,524,029	\$62,524,029	
3		4 00,000,000	\$56,000	1.0000	\$56,000	0.9423	\$52,770	\$0	\$5
4			\$56,000	1.0000	\$56,000	0.9238	\$51,735	\$0	\$5
5			\$56,000	1.0000	\$56,000	0.9057	\$50,721	\$0	\$5
6			\$56,000	1.0000	\$56,000	0.8880	\$49,726	\$0	\$4 \$4
7						0.8706			
			\$56,000	1.0000	\$56,000 \$56,000		\$48,751 \$47,705	\$0 \$0	\$4
8			\$56,000	1.0000	\$56,000	0.8535	\$47,795	\$0	\$4
9			\$56,000	1.0000	\$56,000	0.8368	\$46,858	\$0	\$4
10			\$56,000	1.0000	\$56,000	0.8203	\$45,940	\$0	\$4
11			\$56,000	1.0000	\$56,000	0.8043	\$45,039	\$0	\$4
12			\$56,000	1.0000	\$56,000	0.7885	\$44,156	\$0	\$4
13			\$56,000	1.0000	\$56,000	0.7730	\$43,290	\$0	\$4
14			\$56,000	1.0000	\$56,000	0.7579	\$42,441	\$0	\$4
15			\$56,000	1.0000	\$56,000	0.7430	\$41,609	\$0	\$4
16			\$56,000	1.0000	\$56,000	0.7284	\$40,793	\$0	\$4
17			\$56,000	1.0000	\$56,000	0.7142	\$39,993	\$0	\$3
18			\$56,000	1.0000	\$56,000	0.7002	\$39,209	\$0	\$3
19			\$56,000	1.0000	\$56,000	0.6864	\$38,440	\$0	\$3
20			\$56,000	1.0000	\$56,000	0.6730	\$37,686	\$0	\$3
21			\$56,000	1.0000	\$56,000	0.6598	\$36,947	\$0	\$3
22			\$56,000	1.0000	\$56,000	0.6468	\$36,223	\$0	\$3
23			\$56,000	1.0000	\$56,000	0.6342	\$35,513	\$0	\$3
24			\$56,000	1.0000	\$56,000	0.6217	\$34,816	\$0	\$3
25			\$56,000	1.0000	\$56,000	0.6095	\$34,134	\$0	\$3
26			\$56,000	1.0000	\$56,000	0.5976	\$33,464	\$0	\$3
27			\$56,000	1.0000	\$56,000	0.5859	\$32,808	\$0	\$3
28			\$56,000	1.0000	\$56,000	0.5744	\$32,165	\$0	\$3 \$3
29			\$56,000	1.0000	\$56,000	0.5631	\$31,534	\$0	\$3
30			. ,	1.0000		0.5521			
31			\$56,000 \$56,000	1.0000	\$56,000	0.5321	\$30,916 \$30,310	\$0 \$0	\$3
					\$56,000		\$29,715		\$3
32			\$56,000	1.0000	\$56,000	0.5306	* -, -	\$0	\$2
33			\$56,000	1.0000	\$56,000	0.5202	\$29,133	\$0	\$2
34			\$56,000	1.0000	\$56,000	0.5100	\$28,562	\$0	\$2
35			\$56,000	1.0000	\$56,000	0.5000	\$28,002	\$0	\$2
36			\$56,000	1.0000	\$56,000	0.4902	\$27,452	\$0	\$2
37			\$56,000	1.0000	\$56,000	0.4806	\$26,914	\$0	\$2
38			\$56,000	1.0000	\$56,000	0.4712	\$26,386	\$0	\$2
39			\$56,000	1.0000	\$56,000	0.4619	\$25,869	\$0	\$2
40			\$56,000	1.0000	\$56,000	0.4529	\$25,362	\$0	\$2
41			\$56,000	1.0000	\$56,000	0.4440	\$24,865	\$0	\$2
42			\$56,000	1.0000	\$56,000	0.4353	\$24,377	\$0	\$2
43			\$56,000	1.0000	\$56,000	0.4268	\$23,899	\$0	\$2
44			\$56,000	1.0000	\$56,000	0.4184	\$23,430	\$0	\$2
45	-		\$56,000	1.0000	\$56,000	0.4102	\$22,971	\$0	\$2
46			\$56,000	1.0000	\$56,000	0.4022	\$22,521	\$0	\$2
47			\$56,000	1.0000	\$56,000	0.3943	\$22,079	\$0	\$2
48			\$56,000	1.0000	\$56,000	0.3865	\$21,646	\$0	\$2
49			\$56,000	1.0000	\$56,000	0.3790	\$21,222	\$0	\$2
50			\$56,000	1.0000	\$56,000	0.3715	\$20,806	\$0	\$2
		İ	,				,	,,,	
OTAL F	PRESENT VALUE COST	1					\$72,415,024	\$70,764,029	\$1,65
	Amort. Factor:					х	0.0318	0.0318	Ψ1,00
Averso	e Annual Costs					=	\$2,304,478	\$2,251,939	\$5
. vo. ay	Ciddi Goota					-	\$2,304,476 Total	Capital	Ο&I Φυ
IOTES							i Utai	Japital	Jai
		L		L					
	s all alternatives compared over same	time period (5	υ years), so if s	some have sl	norter lives, repea	it sequence			
	s to make equivalent comparison.								
ssume	Engineering Costs from data from Ab	breviated Risk	Analysis (roun	ded to \$10,0	00s)				
	onstruction costs from Abbreviated Ri	sk Analysis of (Construction co	st plus Cons	truction Managen	nent			
idPt Co									

20 50 50			П	ı	T	П			
32 FGE Gat RLR 11/29/	te Slot Impromenent Alterntive Study								
KLK 11/29/	2012								
AltB3 – Hori	izontal Slot								
							PV	PV	PV
					Cubtatal aget to				
		Capital Cost			Subtotal cost to dollars year				
		in 2012	O&M Cost in		expended (PV factor		Present Value		
Project	Description	dollars	2012 dollars	FV Factors	includes inflation)	PV	Total Life Cycle	Capital	O&M
Year	Costs	Costs	Costs	Costs	Costs	Factors	Cost Stream	Cost Stream	Cost Stream
							(int.rate)		
							,		
0	Engineering Costs	\$1,000,000		1.0000	1,000,000	1.0000	1,000,000	1,000,000	
1				1.0000		0.9804	0	0	
	MidPt Construction costs	\$7,540,000		1.0000	7,540,000	0.9612	7,247,213	7,247,213	
3			\$156,800	1.0000	156,800	0.9423	147,756	0	147,75
4			\$156,800	1.0000	156,800	0.9238	144,859	0	144,85
5			\$156,800	1.0000	156,800	0.9057	142,019	0	142,01
6			\$156,800	1.0000	156,800	0.8880	139,234	0	139,23
7			\$156,800	1.0000	,	0.8706	136,504	0	136,50
8			\$156,800	1.0000	156,800	0.8535	133,827 131,203	0	133,82
9			\$156,800	1.0000	156,800	0.8368	- ,	0	131,20
10			\$156,800 \$156,800	1.0000 1.0000	156,800 156,800	0.8203 0.8043	128,631 126,108	0	128,63 126,10
12			\$156,800	1.0000	156,800	0.6043	123,636	0	128,10
13			\$156,800	1.0000	156,800	0.7665	121,211	0	123,63
14			\$156,800	1.0000	156,800	0.7730	118,835	0	118,83
15			\$156,800	1.0000		0.7430	116,505	0	116,50
16			\$156,800	1.0000	156,800	0.7284	114,220	0	114.22
17			\$156,800	1.0000	156,800	0.7142	111,981	0	111,98
18			\$156,800	1.0000	156,800	0.7002	109,785	0	109.78
19			\$156,800	1.0000	156,800	0.6864	107,632	0	107,63
20			\$156,800	1.0000	156,800	0.6730	105,522	0	105,52
21			\$156,800	1.0000	156,800	0.6598	103,453	0	103,45
22			\$156,800	1.0000	156,800	0.6468	101,424	0	101,42
23			\$156,800	1.0000	156,800	0.6342	99,436	0	99,43
24			\$156,800	1.0000	156,800	0.6217	97,486	0	97,48
25			\$156,800	1.0000	156,800	0.6095	95,574	0	95,57
26			\$156,800	1.0000	156,800	0.5976	93,700	0	93,70
27			\$156,800	1.0000	156,800	0.5859	91,863	0	91,86
28			\$156,800	1.0000	156,800	0.5744	90,062	0	90,06
29			\$156,800	1.0000	156,800	0.5631	88,296	0	88,29
30			\$156,800	1.0000	156,800	0.5521	86,565	0	86,56
31			\$156,800	1.0000	156,800	0.5412	84,867	0	84,86
32			\$156,800	1.0000	,	0.5306	83,203	0	83,20
33			\$156,800	1.0000	156,800	0.5202	81,572	0	81,57
34			\$156,800	1.0000	156,800	0.5100	79,972	0	79,97
35			\$156,800	1.0000	156,800	0.5000	78,404	0	78,40
36			\$156,800	1.0000	156,800	0.4902	76,867	0	76,86
37			\$156,800	1.0000	156,800	0.4806	75,360	0	75,36
38			\$156,800	1.0000			73,882 72.433	0	73,88 72,43
40			\$156,800 \$156,800	1.0000 1.0000		0.4619 0.4529	72,433 71,013	0	72,43
40			\$156,800	1.0000		0.4529	69.621	0	69,62
41			\$156,800	1.0000	,	0.4440	68,256	0	68,25
43			\$156,800	1.0000		0.4353	66,917	0	66,9
43			\$156,800	1.0000		0.4266	65,605	0	65,60
45			\$156,800	1.0000		0.4104	64,319	0	64,3
46			\$156,800	1.0000		0.4022	63,058	0	63,05
47			\$156,800	1.0000		0.3943	61,821	0	61,82
48			\$156,800	1.0000		0.3865	60,609	0	60,60
49			\$156,800	1.0000		0.3790	59,421	0	59,42
50			\$156,800	1.0000		0.3715	58,256	0	58,25
			,	,,,,,	22,200		55,250		,
TOTAL F	PRESENT VALUE COST						12,869,997	8,247,213	4,622,78
	Amort. Factor:					х	0.0318	0.0318	0.031
Average	Annual Costs					=	\$409,565	262,453	147,11
							Total	Capital	O&M
								•	
1 Assumes	s all alternatives compared over same	time period (5)	0 vears) so if s	some have s	horter lives reneat s	seguence			
	s to make equivalent comparison.	ponou (o	- , ,	. J J Have 3		- 4001100			
	Engineering Costs from data from Ab	hreviated Rick	Analysis (roup	ded to \$10 0	00s)				
	onstruction costs from Abbreviated Ris					nt			
	addl O&M of 8hr of 6M crew (\$700/hr				20 Managonie				
		,	su your						
1			1	l	1	1			

Year Costs Costs Costs Costs Costs Factors Cost Stream	22 ECE C	ate Slot Impromonent Alterntice Ct.	,							
Project Description Costs Cost			/ 							
Project Description Desc	(LIC 11/2)	5/2012								
Project Description Capital Cost Costs Costs										
Project Description Capital Cost Costs Costs										
Project Description Capital Cost colors Capital Cost colors Capital Cost colors Cast Ca										
Project Description Capital Cost colors Costs Cost										
Project Description Difference Description AltC – Ga	ateslot Fillers						PV	PV	PV	
Capital Cost						Subtotal cost to				
Project			Capital Cost							
Yest						expended (PV factor		Present Value		
O Engineering Costs	Project	Description		2012 dollars	FV Factors	includes inflation)	PV	•		O&M
0. Engineering Costs \$\$40,000	<u>Year</u>	<u>Costs</u>	<u>Costs</u>	<u>Costs</u>	<u>Costs</u>	<u>Costs</u>			Cost Stream	Cost Stream
1							0.02000	(int.rate)		
1		Facility October	#0.40.000		4 0000	0.40.000	4 0000	0.40.000	0.40.000	
2 MIGPT Construction costs			\$940,000			,		,		
3			\$7.200.000							
4 S156,800 1,0000 156,800 0,9238 144,839 0 14			\$7,290,000	\$156,800						147,75
S						,				144,85
6										142,01
F						,		,		139,23
B										136,50
10								133,827	0	133,82
11				,				. ,		131,20
122										128,63
13										126,10
14								-,		123,63
15										121,21
16										118,83 116,50
17								,		114,22
18										111,98
19										109,78
20										107,63
21									0	105,52
23	21								0	103,45
24	22			\$156,800	1.0000	156,800	0.6468	101,424	0	101,42
25										99,430
26										97,480
\$156,800						,				95,57
28										93,700
\$156,800										91,863
\$156,800								,		90,06
31										86,56
\$32										84,86
33										83,20
\$156,800						,		,		81,57
\$156,800										79,97
\$156,800							0.5000		0	78,40
\$156,800	36			\$156,800	1.0000	156,800	0.4902	76,867		76,86
\$156,800	37			\$156,800	1.0000	156,800	0.4806	75,360	0	75,36
\$156,800										73,88
41										72,43
\$156,800								,		71,01
\$156,800										,
1.0000										68,25
45										66,91 65,60
46			1							64,31
47										63,05
Assume all alternatives compared over same time period (50 years), so if some have shorter lives, repeat sequence of costs to make equivalent comparison. Assume Engineering Costs from Abbreviated Risk Analysis of Construction cost plus Construction Management Assume Addl O&M of 6M crew (\$700/hr) to remove & place back Slot Fillers an average of 2x per year for 1.0000								,		61,82
\$156,800										60,60
\$156,800 \$156,800 \$156,800 \$156,800 \$0.3715 \$58,256 \$0 \$55 TOTAL PRESENT VALUE COST										59,42
Amort. Factor: Average Annual Costs = \$400,008	50			\$156,800	1.0000	156,800	0.3715	58,256	0	58,25
Amort. Factor: Average Annual Costs = \$400,008										
Average Annual Costs = \$400,008 252,897 14 Total Capital O&N Assumes all alternatives compared over same time period (50 years), so if some have shorter lives, repeat sequence of costs to make equivalent comparison. Assume Engineering Costs from Abbreviated Risk Analysis (rounded to \$10,000s) MidPt Construction costs from Abbreviated Risk Analysis of Construction cost plus Construction Management Assume Addl O&M of 6M crew (\$700/hr) to remove & place back Slot Fillers an average of 2x per year for	TOTAL									4,622,78
Assumes all alternatives compared over same time period (50 years), so if some have shorter lives, repeat sequence of costs to make equivalent comparison. Assume Engineering Costs from data from Abbreviated Risk Analysis (rounded to \$10,000s) MidPt Construction costs from Abbreviated Risk Analysis of Construction cost plus Construction Management Assume Addl O&M of 6M crew (\$700/hr) to remove & place back Slot Fillers an average of 2x per year for										0.031
Assumes all alternatives compared over same time period (50 years), so if some have shorter lives, repeat sequence of costs to make equivalent comparison. Assume Engineering Costs from data from Abbreviated Risk Analysis (rounded to \$10,000s) MidPt Construction costs from Abbreviated Risk Analysis of Construction cost plus Construction Management Assume Addl O&M of 6M crew (\$700/hr) to remove & place back Slot Fillers an average of 2x per year for	Average	e Annual Costs					=			147,11
of costs to make equivalent comparison. 2 Assume Engineering Costs from data from Abbreviated Risk Analysis (rounded to \$10,000s) 3 MidPt Construction costs from Abbreviated Risk Analysis of Construction cost plus Construction Management 4 Assume Addl O&M of 6M crew (\$700/hr) to remove & place back Slot Fillers an average of 2x per year for								Total	Capital	O&M
of costs to make equivalent comparison. 2 Assume Engineering Costs from data from Abbreviated Risk Analysis (rounded to \$10,000s) 3 MidPt Construction costs from Abbreviated Risk Analysis of Construction cost plus Construction Management 4 Assume Addl O&M of 6M crew (\$700/hr) to remove & place back Slot Fillers an average of 2x per year for										
Assume Engineering Costs from data from Abbreviated Risk Analysis (rounded to \$10,000s) MidPt Construction costs from Abbreviated Risk Analysis of Construction cost plus Construction Management Assume Addl O&M of 6M crew (\$700/hr) to remove & place back Slot Fillers an average of 2x per year for			e time period (5	0 years), so if	some have s	horter lives, repeat	sequence			
MidPt Construction costs from Abbreviated Risk Analysis of Construction cost plus Construction Management Assume Addl O&M of 6M crew (\$700/hr) to remove & place back Slot Fillers an average of 2x per year for										
Assume Addl O&M of 6M crew (\$700/hr) to remove & place back Slot Fillers an average of 2x per year for										
							nt			
						of 2x per year for				

APPENDIX F Agency Technical Review Comments

Agency Technical Review Report

Subject: Review Report for the Bonneville Second Powerhouse Fish Guidance Efficiency (FGE) Program, 90% Review, Alternatives Report, February, 2013.

1. Scope and Purpose of Review. The purpose of this review report is to document an agency technical review (ATR) for the subject report. The review was conducted for the Portland District. The primary point of contact for the District was Randall Lee, CENWP. The ATR team was lead by Elliott Stefanik, CEMVP. This work product is not a traditional feasibility study. As such, reviews haven't been conducted for traditional planning milestones (e.g. FSM, AFB). The Review Management Organization is Northwest Division.

2. References.

- a. This review report was prepared in response to EC 1165-2-214, 15 December 2012, Water Resources Policies and Authorities, CIVIL WORKS REVIEW POLICY (replaces EC 1165-2-209).
- b. Project Review Plan for subject report

3. Project Description.

This report documents the investigation and development of alternatives to improve fish guidance efficiency (FGE) for subyearling and juvenile fish survival at the Bonneville second powerhouse. Alternatives to investigate were identified and chosen via collaborative discussions with regional state and federal agencies.

Hydraulic model results indicate that an alternative that incorporates Gate Slot Fillers can significantly reduce the level of turbulence inside the gatewell potentially improving the hydraulic conditions for fish passage. Of the alternatives presented, this alternative should not impact FGE since the turbine unit can be operated in its current operating range and discharge into the gate slot would not change.

Prior to implementation on a full powerhouse scale, it is recommended that the gate slot fillers concept be installed in a limited number of gate slots. Hydraulic and biological tests are also recommended to evaluate the effectiveness of the gate slot filler on fish survival.

The hydraulics and juvenile fish passage at Bonneville are interrelated and complex. Should the evaluation of this alternative be unfavorable, it is recommended that the other alternatives identified in this report be readdressed.

4. Required Disciplines for Technical Review.

ATR disciplines were identified by NWP PDT for this study. Necessary disciplines included the following:

- ATR Team Lead
- Environmental
- Hydrology and Hydraulics
- Mechanical Engineering
- Structural Engineering
- Electrical Engineering

5. Agency Technical Review Team.

ATR Lead; Environmental – Elliott Stefanik, CEMVP – 651-290-5260, Elliott.L.Stefanik@usace.army.mil. Mr. Stefanik has 13 years experience between Rock Island and St. Paul Districts, working on all aspects of environmental planning studies. Mr. Stefanik also has served for 3 years as a Biologist, Regional Technical Specialist for MVD. Work experience has included impact assessment, mitigation planning and other activities for fisheries and floodplain resources on mid-western rivers. Elliott also worked previously for two years as a fisheries biologist for a contractor in Sacramento, Ca. Duties included impact assessment and mitigation planning for major reservoir reoperation projects in the Central Valley. Elliott has a Bachelor of Science in Biology from the University of Wisconsin, Platteville; and a Master of Science in Biology from the University of Wisconsin, La Crosse.

Hydrology and Hydraulics – Martin Ahmann PE, CENWW (509) 337-8306. <u>martin.l.ahmann@usace.army.mil</u>. Martin Ahmann is a Senior Hydraulic Engineer for the US Army Corps of Engineers' Walla-Walla District. He is a registered professional engineer with Bachelors of Science degree in civil engineering from Washington State University. Mr. Ahmann and has been involved with the hydraulic design of fish passage improvements at the USACE hydropower projects for 20 years. Mr. Ahmann's work experience includes the design of spillways and outlet structures, juvenile fish guidance and barrier screens, adult salmonids and adult lamprey passage structures, and extensive research into the design and operation of large hydropower turbines for safer fish passage.

Structural Engineer. Kent Hokens, CEMVP-EC-D, 651-290-5584 kent.d.hokens@usace.army.mil. Mr. Hokens is a registered engineer with over 28 years of structural experience on flood risk reduction, navigation, and dam safety projects, the last 6 years serving as a structural Regional Technical Specialist for MVD. He has broad based experience with most civil works structure types, reliability analysis, and soil structure interaction. He assisted HQ with establishing design criteria for the Greater New Orleans risk reduction system and currently is leading or participating in developing or revising criteria for a number of USACE

Engineer Manuals including EM 1110-2-2104, Strength Design for Reinforced-Concrete Hydraulic Structures.

Mechanical Engineer. Tim Paulus, CEMVP-EC-D, 651-290-5530 timothy.m.paulus@usace.army.mil. Mr. Paulus is a registered engineer with over 26 years of mechanical engineering support on flood risk reduction, navigation, and dam safety projects. He received a Bachelor of Science degree in Mechanical Engineering from North Dakota State University in 1986. He received a Master of Science degree in Mechanical Engineering from the University of Minnesota in 1994.

Electrical Engineer. David Kollars, CEMVP – 651-290-5607, David.H.Kollars@usace.army.mil. Mr. Kollars has 12 years experience with the USACE St. Paul & Tulsa Districts as a Senior Electrical Engineer; has 3 years experience working for Bureau of Reclamation at Grand Coulee Dam, Grand Coulee, WA as an Electrical Engineer Specialist; and 10 years experience working for the Department of the Navy at Puget Sound Naval Shipyard at Bremerton, WA as an Electrical Engineer. Experience includes developing designs for electrical equipment and systems involving power distribution, lighting, control, lightning protection, grounding and communications for locks and dams and civil flood control and Military construction projects. Mr. Kollars has a Bachelor of Science in Electrical Engineering from St. Cloud State University, St. Cloud, Minnesota.

- **6. Charge to Reviewers.** ATR Team members were provided an ATR Charge to guide the ATR process.
- **7. ATR Results.** The review was completed to the satisfaction of the ATR Team. A total of 48 comments were generated during this review. Comments by discipline included two from environmental; 38 from H&H; one from structural engineering, six from mechanical engineering, and one from electrical engineering. None were identified as critical. All comments have subsequently been closed. The full report of all comments from DrChecks is provided at **Attachment 2**.
- **8. Significant and/or Unresolved Issues.** There are no unresolved issues at this time.
- **9. ATR Completion/ATR Certification. Attachment 1** contains a completion of ATR statement and the ATR Certification.
- **10.** Portland District should coordinate with NWD, as appropriate, on any remaining issues with this report as it relates to USACE policy.

11. The ATR Team appreciates the opportunity to provide this review. Please contact me if you have any further questions.

STEFANIK.ELLIOT STEFANIKELLIOTT.L.1239639913 DN: c=US, O=U.S. Government, ou=DoD, T.L.1239639913 Ou=PKI, ou=USA, cn=STEFANIK.ELLIOTT.L.1239639913 Date: 2013.10.01 08:58:40 -05'00'

Digitally signed by

Elliott L. Stefanik ATR Team Lead St. Paul District Biologist Regional Technical Specialist Mississippi Valley Division

Attachment 1

COMPLETION OF AGENCY TECHNICAL REVIEW

CERTIFICATION OF AGENCY TECHNICAL REVIEW

COMPLETION OF AGENCY TECHNICAL REVIEW

The Agency Technical Review (ATR) has been completed for the Bonneville Second Powerhouse Fish Guidance Efficiency (FGE) Program, 90% Review, Alternative Report. The ATR was conducted as defined in the project's Review Plan to comply with the requirements of EC 1165-2-214. During the ATR, compliance with established policy principles and procedures, utilizing justified and valid assumptions, was verified. This included review of: assumptions, methods, procedures, and material used in analyses, alternatives evaluated, the appropriateness of data used and level obtained, and reasonableness of the results, including whether the product meets the customer's needs consistent with law and existing US Army Corps of Engineers policy. The ATR also assessed the District Quality Control (DQC) documentation and made the determination that the DQC activities employed appear to be appropriate and effective. All comments resulting from the ATR have been resolved and the comments have been closed in DrChecks.

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ATR Team Leader CEMVP-PD-P	Date
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.R.12311/0860 Date: 2013.10.28 14:40:34	
Review Management Office Representative	Date

CERTIFICATION OF AGENCY TECHNICAL REVIEW

Significant concerns and the explanation of the resolution are as follows:

There are no unresolved issues at this time. While no outstanding issues remain, the ATR team concurs the
report must strongly emphasize that its purpose is for a screening level tool. More detailed subsequent
planning and alternatives formulation and evaluation should be performed in the future on any aspect of
this study.

As noted above, all other concerns resulting from the ATR of the project have been fully resolved.

Chief, Engineering and Construction Division CENWP-EC	Date
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Chief, Project Management and	Date
Planning Branch	
CENWP-PM-F	

Attachment 2

DrChecks Report of All Comments

Public / SBU / FOUO

Comment Report: All Comments Project: Bonneville 2nd PH

Review: 90% FGE Alternatives Report

Displaying 51 comments for the criteria specified in this report.

IdDisciplineSection/FigurePage NumberLine Number5111027 Cost Engineeringn/an/an/a

Comment Classification: For Official Use Only (FOUO)

No Comment

Submitted By: Rick Russell ((503)808-4791). Submitted On: Mar 29 2013

1-0 Evaluation **Concurred** Okay. Thanks.

Submitted By: Randy Lee ((503) 808-4876) Submitted On: Jul 02 2013

1-1 Backcheck Recommendation Close Comment Closed without comment.

Submitted By: Randy Lee ((503) 808-4876) Submitted On: Jul 02 2013

Current Comment Status: Comment Closed

5111028 Cost Engineering n/a n/a n/a

Comment Classification: For Official Use Only (FOUO)

No Comment

Submitted By: Rick Russell ((503)808-4791). Submitted On: Mar 29 2013

1-0 Evaluation **Concurred** Okay. Thanks.

Submitted By: Randy Lee ((503) 808-4876) Submitted On: Jul 02 2013

1-1 Backcheck Recommendation Close Comment Closed without comment.

Submitted By: Randy Lee ((503) 808-4876) Submitted On: Jul 02 2013

Current Comment Status: Comment Closed

Comment Classification: Public (Public)

General - If the final biological evaluation report is available, please update fish evaluation data. The data in the 90% report is from NMFS draft report.

Submitted By: Randy Lee ((503) 808-4876). Submitted On: Apr 25 2013

Revised Jul 02 2013.

1-0 Evaluation Concurred

The Final 2012 NMFS report is available for gatewell evaluations conducted in 2008-09 and data has been updated with final report citations.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment Closed without comment

Submitted By: Randy Lee ((503) 808-4876) Submitted On: Sep 17 2013

Current Comment Status: Comment Closed

5209676 Structural n/a n/a

Comment Classification: Public (Public)

I reviewed the report from a structural engineering standpoint. The structural design for this report was extremely conceptual for the most part. Two mostly non-load bearing structures were conceptually developed for cost estimates. The conceptual structures described in the report appear appropriate. The structural concepts in the cost estimate appear reasonable for cost comparison purposes.

Submitted By: Kent Hokens (651-290-5584). Submitted On: May 31 2013

1-0 Evaluation Concurred

Agreed. Thank you for your time and effort.

Submitted By: Dennis Petross (503-808-4915) Submitted On: Aug 21 2013

1-1 Backcheck Recommendation Close Comment

No issues were noted

Submitted By: Kent Hokens (651-290-5584) Submitted On: Sep 20 2013

Current Comment Status: Comment Closed

5211597 Mechanical Paragraph 4.2.4 n/a n/a

Comment Classification: For Official Use Only (FOUO)

(Document Reference: Alternative A1)

Louver system will require some sort of shaft extending to operating deck (top of gatewell) and electrical operator. This is necessary to open and close the blades. System will need to be operated under head conditions. High flows likely to cause vibration of damper blades. Need to also look at any harmonic frequencies that could occur. Damper blades will need to be accessible for operation and maintenance. Movable blades will require bearings.

Submitted By: Timothy Paulus (651-290-5530). Submitted On: Jun 03 2013

1-0 Evaluation Concurred

Concur with all statements of the comment. If this alternative is chosen, these details will be fleshed out during the DDR phase of the project. Full calculations and analysis will be performed at that time.

Submitted By: Scott Hinnen (503-808-4978) Submitted On: Jul 22 2013

1-1 Backcheck Recommendation Close Comment comment is addressed

Submitted By: Timothy Paulus (651-290-5530) Submitted On: Sep 10 2013

Current Comment Status: Comment Closed

5211662 Mechanical Paragraph 4.3.4 n/a n/a

Comment Classification: For Official Use Only (FOUO) (Document Reference: Alternative A2 - Sliding Plates)

This design will also likely have vibration issues similar to Alternative A1. Should also look at harmonic frequencies for different flow conditions. It is not clear or discussed whether this is operated under differential head conditions. High head conditions will require large operator and operating shaft. Not recommended to install any operating equipment under water. Operating shaft and operators should be extended to top of gatewell.

Submitted By: Timothy Paulus (651-290-5530). Submitted On: Jun 03 2013

1-0 Evaluation Concurred

Concur with all statements of the comment. If this alternative is chosen, these details will be fleshed out during the DDR phase of the project. Full calculations and analysis will be performed at that time.

Submitted By: Scott Hinnen (503-808-4978) Submitted On: Jul 22 2013

1-1 Backcheck Recommendation Close Comment comment is addressed

Submitted By: Timothy Paulus (651-290-5530) Submitted On: Sep 10 2013

Current Comment Status: Comment Closed

5211665 Mechanical

Paragraph 4.4.3

n/a

n/a

Comment Classification: For Official Use Only (FOUO) (Document Reference: Alternative A3- Perforated Plates)

Means to operate and move the plates is not discussed. Same issues as A1 and A2 concerning vibration. Discuss whether this will be operated under differential head.

Submitted By: Timothy Paulus (651-290-5530). Submitted On: Jun 03 2013

1-0 Evaluation Concurred

The means of moving the plates would be developed if this alternative is chosen as the path forward, and during the DDR phase. Flow induced vibrations would be considered during that time too. As will whether this concept needs to be able to be adjusted under differential head.

Submitted By: Scott Hinnen (503-808-4978) Submitted On: Aug 15 2013

1-1 Backcheck Recommendation Close Comment comment is addressed

Submitted By: Timothy Paulus (651-290-5530) Submitted On: Sep 10 2013

Current Comment Status: Comment Closed

5211686 Mechanical

Paragraph 4.7.4

n/a

n/a

Comment Classification: For Official Use Only (FOUO) (Document Reference: Alternative B2- second DSM Orifice)

Paragraph 4.7.1 talks about this alternative requiring a gate. Paragraph 4.7.4 has no mention of the gate.

Submitted By: Timothy Paulus (651-290-5530). Submitted On: Jun 03 2013

1-0 Evaluation Concurred

A gate similar to what is installed on the current orfices will be installed on the newly opened orfices. Wording will be added to paragraph 4.7.4 to reflect this.

Submitted By: Scott Hinnen (503-808-4978) Submitted On: Aug 15 2013

1-1 Backcheck Recommendation Close Comment comment is addressed

Submitted By: Timothy Paulus (651-290-5530) Submitted On: Sep 10 2013

Current Comment Status: Comment Closed

5211704 Mechanical

Paragraph 4.8.4

n/a

n/a

Comment Classification: **For Official Use Only (FOUO)** (Document Reference: Alternative B3 Horizontal Slots)

Define the differential head pressures the cylinder will need to operate against. The hydraulic cylinder will require a hydraulic power unit to operate. Should also give an approximation for size of cylinder (stroke and bore diameter). Cylinder rod will need to be stainless steel and chromium plated. Note the location where the HPU will be installed. Install on work platform? This needs to be accessible for operation and maintenance. State whether cylinder will be submerged or not. Submerging the cylinder will require special seals. Could also design this so the cylinder is elevated and actuates a mechanical drive system. Lock and dam tainter valves have been designed this way.

Submitted By: Timothy Paulus (651-290-5530). Submitted On: Jun 03 2013

1-0 Evaluation Concurred

Concur with all statements of the comment. If this alternative is chosen, these details will be fleshed out during the DDR phase of the project. Full calculations and analysis will be performed at that time.

Submitted By: Scott Hinnen (503-808-4978) Submitted On: Jul 22 2013

1-1 Backcheck Recommendation **Close Comment** comment is addressed. The details noted in the comment have to be addressed if the alternative is chosen.

Submitted By: Timothy Paulus (651-290-5530) Submitted On: Sep 10 2013

Current Comment Status: Comment Closed

5211742 Mechanical Paragraph 4.9.4 n/a n/a

Comment Classification: For Official Use Only (FOUO) (Document Reference: Alternative C Gate Slot Fillers)

None of the lifting procedures are discussed for the lifting beams. How will this be done? Are we using the existing crane system? Will these slot fillers (bulkheads) need to be set against differential head? What about getting these out of the slots? They will need to be removed individually correct? What happens if the individual bulkheads don't release from each other? How many total of these slot fillers are we talking about? Any potential for vibration issues with this plan?

Submitted By: Timothy Paulus (651-290-5530). Submitted On: Jun 03 2013

1-0 Evaluation Concurred

Concur. Lifting procedures will be detailed in the DDR phase. Existing Intake gantry crane or TIE crane will be used to set the slot fillers. The slot fillers will not need to be set against differential head. Removing from the slots will be the opposite procedure as setting the slot fillers. Slot filler sections would be fastened or have some similar type of mechanical interlock between sections. There would be no concern about them not releasing from each other. If implemented, the slot fillers would be deployed across the

powerhouse. Any issue for vibration will be addressed during the DDR phase.

Submitted By: Scott Hinnen (503-808-4978) Submitted On: Jul 22 2013

1-1 Backcheck Recommendation Close Comment

comment is addressed

Submitted By: Timothy Paulus (651-290-5530) Submitted On: Sep 10 2013

Current Comment Status: Comment Closed

5213759 Electrical

n/a

3.4

n/a

Comment Classification: For Official Use Only (FOUO)

Page 3.4, state that new and/or modification to the electrical system will comply with the latest edition of the "National Electric Code", NFPA 70.

Submitted By: David Kollars ((651) 290-5607). Submitted On: Jun 04 2013

1-0 Evaluation Concurred

Statement added to paragraph 3.5 to state: "Any addition or modification to the electrical system will comply with the latest edition of the National Electric Code (NEC), NFPA 70."

Submitted By: Joseph Brackin ((503) 808-4922) Submitted On: Jul 15 2013

1-1 Backcheck Recommendation Close Comment

Comment incorporated into document, comment closed.

Submitted By: David Kollars ((651) 290-5607) Submitted On: Sep 18 2013

Current Comment Status: Comment Closed

5219948 Hydraulics

n/a

General

n/a

Comment Classification: For Official Use Only (FOUO)

The report is very well put together, the analyses was thorough and the recommendations seem appropriate.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 07 2013

1-0 Evaluation Concurred

Thanks.

Submitted By: Randy Lee ((503) 808-4876) Submitted On: Jul 02 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

5219967 Hydraulics

n/a

General

n/a

Comment Classification: For Official Use Only (FOUO)

[This item is flagged as a critical issue.]

The report should discuss potential operations without screens. The TSP has been tasked with identifying improved turbine operations. The report should discuss the possibility of operating turbines within the optimum turbine operating range. Or discuss why this is not a viable alternative. There is indication that with improved unit operations and Project operations turbine survival could be as high or higher than bypass survival. Turbine survival studies structured to test the optimum turbine/Project operations should be recommened.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 07 2013

Revised Jun 07 2013.

1-0 Evaluation Non-concurred

This concern is valid but not for this report.

This investigation is not in the FGE PDT scope. The FGE PDT was formed to address RPA 18. Benefits of turbine operation without screened bypass should be addressed in another forum. The Objective is defined in Section 1.2 - ...the biological goal is to improve hydraulic conditions in the gatewell without compromising the existing fish guidance efficiency capability.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment

The report should then clearly discuss and exlpain why operations without screens is not being considered as an alternative. As you mention above it is a valid concern and should not be dismissed without some explanation.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5220088 Hydraulics 1.3 Background 1-1 n/a

Comment Classification: For Official Use Only (FOUO)

The 1% turbine operation is discussed throughout the document. A thorough explanation of the 1% operations should be provided; a figure showing the 1 percent operating range should also be included. A brief discussion of how/why the 1% criteria was developed should be include, this discussion should also mention that turbine efficiency does not necessarily coorrelate to best survival, Ref. Skalski Report. I believe this report was written in 2000 or 2002. Should also reference TSP phase 1 report and the current BIT draft report.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 07 2013

Text added in section 1.3 -

A detailed description of the lower, middle, and upper 1% turbine operating efficiency range can be found in the USACE TSP Phase I and II Biological Index Testing (BIT) Reports as well as the current Fish Passage Plan.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5220106 Hydraulics

1.3 Background

1-1

n/a

Comment Classification: For Official Use Only (FOUO)

Suggest a simple explanation of how increased turbine flows result in increased gate well flows be presented early in the report for readers unfamiliar with the intake screen systems. A figure clearly showing all relevant existing features should also be referenced/provided early in the report. This type of information could be provided in the Background paragraph or in an Introduction paragraph.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 07 2013

1-0 Evaluation Concurred

Concur. Will add text and figure(s), most likely in the Background section.

Submitted By: Randy Lee ((503) 808-4876) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5220116 Hydraulics

2.2 Gatewell Conditions Issues

Post-FGE

2-1

n/a

Comment Classification: For Official Use Only (FOUO)

This paragraph talks about "FGE modified" units. Were all B2 units "FGE modified"? If not the units modified should be identified, if all units were modified it should be clearly noted. How does descaling of an FGE modified unit compare to a non-FGE modified unit?

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 07 2013

All units have been modified. The "FGE modified" has been elminated from the text.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 12 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5220123 Hydraulics 2.2.2 Gatewell Orifice Passage

2-2

n/a

3220123 Hydraulies

Efficiency Testing

Comment Classification: For Official Use Only (FOUO)

Table 2-1 References the 2008 study. How were the fish released into the gate-well? Were fish not recaptured eliminated from the analyis or was there a "mortality" factor assigned to fish not recaptured? Were the recaptured fish not evaluated for descaling? If not why not and if they were, hat were the descaling rates for the different operating conditions and why were they not presented?

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 07 2013

1-0 Evaluation Concurred

See updated text in section 2.2.2. Study methods have been included.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5220132 Hydraulics

2.2.2 Gatewell Orifice Passage
Efficiency Testing

2-3

n/a

Comment Classification: For Official Use Only (FOUO)

Table 2-2 2009 tests. How and where were the test fish released? Were they released the same as in 2008 test of unit 12A? The document states they were released in the "same fashion" was it in fact identical? Why the big difference between the 2009 and 2008 studies? Recapture rates were significantly lower and mortality rates significantly higher in 2008. Are the Unit 12 and Unit 14 FGE modifications identical? The differences raise concern for the test methods and validity of the 2008 data, please address this issue. I recognize the A slots were selected as they are the higher flow slots and "worst case scenario" this should be explained to the unfamiliar reader.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 07 2013

Revised Jun 07 2013.

1-0 Evaluation Concurred

See updated text in section 2.2.1 and 2.2.2. Discussion of test results and differences between Spring Creek and run-of-river juvenile chinook have been included along with the rationale for selecting the A slot for testing.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5220135 Hydraulics Table 2-3 2-4 n/a

Comment Classification: For Official Use Only (FOUO)

2nd sentence 1st paragraph below table, change "OPE increased..." to "OPE decreased..."

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 07 2013

1-0 Evaluation Concurred

Change made.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 12 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5220141 Hydraulics

2.3.3 CFD Modeling for Baseline
Conditions

2-8

n/a

Comment Classification: For Official Use Only (FOUO)

Please explain how the CFD model was calibrated and validated? What was it calibrated against and how were the results validated?

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 07 2013

1-0 Evaluation Concurred

The text in section 2.3.2 was modified to read:

The development of the CFD model is described in Appendix C. The VBS was modeled with porous baffles and parameters describing the porosity were established by calibrating the CFD model results to the 1:12 physical model data. CFD model results with different boundary conditions were than compared to additional physical model data

and prototype data.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 09 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5220147 Hydraulics

2.3.3 CFD Modeling for Baseline Conditions

2-8 n/a

Comment Classification: For Official Use Only (FOUO)

The document should provide greater discussion on the inability of CFD to model transient flows. It should also discuss the potential impact/influence of transient flowfows on fish and how each alterantive may alter/increase/decrease trasient flow conditions. Gatewell turbulence, which is assumed to be responsible for fish injury is certainly tied to transient flows and should be addressed in more depth.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 07 2013

1-0 Evaluation Non-concurred

In the main report the document state that this is a steady state model, Section 2.3.1. Appendix C has additional discussion on transient nature of the gate well environment.

The only viable tool to evaluate the transients is the prototype, thus more physical model data was collected. The physical model used to design the VBS was a single intake 1:12 model. The transient nature of the gate well wasn't represented in that model. The 1:25 turbine model would be a candidate but in looking at scaling laws a 1:12 to 1:8 scale model is needed.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 09 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5220151 Hydraulics

3.3.1 Assumptions and Evaluation
Criteria

3.2 n/a

Comment Classification: For Official Use Only (FOUO)

3rd bullet - An assumption should be made that recognizes the inability to CFD model transient flows. The assumption might be that improvements in the steady state cfd model that address the 4 sub-bullets will also reduce transient flows which may be the source of or contibute to increased injury.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 07 2013

Revised Jun 10 2013.

1-0 Evaluation Concurred

An additional bullet as been added to this section that reads:

• Improvements identified in the steady state CFD model will correlate to improvements in the prototype which is dynamic in nature (transients). Exact benefits will not be quantified from the CFD model but trends would be similar between the model and the prototype.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 09 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5220876 Environmental n/a n/a n/a

Comment Classification: For Official Use Only (FOUO)

Concern: The report doesn't discuss role of environmental requirements or environmental documentation.

Basis for Concern: Construction or modification of the project would likely include the need for coordination and documentation to fulfill several requirements, potentially including NEPA, CWA, ESA, FWCA and others.

Significance: Minor, as long as these requirements are fulfilled prior to action being taken.

Recommendation: Include a section in the report to document when and how these environmental requirements would be fulfilled.

Submitted By: Elliott Stefanik (651-290-5260). Submitted On: Jun 10 2013

Revised Jun 10 2013.

1-0 Evaluation Concurred

A section in the Design Documentation Report (DDR) will address coordination and documentation of NEPA, CWA, ESA, FWCA and/or other environmental requirements as deemed necessary and appropriate. At this time, it is not definitive if actual construction will be required. For example, if it is determined the balancing the screens or other adjustments to existing features addresses the problem, no further action will be required.

Submitted on behalf of George Medina, Project Manager

Submitted By: <u>Randy Lee</u> ((503) 808-4876) Submitted On: Aug 23 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Elliott Stefanik (651-290-5260) Submitted On: Sep 04 2013

Current Comment Status: Comment Closed

5220890 Planning - Plan Formulation n/a n/a n/a

Comment Classification: For Official Use Only (FOUO)

Concern: It is unclear what exactly this project and report is within the USACE planning process. This appears to be a modification of an existing project, but is it a part of typical O&M? Major Rehab? Is it being done as a part of a BO under ESA? Is the action being done under existing authorization and within existing O&M budget, or would it require special funding? Is the action approved at the District Level? MSC? Headquarters?

Basis for Concern: Depending on the specific action and function of the report results in different requirements under EC 1165-2-214 and other requirements (e.g., 1105-2-100, planning model certification requirements, and others)

Significance: Depending on the role of the report. If this is intended to be a full planning study then there are other planning requirments that need to be fulfilled.

Recommendation: Within Section 1, please explain the purpose of the report in terms of the action, and its role as a decision document. Do so in a way that addresses the concern and ensures compliance with 1165-2-214.

Submitted By: Elliott Stefanik (651-290-5260). Submitted On: Jun 10 2013

Revised Jun 10 2013.

1-0 Evaluation Concurred

The following will be inserted into the report: This project is funded through the Columbia River Fish Mitigation (CRFM) Program and is executed under the following authorizations; 1937 Bonneville Project Act; 1995 Energy and Water Development Appropriation Bill; WRDA 1999, Section 582; Federal Columbia River Power System (FCRPS) 2008 Biological Opinion (BiOp) and 2010 Supplemental BiOp. The authorizations and the CRFM program directed the Corps of Engineers to use appropriations to aggressively improve effectiveness and efficiency of the fish bypass systems, reduce mortality by predation and enhance passage conditions.

In 1999, regional fisheries and the USACE agreed to improve fish guidance and survival at Bonneville PH2 by maximizing flow up the turbine intake gatewell to improve fish guidance efficiency. The modifications were completed in 2008. However, post-construction biologic studies identified high numbers of fish de-scaling and mortality. This engineering design report summarizes post-construction studies and evaluation of alternative to address what is thought to be either a potential design deficiency or poor execution of the intended design.

This is not a full planning study for Major Rehab or part of a typical O&M.

The response is submitted on behalf of George Medina, Project Manager.

Submitted By: <u>Randy Lee</u> ((503) 808-4876) Submitted On: Aug 23 2013

1-1 Backcheck Recommendation Close Comment

Ensure vertical team concurrence with the role of this document.

Submitted By: Elliott Stefanik (651-290-5260) Submitted On: Sep 04 2013

Current Comment Status: Comment Closed

5221033 Hydraulics

3.3.2.2 Vertical Barrier Screens

3-3

n/a

Comment Classification: For Official Use Only (FOUO)

Last sentence is confusing. "If flow vane is used..." Is there an alternative not to use the flow vane and an alternative to replace the VBS? This paragraph implies there is an alternative to replace the VBSs if flow vanes are used, and it appears the flow vanes will be used for all of the alternatives identified.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

Text rewritten. Deleted reference to flow vane.

Submitted By: Randy Lee ((503) 808-4876) Submitted On: Jul 30 2013

1-1 Backcheck Recommendation Close Comment Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221044 Hydraulics

3.3.3 Downstream Migrant
System

3-3

n/a

Comment Classification: For Official Use Only (FOUO)

The definition of "plate velocity" is unclear. One might assume "plate velocity" refers to average velocity through the orifice; this term should be clearly defined. 10 fps is shown as a minimum velocity, is there a maximum limit as well?

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

Revised Jun 10 2013.

1-0 Evaluation Concurred

A footnote has been added to clarify. We are not aware of a maximum limit.

Submitted By: Randy Lee ((503) 808-4876) Submitted On: Jul 30 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221114 Hydraulics

3.7.2 Turbine Energy Analysis

3-5

n/a

Comment Classification: For Official Use Only (FOUO)

First Bullet - Why was the POR limited to 1978? It would seem a POR post ~1975 would be more appropriate as many projects within the Columbia River Hydropower system were completed in the 1970's. the data from 1978 to present is available, why not use it.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

For this exercise an existing model (TEAM) devleoped in the 1980's was used. At that time, the data was considered current. Using additional data could be done. But, at this point, it would be potentially costly to modify the model and it is not expected to show significant changes in the results.

If this alternative moves forward, the model could be updated with the general sentiment that there is more data we could be using.

Submitted By: Randy Lee ((503) 808-4876) Submitted On: Aug 21 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Comment Classification: For Official Use Only (FOUO)

The A1 and A2 alternatives would seem to be significantly different in principle and would have significantly different effect on the gatewell flow conditions. The louvers would not act simply as a flow control device but would also influence gate well flow conditions significantly different than the sliding plate. Consider revising the explanation as to why A2 was not CFD modeled, or otherwise explain the potential influence of the louvers on gatewell flow patterns and through VBS flow distribution as compared to the plate closure device.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

Text modified:

Alternative A1 was not prioritized for simulation in the CFD model. Alternative A2 – Sliding Plate Flow Control Device was modeled as a flow control device and is presented in section 4.3.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 09 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221151 Hydraulics

4.2.5 Fisheries Considerations

4-4

n/a

Comment Classification: For Official Use Only (FOUO)

The flow control devices may not only have a negative effect on FGE, but may also result in increased through screen velocities of the STSs. The higher through screen velocity may increase risk of injury/descaling to juveniles. In addition restricting gatewell flow with a flow control device will increase gap flow and may force more fish through the gap above the STS, shear forces and impacts through gap passage will also increase potential for injury. This should be clearly discussed.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

Added text to end of 4.2.5 - A thorough biological analysis will occur in further investigations if this alternative is selected for prototype evaluation.

For information only - Portland District is considering collecting prototype data along the STS to better address this concern.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221155 Hydraulics

4.3.2.2 CFD Model Results

4-8

n/a

Comment Classification: For Official Use Only (FOUO)

CFD clearly shows increased velocities through the STSs and the gap above the STSs. These higher velocities and corresponding increased risk to juveniles should be thoroughly discussed.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

Added text to 4.3.5 - A thorough biological analysis will occur in further investigations if this alternative is selected for prototype evaluation

For information only - Portland District is considering prototype data collection along the STS to better address this concern.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment

I believe a brief discussion of the negative biological impacts should be added susch as "CFD clearly shows increased velocities through the STSs and the gap above the STSs. These higher velocities may increase risk of injury to juvenile fish passing this region. A thorough biological analysis will occur in further investigations if this alternative is selected for prototype evaluation."

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221158 Hydraulics

4.3.5 Fisheries Considerations.

4-10

n/a

Comment Classification: For Official Use Only (FOUO)

See previous comments regarding increased risk of injury juveniles resulting from higher STS and gap flow velocities.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

Added text to 4.3.5 - A thorough biological analysis will occur in further investigations if this alternative is selected for prototype evaluation

For information only - Portland District is considering collecting prototype data along the STS to better address this concern.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment

The increased risk of injury on the STS and through the gaps should be mentioned and considered when selecting the alternatives for prototyp evaluation. The readers should therefore be informed of this increased risk.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221168 Hydraulics

4.4 Alternative A3 Modify VBS Perforated Plates.

4-10

n/a

Comment Classification: For Official Use Only (FOUO)

Was replacement of the existing perf plate with a more constricted perf plate configuration considered? This would seem a "cleaner" solution than adding another sliding perf plate. There should be a brief discussion explaining why a second adjustable perf plate was considered rather than replacement.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation For Information Only

If this alternative moves forward different ways accomplishing the tasks will be investigated.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 09 2013

1-1 Backcheck Recommendation Close Comment Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221177 Hydraulics

4.4.4 Fisheries Consideration.

4-12

n/a

Comment Classification: For Official Use Only (FOUO)

The resticted perf plate Alternative will also result in lower FGE and potential for increased injury on STS and gap passage. This should be discussed.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

Text added to 4.4.4 - A thorough biological analysis will occur in further investigations if this alternative is selected for prototype evaluation.

For information only - Portland District is considering prototype data collection along the STS to better address this concern.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment

It would seem appropriate to document the potential impacts (like reduced FGE) of the alternatives so that it can be discussed and considered prior to selecting an alternative for prototype testing.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221183 Hydraulics

4.5.5 Fisheries Consideration.

4-12

n/a

Comment Classification: For Official Use Only (FOUO)

Should also discuss the risk of injury to fish passing through the high velocity region within the gap area. Fish could easily be injured or descaled on the tip of the sts and impacted on the corner of the gatewell ledger beam/gap closure device, the high shear forces in this regions could also cause severe injury. This increased rate of injury/mortality unfortunately would be assigned to turbine passage yielding a bias in turbine survival estimates.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

Text has been modified and a sentence added at the end of 4.5.5 - A thorough biological analysis will occur in further investigations if this alternative is selected for prototype evaluation.

For information only - Portland District is considering collecting prototype data along the STS to better address this concern.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment see previous comments.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221194 Hydraulics

4.5.5 Fisheries Consideration.

4-15

n/a

Comment Classification: For Official Use Only (FOUO)

Should also discuss the risk of injury to fish passing through the high velocity region within the gap area. Fish could easily be injured or descaled on the tip of the sts and impacted on the corner of the gatewell ledger beam/gap closure device, the high shear forces in this regions could also cause severe injury. This increased rate of injury/mortality unfortunately would be assigned to turbine passage yielding a bias in turbine survival estimates.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

Revised Jun 10 2013.

Text has been modified and a sentence added at the end of 4.5.5 - A thorough biological analysis will occur in further investigations if this alternative is selected for prototype evaluation.

For information only - Portland District is considering collecting prototype data along the STS to better address this concern.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221196 Hydraulics 4.6 Alternative B1 4-15 n/a

Comment Classification: For Official Use Only (FOUO)

Hydraulic conditions of the turbine should be discussed. Preliminary B2 turbine model investigations indicate improved turbine passage conditions at higher unit flows, operating the turbine units at lower discharges could increase risk of injury to juveniles when compared to higher unit operations. Reference trip report from Gary Fredericks of NOAA. The potential impacts to turbine passed fish should be discussed

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

Revised Jun 10 2013.

1-0 Evaluation Concurred

Text modified to:

Alternative B1 involves reducing the gatewell flow by operating the Bonneville PH2 main units off the 1% peak operating range (lower to mid 1% or 12,000 to 15,000 ft3/s, respectively) to improve fish survival. In spring during the 2008 juvenile fish passage season, SCNFH released hatchery subyearlings over a period of 3 months (March-May). Biological testing conducted by NOAA (spring 2008-citation) suggests that SCNFH subyearlings passing through the gatewell are incurring high mortality and descaling when turbine units were operated at the upper 1% range; thus, the reduced unit flows are expected to improve hydraulic conditions for fish passage through the gatewell. Typical unit flow for this operation would be approximately 12,000 to 15,000 ft3/s. Survival through the turbines is higher when the unit is operated in the mid to upper 1% range.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 12 2013

1-1 Backcheck Recommendation Close Comment Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

TSP program reference and turbine survival addressed in section 4.6.5.

Text updated in 4.6 to read -

Alternative B1 involves reducing the gatewell flow by operating the Bonneville PH2 main units off the 1% peak operating range (lower to mid 1% or 12,000 to 15,000 ft3/s, respectively) to improve fish survival. Biological testing conducted by NOAA in 2008-09 found statistically significant differences between treatment groups when operating at the lower, middle, and high 1% peak efficiency turbine operation ranges. These results provided evidence that passage mortality and descaling increased as turbine operation was increased to higher levels in the 1% range (Gilbreath, et al. 2012). Reduced unit flows are expected to improve hydraulic conditions for fish passage through the gatewell. Typical unit flow for this operation would be approximately 12,000 to 15,000 ft3/s.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

Backcheck not conducted

Current Comment Status: Comment Closed

5221226 Hydraulics

4.6.5 Fisheries Considerations

4-16

n/a

Comment Classification: For Official Use Only (FOUO)

First Sentence - "to reduce the turbulence associated with..." Insert "gatewell turbulence" otherwise thid could be confused with increasing turbine turbulence. Turbine turbulence is reduced at increased turbine flows.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

Change made.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 12 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221232 Hydraulics

4.6.5 Fisheries Considerations

4-16

n/a

Comment Classification: For Official Use Only (FOUO)

Effects of turbine operations on turbine passed fish should be discussed. Ref the TSP BIT report. Turbine passage conditions improve with increased unit flow.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

Concur. Text changed to read -

This unit operational constraint has been used during times of SCNFH fall Chinook releases to reduce the gatewell turbulence associated with upper 1% turbine operations. It has been the alternative design team's goal to maintain FGE but reduce turbulence in the gatewell. This reduction in turbine discharge is problematic due to several operational issues. First, the reduced turbine discharge equates to a reduction in anticipated FGE through PH2. Gatewell turbulence and the associated byproducts such as increased passage descaling and mortality are reduced and brought back into normal parameters with this curtailed unit operation but at the sake of reduced FGE. Second, with these restricted turbine discharge operations comes an issue throughout the spring and even summer outmigration that may increase total dissolved gas (TDG) effects by having to spill above the 120% TDG limits. If unit operations are curtailed, any water that is not bypassed through Bonneville PH2 turbines has to be either be spilled or picked up as generation at PH1. The USACE TSP Phase I and II Biological Index Testing (BIT) Reports provides comprehensive analyses and discussions of all the hydraulic and biological investigations for fish passing through turbines. A quantitative bead analysis has not been completed in the 1:25 scale PH2 turbine model at ERDC and is scheduled to be completed by late 2013 or early 2014. NOAA ERDC trip report File Memorandums from 2011 and 2012 describe qualitative observations from the 1:25 scale PH2 turbine model. More turbulence was observed in the runner environment when operating at the low end and mid 1% range, however, hydraulic conditions improved as more flow was added in the upper 1%. Recommendations included avoiding the operation below the midpoint due to the less desirable hydraulic environment. This work highlights the importance of FGE program juvenile bypass system improvements to maintain operational flexibility for fish passage and survival at PH2.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment Closed without comment

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221237 Hydraulics 4.9.2.2 CFD Model Results 4-29

Comment Classification: For Official Use Only (FOUO)

Provide some discussion on transient flows. It is recognized CFD does not model the transient flows, but it may be that this alternative reduces the transient flows by providing a more stable flow conditions, reductions in transient flows could reduce overall gate-well turbulence and improve conditions. In general I believe this report underestimates the impacts of transient flows and does not discuss them thoroughly enough.

n/a

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation For Information Only

The following text has been added earlier in the document.

• Improvements identified in the steady state CFD model will correlate to improvements in the prototype which is dynamic in nature (transients). Exact benefits will not be quantified from the CFD model but trends would be similar between the model and the prototype.

Prototype results are not matching physical model results suggesting that significant work is needed on design tools we should be using.

Transient may be critical but based on prototype data neither modeling tool matches (CFD or physical). Additional prototype data is needed to figure out what tools to use for design.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 12 2013

1-1 Backcheck Recommendation Close Comment Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221244 Hydraulics

5.2 First Round of Evaluation

5-1

n/a

Comment Classification: For Official Use Only (FOUO)

Summary of alternatives 1, 2, 3 and 4 should include discussions of increased risk of injury to fish on the STSs and through the gap as a result of restricting gate-well flows.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation **Non-concurred**

Text added to Fisheries Considerations sections for each alternative - A thorough biological analysis will occur in further investigations if this alternative is selected for prototype evaluation.

For information only - Portland District is considering prototype data along the STS to better address this concern.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Comment Classification: For Official Use Only (FOUO)

In general, I believe the document makes a good case for selecting Alternative C and agree with the recommendation. However, if Alternative C is found to be unsuccessful I believe more consideration should be given to screen removal or partial screen removal. Do screens need to be installed in all turbines for the entire fish passage season, or could a combination of screened and unscreened turbine operations be considered. Also, could shorter STS's with the optimization of turbine operations for safer fish passage also be considered. The shorter screens would push less flow up the gate-well and improving turbine operations may off-set the reduced FGE.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation For Information Only

Spring 2013 proof of concept tests determined Alternative C would not function as a standalone alternative. The team is revisiting the assumptions and go forward path. The scope of work is focused on gatewell survival and FGE improvement. Management and the region may or may not allow the scope to morph to project survival.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221257 Hydraulics **Executive Summary** ES-2 n/a

Comment Classification: For Official Use Only (FOUO)

Last Paragraph AND at Recommendation, Pg 6-1, Last Paragraph.

Consider adding "Prior to installation at full powerhouse scale, the turbine survival needs to be fully investigated to determine if survival goals can be met by removal of screens and operating the turbines in the better operating range for fish passage survival."

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

The suggestion to consider removal of screens and through-turbine survival investigations is an excellent recommendation but is outside the scope of this project. This recommendation is better suited and will be suggested to the Turbine Survival Program.

The response is submitted on behalf of George Medina, Project Manager.

Submitted By: Randy Lee ((503) 808-4876) Submitted On: Aug 23 2013

1-1 Backcheck Recommendation Close Comment Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221259 Hydraulics 2.3.1 2-5 n/a

Comment Classification: For Official Use Only (FOUO)

Was there any validation of the original CFD model with the physical model for the patterns within the gatewell? Since the selected fix is trying to change the pattern within the gatewell verifying the that CFD model is accurately representing that gatewell flow pattern is important to selecting the correct solution.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

Text was added:

The development of the CFD model is described in Appendix C. The VBS was modeled with porous baffles and parameters describing the porosity were established by calibrating the CFD model results to the 1:12 physical model data. CFD model results with different boundary conditions were than compared to additional physical model data and prototype data.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 12 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221263 Hydraulics 2.3.1 2-6 n/a

Comment Classification: For Official Use Only (FOUO)

Second Bullet - The influence of transients needs to be stated more strongly. Recommend changing the end of the 2nd bullet from "...needs to be considered when interpreting the results." To "...could have significant biological impact that cannot be fully assessed by the CFD model used."

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

Bullet was modified to read:

• The CFD model is a steady-state representation of hydraulic conditions and the influence of transient conditions needs to be considered when interpreting the results as it pertains to the hydraulic conditions and potential biological impacts.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 12 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221265 Hydraulics

3.3.1

3-2

n/a

Comment Classification: For Official Use Only (FOUO)

2nd to last bullet - It was not seen how the gatewell resident time was measured by the CFD model. If the CFD model was not directly used for this, remove the bullet since this is listed in the general evaluation criteria above.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Non-concurred

Residence time is between alternatives is measured by planting seeds in the orifice and having them move backwards through the gatewell. Residence time is the time from the orifice to the turning vane. It is a relative comparison between alternatives - not an actual residence time of a fish.

The following bullet was added:

• Improvements identified in the steady state CFD model will correlate to improvements in the prototype which is dynamic in nature (transients). Exact benefits will not be quantified from the CFD model but trends would be similar between the model and the prototype.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 12 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221266 Hydraulics

3.3.2

3-3

n/a

Comment Classification: For Official Use Only (FOUO)

Why was 1974 - 1981 forebay data used instead of more up to date data for how system may be currently operated? The forebay levels may be close enough for this purpose but it seems like more up to date data would have been used.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

The 1981 is a typo and should be 1999. An analysis was done for HD in 2000 for data from 1974 to 1999. Since then each year of data has been added but a formal document has not been created. Statistics have not changed when you incorporate data up through 2010. 2011 we artifically raised the forebay at Bonneville to facilitate construction at TDA.

The date has been changed to 1999 since the report was written to cover the span from 1974 to 1999.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 12 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221285 Hydraulics

4.1

4-1

n/a

Comment Classification: For Official Use Only (FOUO)

Was adding orifice lights considered to improve egress time as part of this alternatives report? Section 4.8.5, pg 4-24, indicate that orifice lights are being considered as part of a different effort and therefore not considered here? If so that should probably be stated at the end of section 4-1.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

Text added to section 4.1 -

Another PDT is currently working on orifice improvements with the design goals for improving the ability of the project to detect debris accumulation at the orifice, reduce the likelihood of fish impingement due to misalignment of orifice flow, and reduce gatewell egress times with improved lighting. The Orifice Improvement PDT's working assumption is that lighting would be upgraded regardless of the selected alternative(s) to address the design goals. The B2 FGE PDT's assumption is that orifice lighting alone should improve guidance to the orifice but will not function as a standalone alternative.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Comment Classification: For Official Use Only (FOUO)

A percentage column should be added to table 4-2 and 4-3, probably (BC-AC)/BC * 100%

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

Added column to show percentage of Base Case.

Submitted By: Randy Lee ((503) 808-4876) Submitted On: Jul 30 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221293 Hydraulics

485

4-24

n/a

Comment Classification: For Official Use Only (FOUO)

Why were the orifice improvements consider by Fish Passage Improvement Team not considered in this report? They could reduce gatewell resident time. See comment on section 4-1.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation Concurred

FIO - The B2 Orifice and FGE teams work close with one another with the understanding that what one team does may affect the other.

Same comment as 5221285. Text added to section 4.1 -

Another PDT is currently working on orifice improvements with the design goals for improving the ability of the project to detect debris accumulation at the orifice, reduce the likelihood of fish impingement due to misalignment of orifice flow, and reduce gatewell egress times with improved lighting. The Orifice Improvement PDT's working assumption is that lighting would be upgraded regardless of the selected alternative(s) to address the design goals. The B2 FGE PDT's assumption is that orifice lighting alone should improve guidance to the orifice but will not function as a standalone alternative.

Submitted By: Jonathan Rerecich (503-808-4779) Submitted On: Aug 22 2013

1-1 Backcheck Recommendation Close Comment

Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Comment Classification: For Official Use Only (FOUO)

1st paragraph - After fourth sentence (on "Hydraulic model results..") consider adding something similar to "However it is known that the CFD model did not look at transient conditions and it is possible that due to transients the gate slot fillers will show less improvement to turbulence in the field."

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation For Information Only

Agree that the CFD model does not model transient conditions since it is a steady state model. But based on prototype data the physical model results do not represent the prototype. It is uncertain at this time what the best HD design tool will be for evaluating the gatewell environment. Thus making changes to this paragraph at this time is not warranted.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 12 2013

1-1 Backcheck Recommendation Close Comment
Closed without comment

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Current Comment Status: Comment Closed

5221312 Hydraulics Appendix C C-9 n/a

Comment Classification: For Official Use Only (FOUO)

Is there enough data from the physical model to get a standard deviation of velocity measurements to get an idea of the magnitude of the transient flow variations? This may be important to what to expect for field measurements and whether transients will mask improvement to steady state.

Submitted By: Martin Ahmann (509-527-7538). Submitted On: Jun 10 2013

1-0 Evaluation For Information Only

The data from the prototype and the physical model are not matching. Thus need to be careful in using the physical model data.

Submitted By: Laurie Ebner ((503) 808-4880) Submitted On: Aug 12 2013

1-1 Backcheck Recommendation Close Comment Closed without comment.

Submitted By: Martin Ahmann (509-527-7538) Submitted On: Sep 26 2013

Public / SBU / FOUO Patent 11/892,984 <u>ProjNet</u> property of ERDC since 2004.